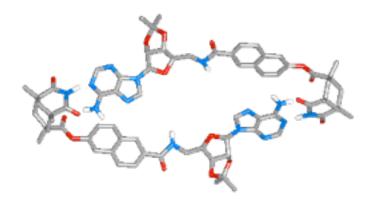
Autocatalysis and Self-Replication

~ toward synthesis of THE LIFE ~



Lit. Seminar 2011.1.8 (Sat) Katsuya Sato (B4)

Outline of Topics

- Introduction 1.
 - ~ Concept of Autocatalysis ~
- **II.** Asymmetric Autocatalysis ~ Soai Reaction ~
- **III.** Organic Autocatalysis
 - ~ Synthetic Autocatalyst ~
- **IV. Biological Autocatalysis** ~ Self-Replication of RNA ~

Today's Keywords

asymmetric amplification / autocatalysis / homochirality /non-linear effect / ribozyme / RNA world / self-replication / Soai reaction /

Today's Key-persons









J. Rebek, Jr.



G. F. Joyce

V. Summary

Recent Reports

K. Soai Science **2009**, 324, 492-495 J. Rebek, Jr Proc. Natl. Acad. Sci. USA 2010, 107, 541-544 G. F. Joyce Science 2009, 323, 1229-1232

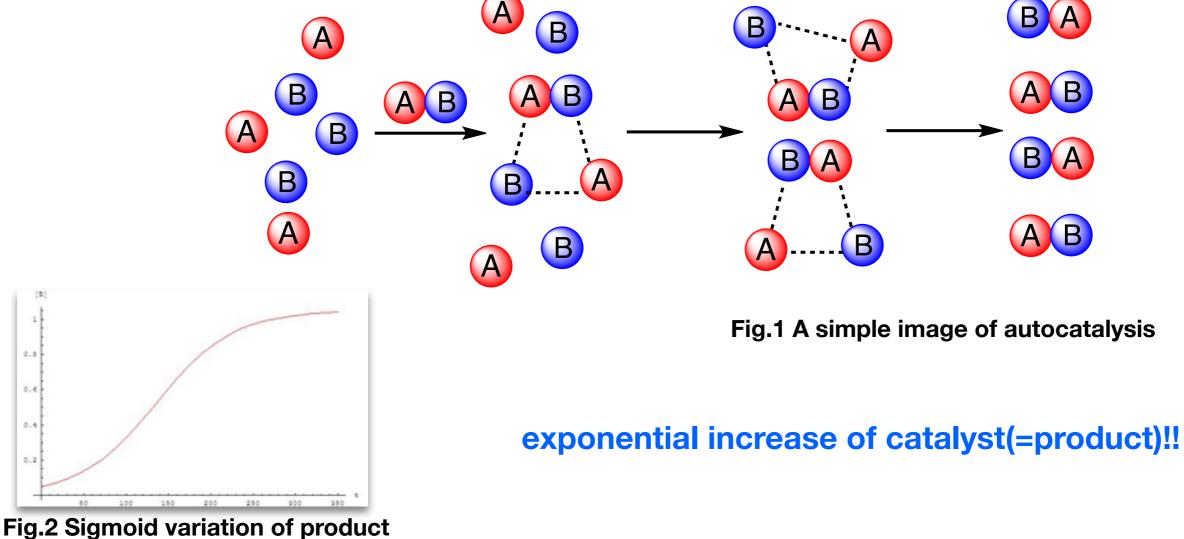
I. Introduction ~ Concept of Autocatalysis ~

- What is Autocatalysis?
- Examples
- Scientific Importance

Definition

Autocatalysis is...

catalysis of a chemical reaction by one of the products of the reaction.

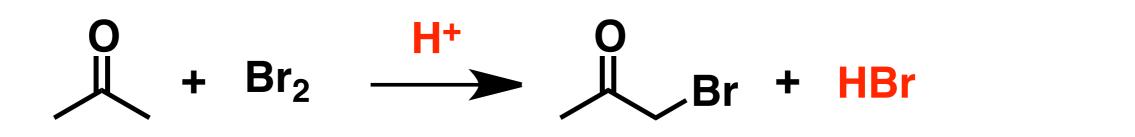


concentration in autocatalytic reactions

Examples of Autocatalysis

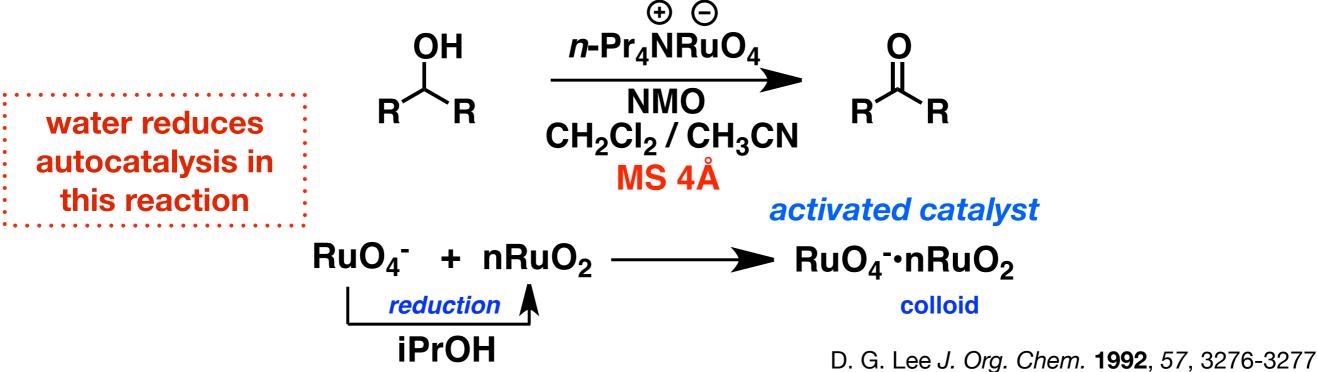
Bromination of ketone





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HBr regenerates catalytic H<sup>+</sup>
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Examples of Autocatalysis



LDA-Mediated Ortholithiation

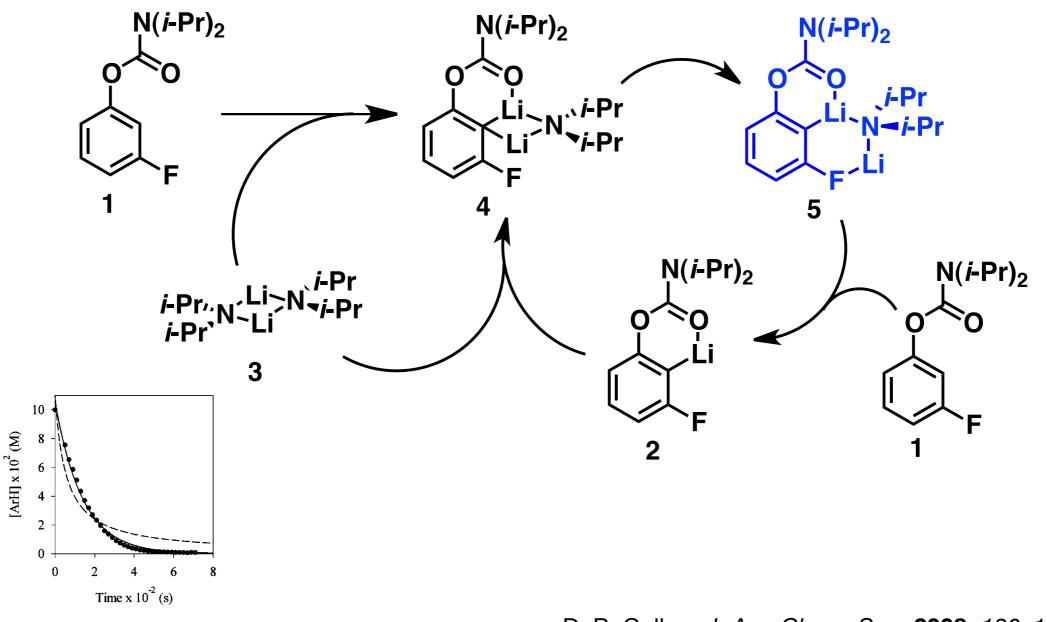


Figure 1. Reaction of 0.10 M carbamate 1 with 0.10 M LDA at -78 °C in neat THF as monitored by ¹⁹F NMR spectroscopy. The solid and dashed lines represent least-squares fits to first- and second-order decays, respectively.

D. B. Collum *J. Am. Chem. Soc.* **2008**, *130*, 18008-18017 see also : D. B. Collum *J. Am. Chem. Soc.* **2010**, *132*, 15610-15623

Examples of Autocatalysis

Autocatalysis in Biological Systems

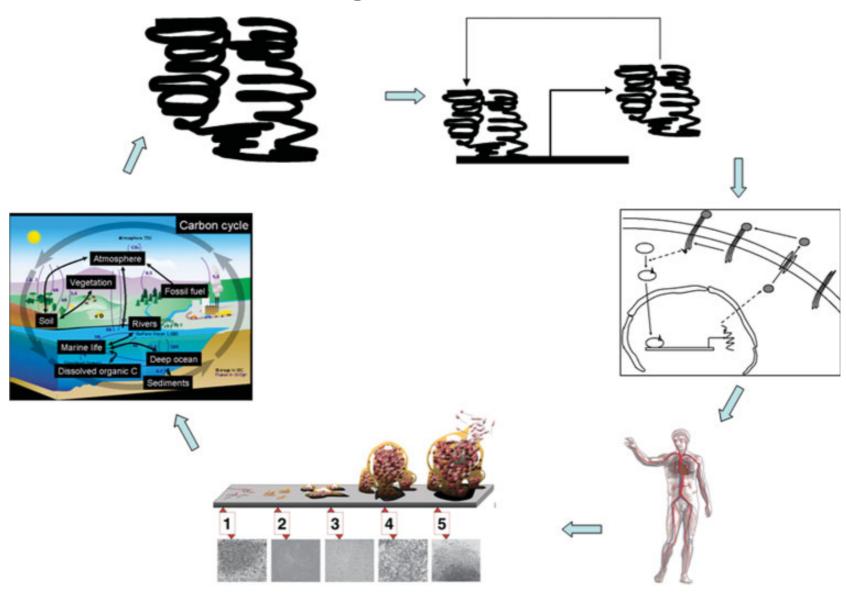


Figure 1. Autocatalysis at various scales: single molecule folding, autogeneous regulation, intracellular signaling pathways, organ systems, collective motion and ecological scales.

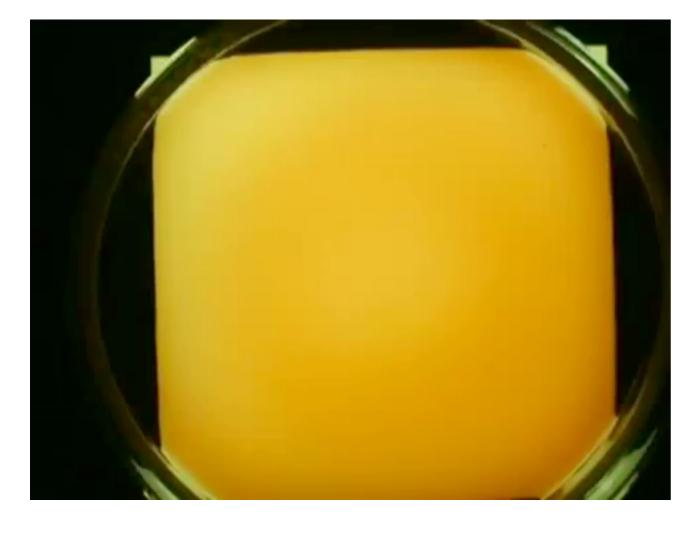
Evolution as a response to the environment results in changes in the structure and function of single molecules. Not shown are criss-crossing links that indicate the effect of intracellular signaling to community behavior, or single molecule folding to population dynamics for instance as seen in the effect of unfolded proteins in prion disease epidemiology. Figures except single molecule and autogeneous regulation are from wikipedia.com.

AIChE J. 2009, 55, 556-562

Scientific Importance

Chemical Oscillation

Belousov-Zhabotinskii(BZ) Reaction



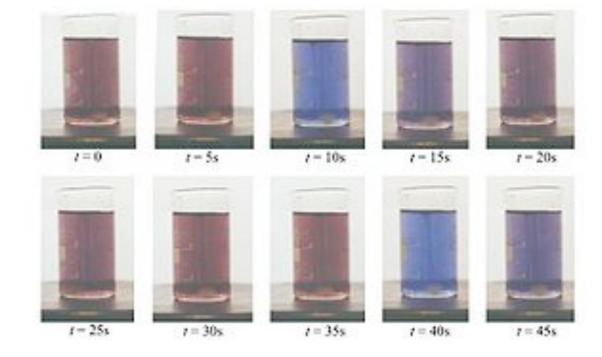
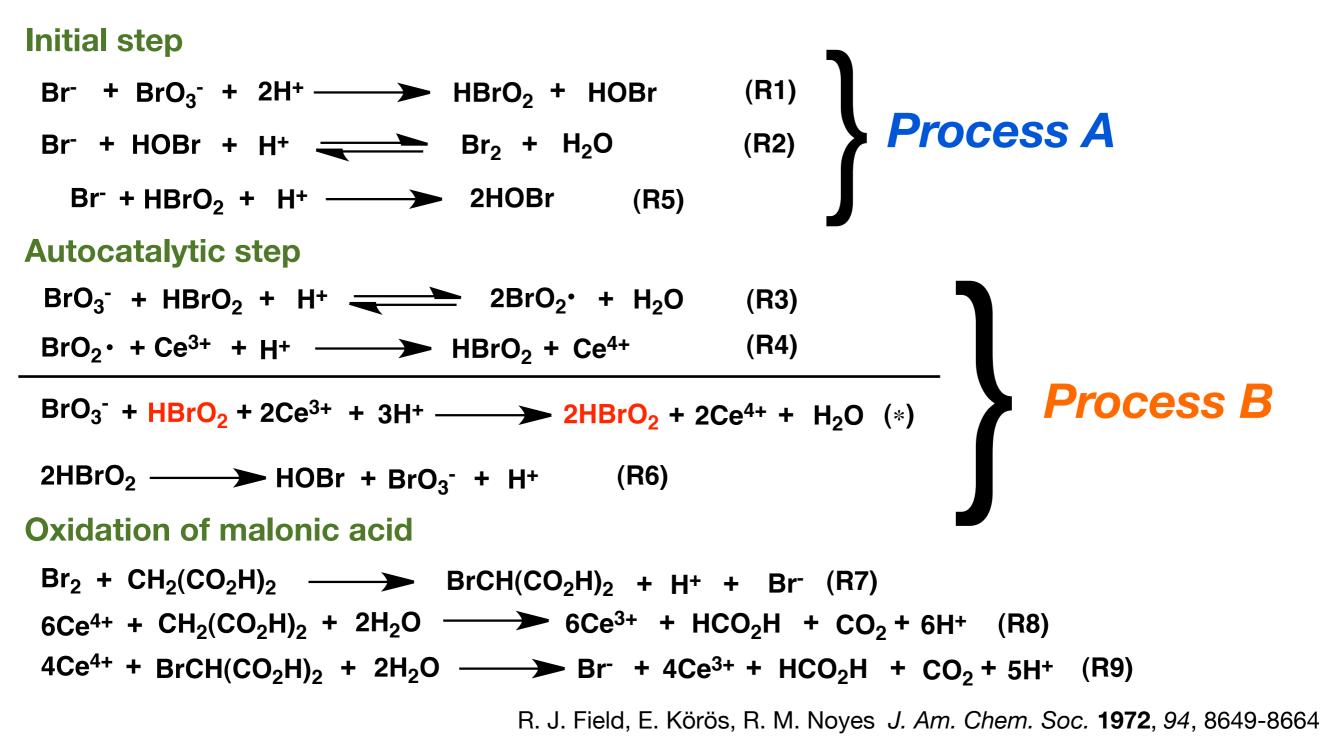


Fig.2 Change of color in BZ reaction with Fe(phen)₃²⁺

Fig.1 Change of color in BZ reaction with Ce³⁺

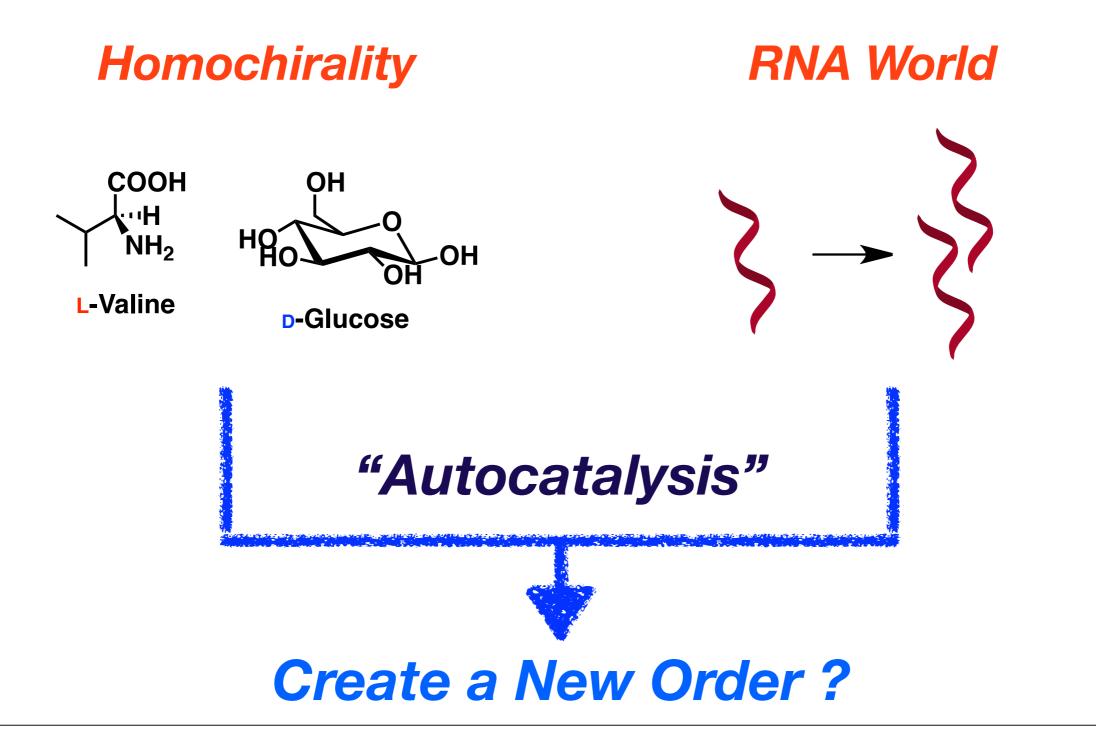
Scientific Importance

Chemical Oscillation - BZ reaction -



Scientific Importance

• Origin of Life



II. Asymmetric Autocatalysis ~ Soai Reaction ~

Asymmetric Amplification
 Discovery of Soai Reaction
 Mechanistic Study

Asymmetric Amplification



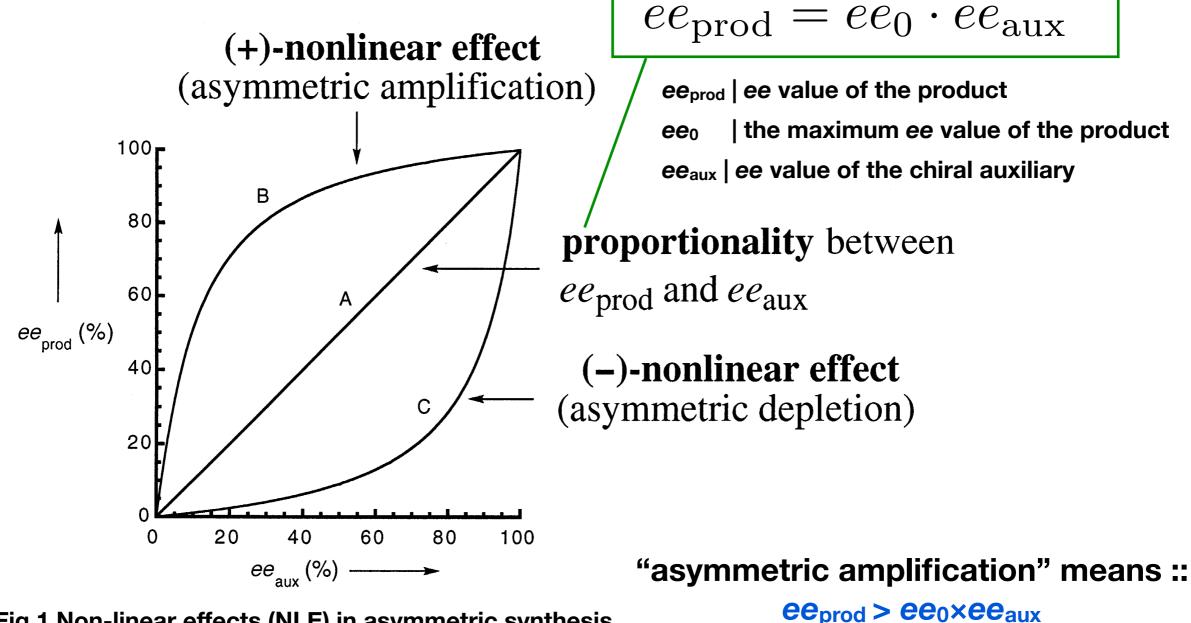
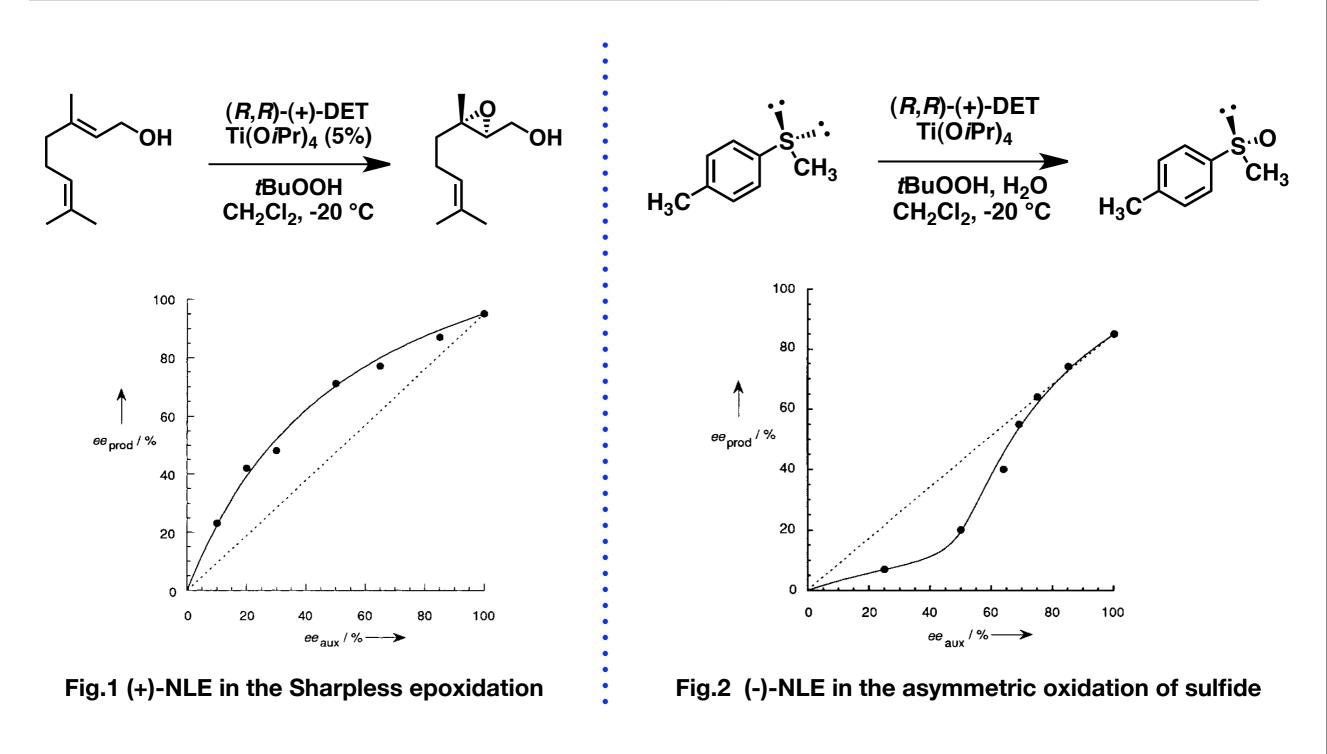


Fig.1 Non-linear effects (NLE) in asymmetric synthesis

review : H. B. Kagan Angew. Chem. Int. Ed. 1998, 37, 2922-2959

Discover of (+)-/(-)-NLE

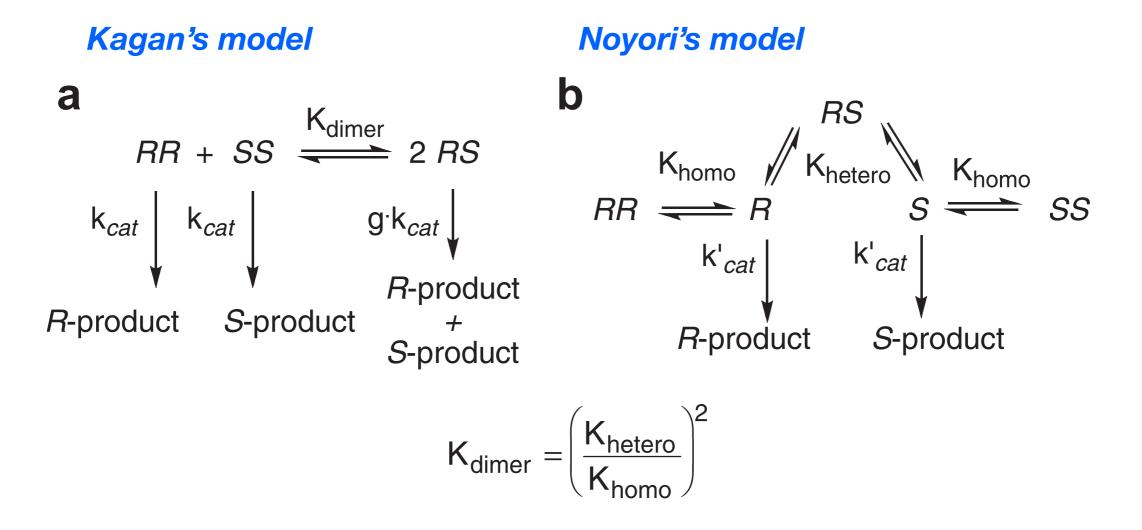




H. B. Kagan *J. Am. Chem. Soc.* **1986**, *108*, 2353-2357 H. B. Kagan *Angew. Chem. Int. Ed.* **1998**, *37*, 2922-2959

Models for Asymmetric NLE



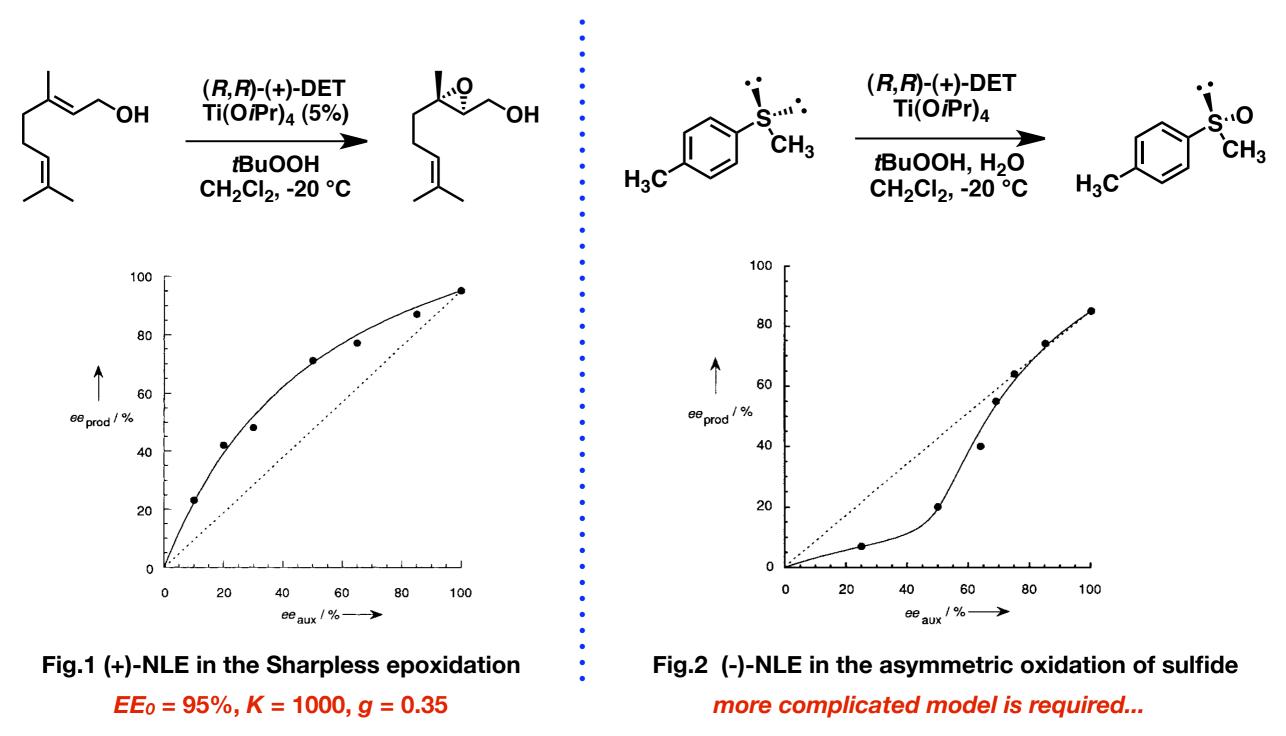


Scheme 1. Models for non-linear effects.

D. G. Blackmond Tetrahedron: Asymmetry 2010, 21, 1630-1634

Example of (+)-/(-)-NLE

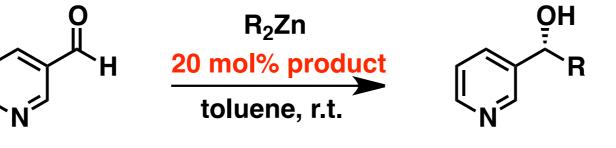




H. B. Kagan *J. Am. Chem. Soc.* **1986**, *108*, 2353-2357 H. B. Kagan *Angew. Chem. Int. Ed.* **1998**, *37*, 2922-2959



• First Asymmetric Autocatalysis



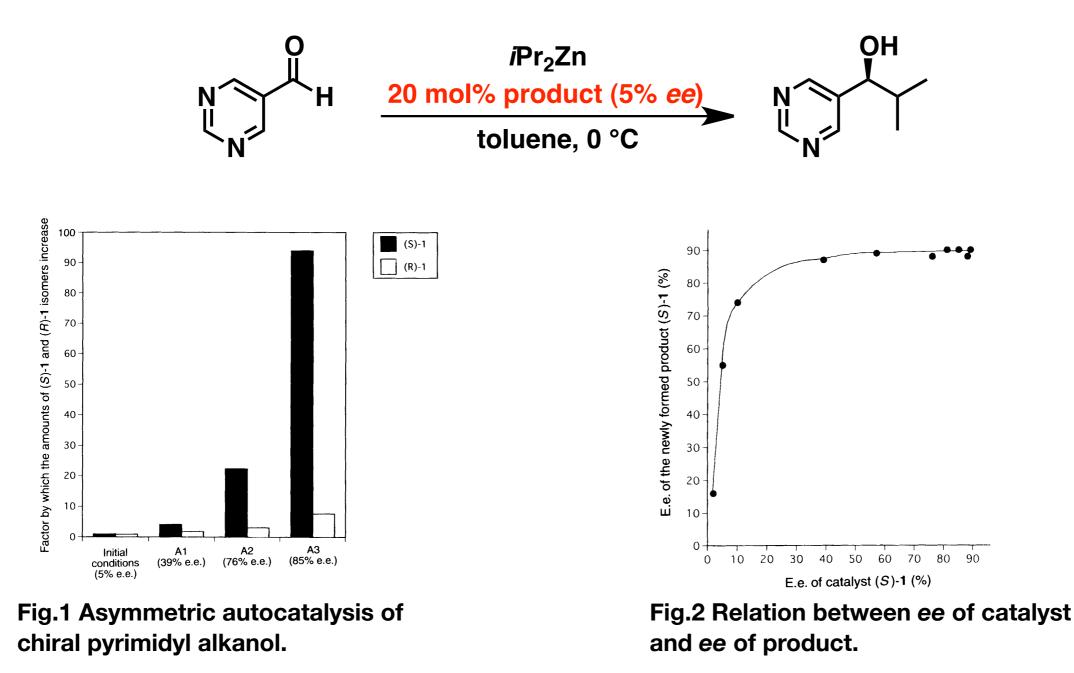
R = Me, Et, *i*Pr, *n*Bu

R	catalyst ee (%)	product ee (%)
Ме	42	7
Et	56	14
<i>i</i> Pr	86	35
<i>n</i> Bu	47	6

K. Soai J. Chem. Soc. Chem. Comm. 1990, 982-983



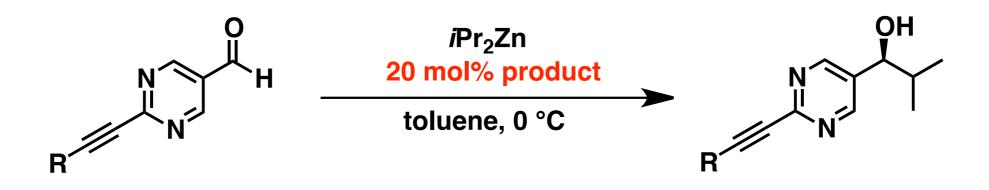
Asymmetric Autocatalysis with Amplification



K. Soai Nature **1995**, 378, 767-768



• 2-Alkynyl 5-Pyrimidyl Alkanol



R	catalyst ee (%)	product ee (%)
<i>n</i> Bu	5.8	21.2
<i>t</i> Bu	5.5	69.6
Me ₃ Si	8.4	74.2
<i>i</i> Pr₃Si	8.6	8.8
Ph	5.9	47.3

K. Soai Angew. Chem. Int. Ed. 1999, 38, 659-661

K. Soai J. Fluorine Chem. 2010, 131, 525-534

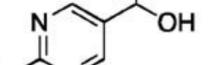
Soai Reaction

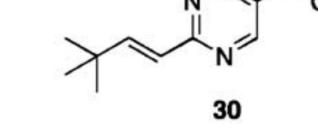
• Other Substrates (= Catalysts !)

ΌH Me

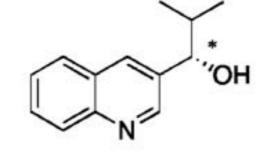
24 up to 94% ee

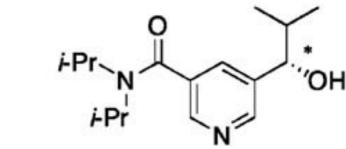
25 up to 86% ee











23 up to 98% ee

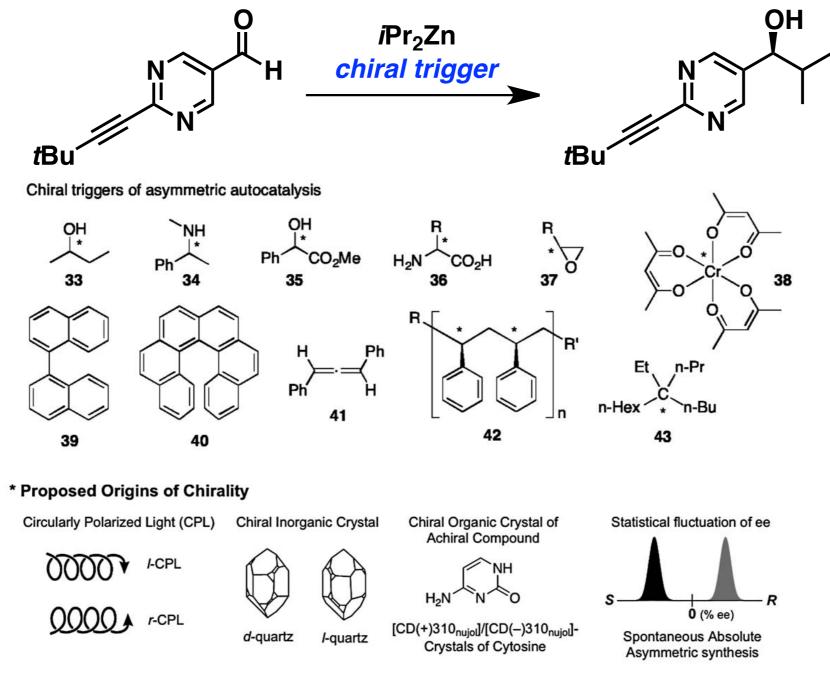
R

OH

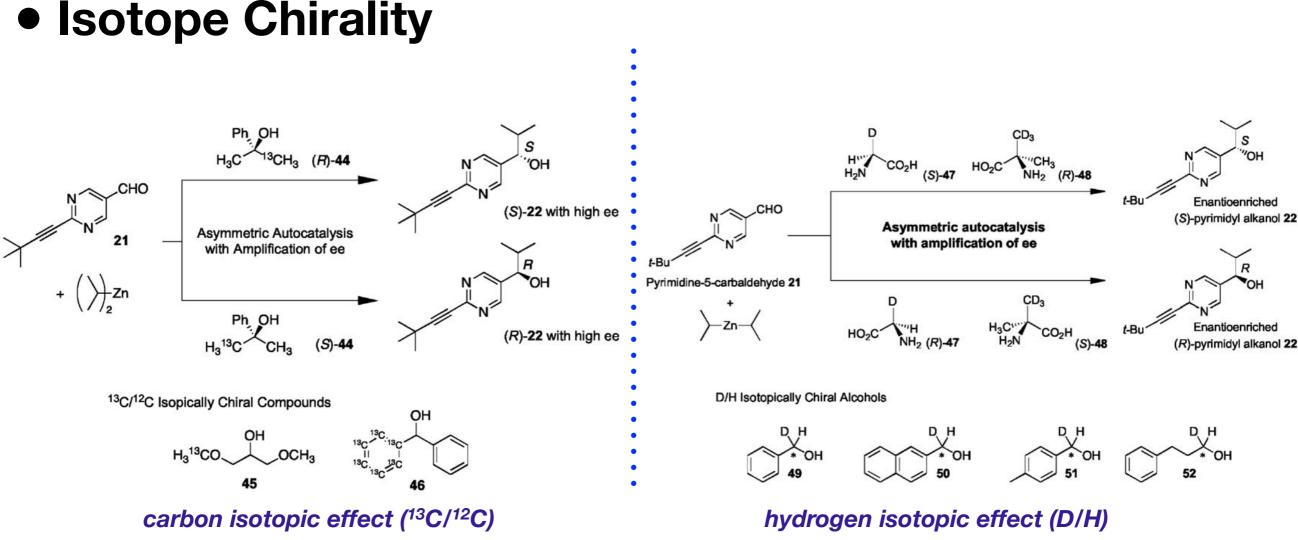
26 : R= n-Bu 27 : R= TMS 28 : R= Ph 29 : R= ferrocenyl



• Various Chiral Initiators



K. Soai J. Fluorine Chem. 2010, 131, 525-534



Lootopo Chirolity

Soai Reaction

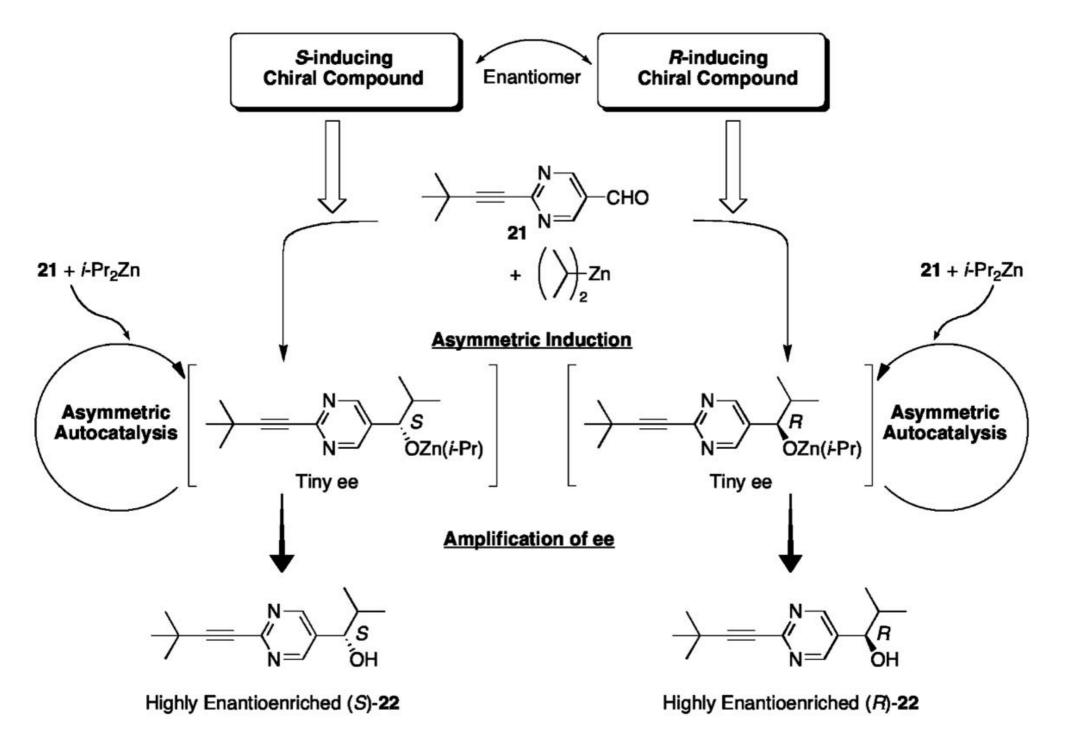
even isotope chirality has ability to act as a chiral trigger !

K. Soai J. Am. Chem. Soc. 2000, 122, 11739-11740
K. Soai Chem. Comm. 2009, 4396-4398
K. Soai Science 2009, 324, 492-495
K. Soai J. Fluorine Chem. 2010, 131, 525-534



Summary of Soai Reaction





K. Soai J. Fluorine Chem. 2010, 131, 525-534

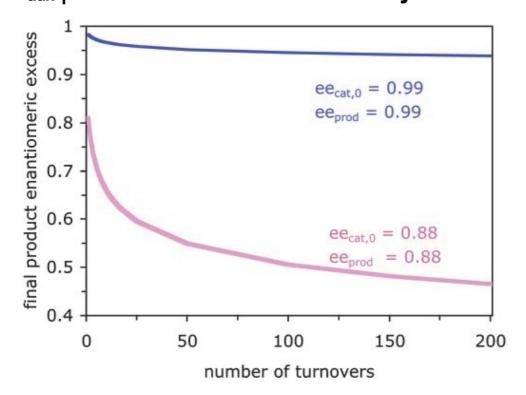


Autocatalysis Alone

$$ee_{\text{prod}} = ee_0 \cdot ee_{\text{aux}}$$

eeprod | ee value of the product

ee₀ | the maximum ee value of the productee_{aux} | ee value of the chiral auxiliary



If the catalyst is NOT perfect ($ee_0 < 1$), the product ee will be less than catalyst ee and the lower ee products will be serving as catalysts in future reactions!

$$ee_{prod,f} = ee_{cat,0} \cdot (TON + 1)^{(ee_0 - 1)}.$$

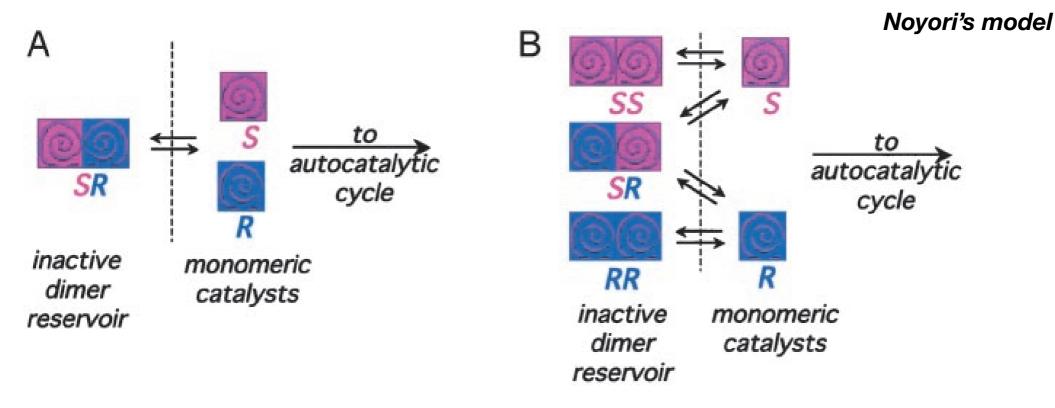
Fig. 1. Simple asymmetric autocatalytic reactions (unlike the Soai reaction) necessarily show an erosion of ee over time. Plotted is the final product ee as a function of turnover number in asymmetric autocatalytic reactions for two hypothetical of autocatalysts at different ee and different product enantioselectivity: a catalyst with an initial $e_{cat,0} = 0.99$ exhibiting an enantioselectivity of 0.99 (solid blue line), and a catalyst with an initial $e_{cat,0} = 0.88$ exhibiting an enantioselectivity of 0.88 (shaded magenta line).

D. G. Blackmond Proc. Nat. Acad. Sci. USA 2004, 101, 5732-5736



Mutual Antagonism

"anticatalyst" : autocatalyst must be capable not only of producing itself but also of suppressing



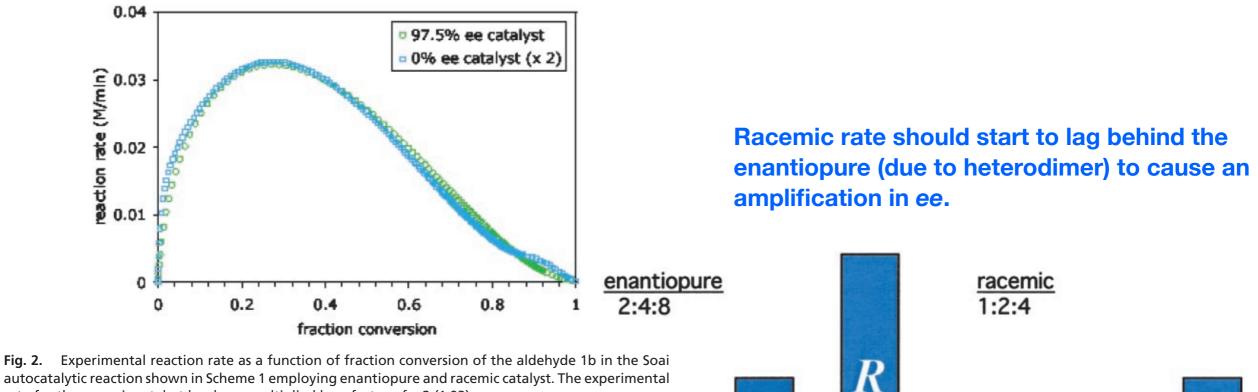
Scheme 2. Models for including mutual antagonism in autocatalytic systems. (*A*) Specific mutual antagonism: enantiomeric *R* and *S* catalysts form a reservoir of inactive heterochiral dimers. If the initial ratio of *S*:*R* enantiomers is not 1:1, a greater fraction of the minor enantiomer is extracted into the dimer reservoir, which has total *S*:total *R* ratio equal to 1:1. (*B*) Unspecific mutual antagonism: enantiomeric *R* and *S* catalysts form a reservoir of inactive heterochiral dimers.

D. G. Blackmond Proc. Nat. Acad. Sci. USA 2004, 101, 5732-5736



R

A Paradox Emerges



autocatalytic reaction shown in Scheme 1 employing enantiopure and racemic catalyst. The experimental rate for the racemic catalyst has been multiplied by a factor of ≈ 2 (1.93).

Paradox:

the rate data fit a model that says there should be no asymmetric amplification in ee; but amplification in ee is the very phenomenon that is the hallmark of the Soai reaction system !

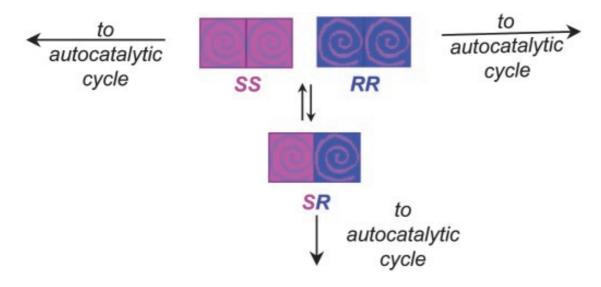
Fig. 3. Schematic depicting how the catalyst concentration increases for enantiopure and racemic catalysts as the autocatalytic reaction of Scheme 1 proceeds, as predicted by the experimentally measured reaction rates shown in Fig. 2.

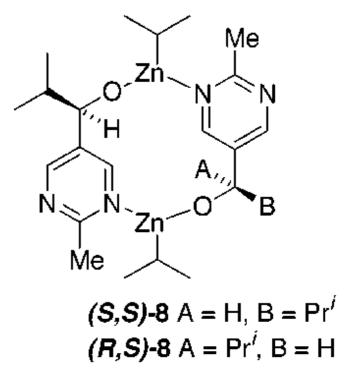
D. G. Blackmond Proc. Nat. Acad. Sci. USA 2004, 101, 5732-5736



• Solution: Dimeric Catalyst

Kagan's ML₂ model





Scheme 3. ML₂ model for autocatalysis. The monomeric *R* and *S* enantiomers form homochiral (*RR* and *SS*) and heterochiral (*SR*) dimers that themselves serve as the active catalysts in the autocatalytic reaction.

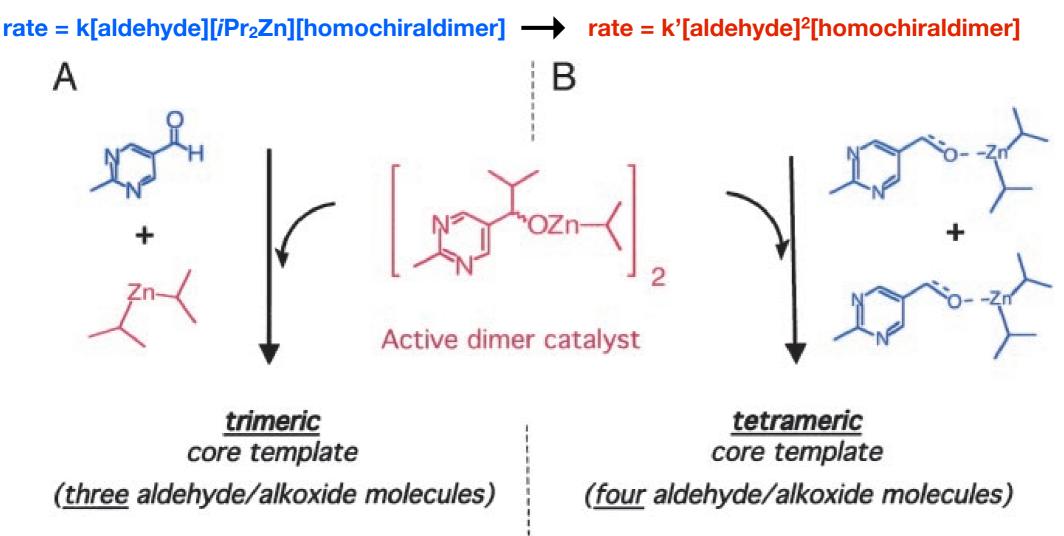
the hybrid dimer model explains the rate data perfectly

All we need to assume to explain the amplification of ee is that the homochiral species is better at catalyzing the reaction than is the heterochiral species.

> D. G. Blackmond *J. Am. Chem. Soc.* **2001**, *123*, 10103-10104 D. G. Blackmond *Proc. Nat. Acad. Sci. USA* **2004**, *101*, 5732-5736

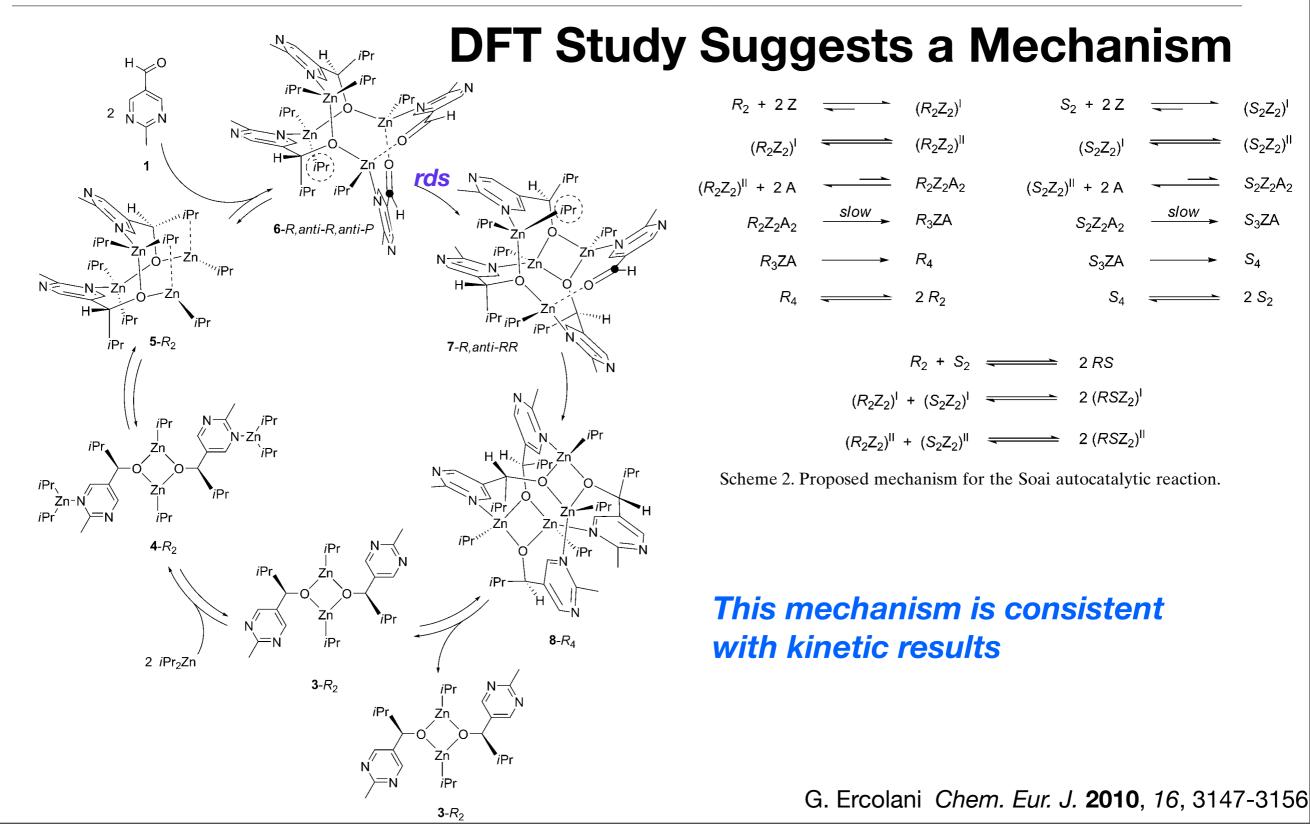


Additional Mechanistic Evidence



Scheme 4. Proposed models for the Soai reaction based on trimeric (*A*) and tetrameric (*B*) transition states, where the order refers to the number of prochiral aldehyde plus ex-aldehyde (alcohol/alkoxide or nascent alkoxide species) that are brought together (although not simultaneously) in the reaction event.

D. G. Blackmond *J. Am. Chem. Soc.* **2003**, *125*, 8978-8979 D. G. Blackmond *Proc. Nat. Acad. Sci. USA* **2004**, *101*, 5732-5736



III. Organic Autocatalysis ~ Synthetic Autocatalyst ~

Self-Replicating System Ability to Catalyze Other Reaction

Replication Models

Minimal model

Figure 1. The minimal model of self-replication. Reagents **A** and **B** can react through three pathways – an uncatalyzed bimolecular reaction, a recognition-mediated pseudounimolecular pathway mediated by a binary complex $[\mathbf{A} \cdot \mathbf{B}]$ and a recognition-mediated pseudounimolecular autocatalytic cycle mediated by a ternary complex $[\mathbf{A} \cdot \mathbf{B} \cdot \mathbf{T}]$.

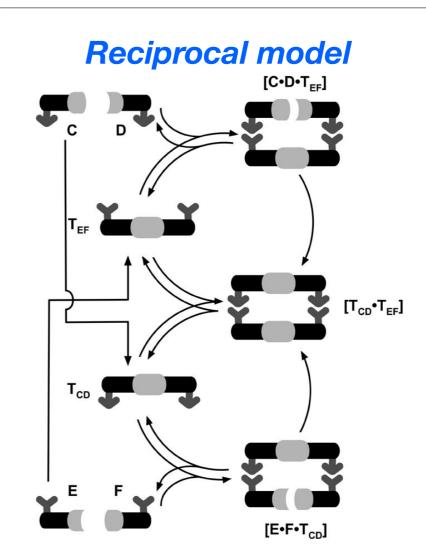


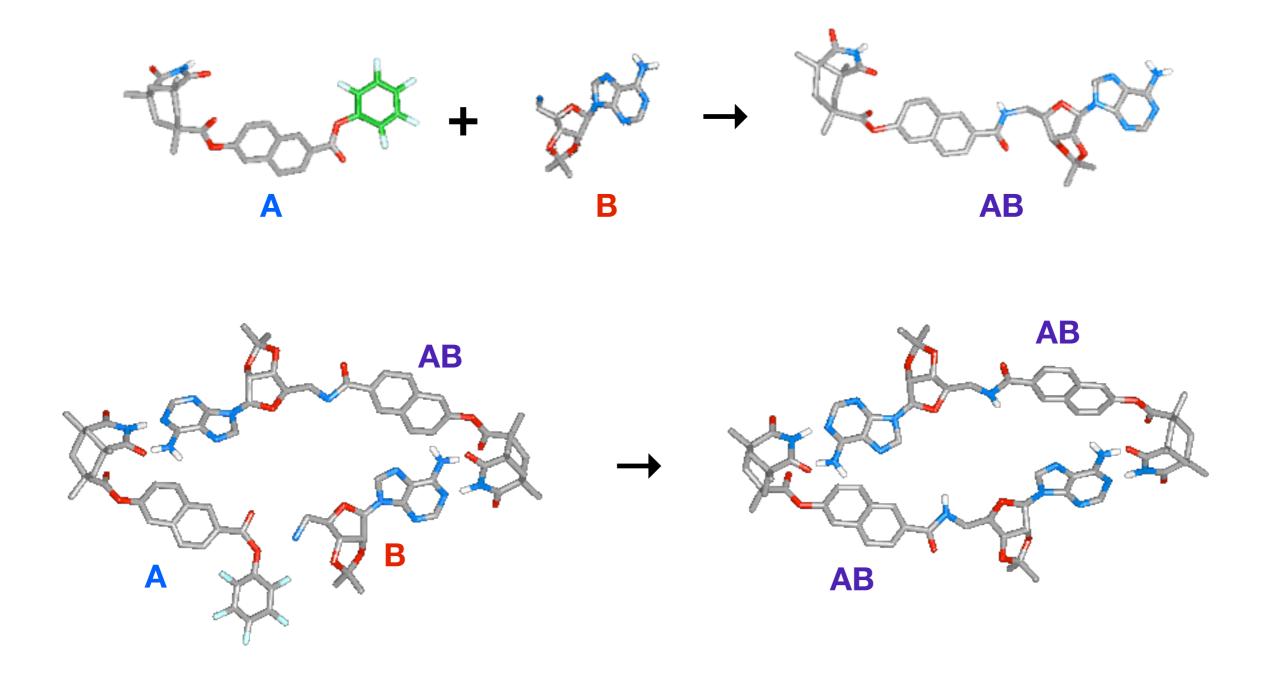
Figure 2. The reciprocal model of self-replication. Compounds C and D can react to form the template T_{CD} , and, similarly, compounds E and F can react to form template T_{EF} . T_{CD} and T_{EF} are mutually complementary. T_{CD} is capable of assembling E and F into the ternary complex [E·F·T_{CD}] which catalyses the formation of T_{EF} . Similarly, T_{EF} is capable of assembling C and D into the ternary complex [C·D·T_{EF}] catalyzing the formation of T_{CD} . These two interlinked cross-catalytic cycles represent a formal reciprocal replication cycle.

D. Philip Eur. J. Org. Chem. 2009, 593-610

The Art of Self-Replicator

有機化学美術館 The Museum of Organic Chemistry

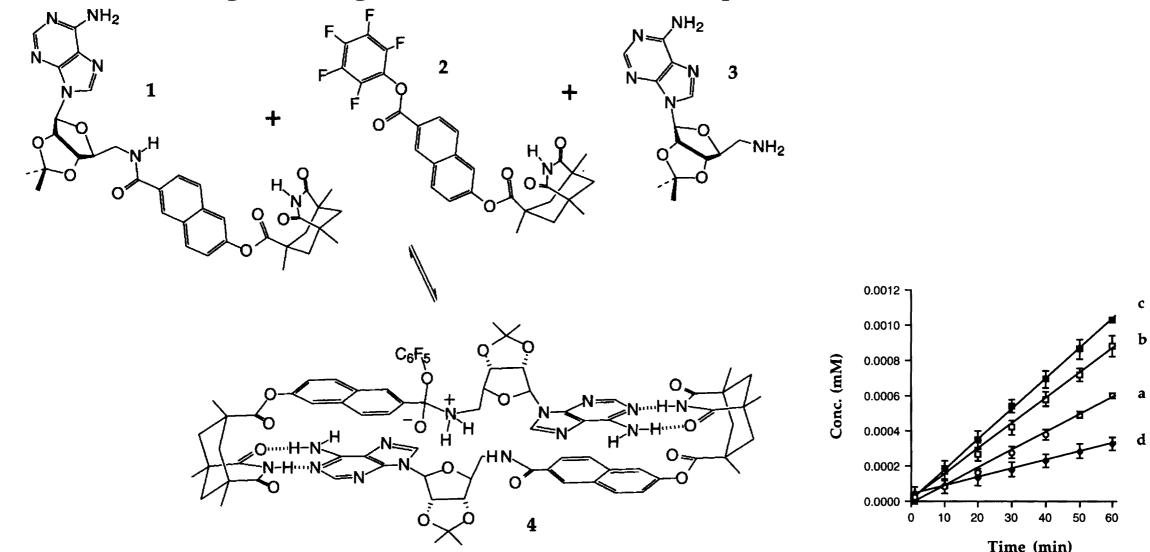




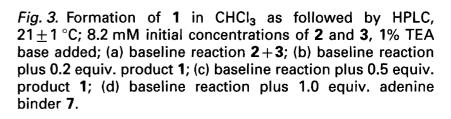
link: 有機化学美術館(http://www.org-chem.org/yuuki/replica/replica.html)



Discovery of Synthetic Self-Replicator



interaction by hydrogen bonding between adenine and imide





Simple Amide as Catalyst

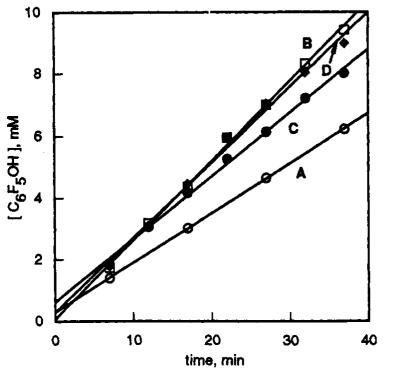


Figure 1. Initial rates of pentafluorophenol formation in the reaction of amide 1 (0.03 M) with ester 2 (0.03 M) in CDCl₃ in the presence of: A, no additive; B, 0.03 M amide 4; C, 0.03 M control 9; and D, 0.03 M acetamide. Reactions were monitored by ¹⁹F NMR at 25.0 °C.

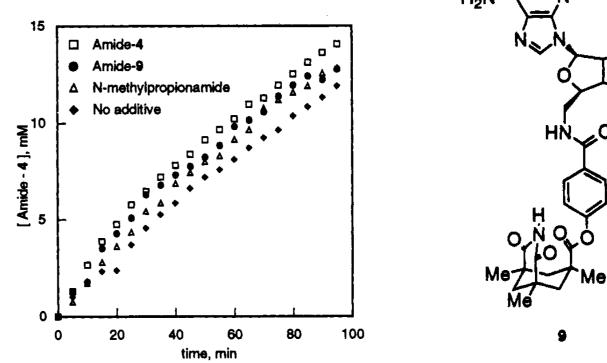


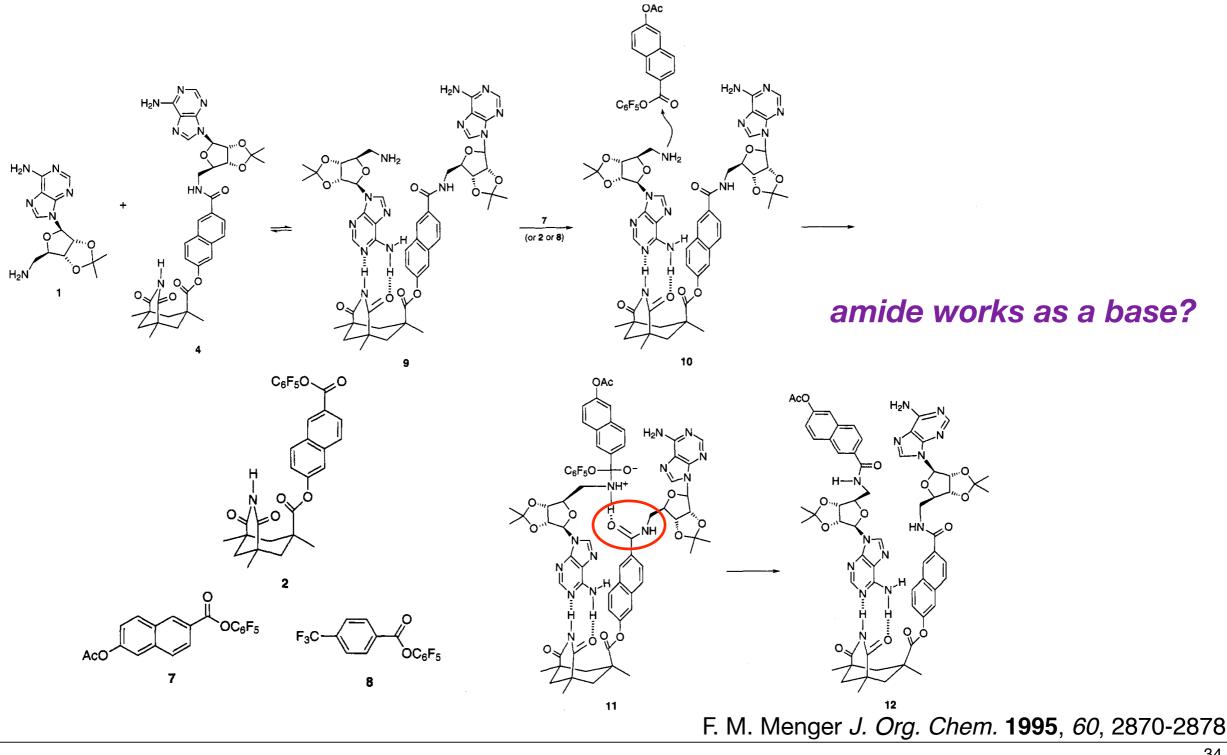
Figure 2. Time course for formation of amide 4 in the reaction between amine 1 and ester 2 in CDCl₃ in the presence of amide 4, amide 9, and *N*-methylpropionamide (all at 0.03 M). Reactions were monitored for the production of amide 4 by ¹H NMR at 25.0 °C. Error in the initial rates is estimated to be $\pm 15\%$. Note that the rates in the latter part of the reaction (unexamined by Rebek¹) are identical.

simple amide can play a role of catalyst in Rebek's self-replicating system

F. M. Menger J. Am. Chem. Soc. 1994, 116, 3613-3614; J. Org. Chem. 1995, 60, 2870-2878

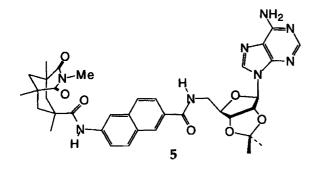


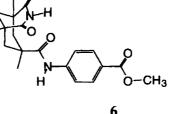
A Non-Self-Replicative Mechanism

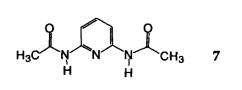


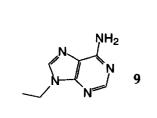


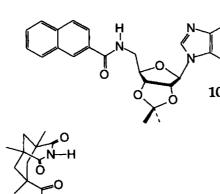
Control Experiment

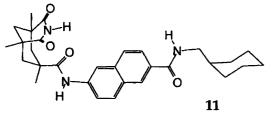


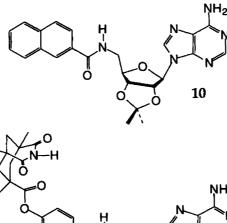








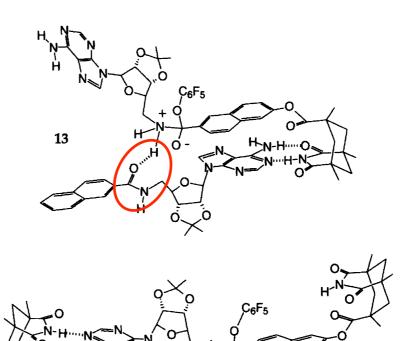




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Table 1. Effect of various additives on the formation of 1 in CHCl₃ as followed by HPLC (see Ref. 21): 2.2 mM initial concentrations of **2** and **3**, 22 ± 1 °C, 1% Et₃N base added.

Entry	Additive (0.5 equiv.)	Avg. initial rate of product formation ±5%/μM min ⁻¹	Percentage of baseline rate
1		0.54	
2	1	0.81	150
3	5	0.55	102
4	6	0.56	104
5	8	0.52	96
6	9	0.55	102
7	10	0.50	93
8	11	0.56	104
9	21	0.63	117
10	12	0.57	106



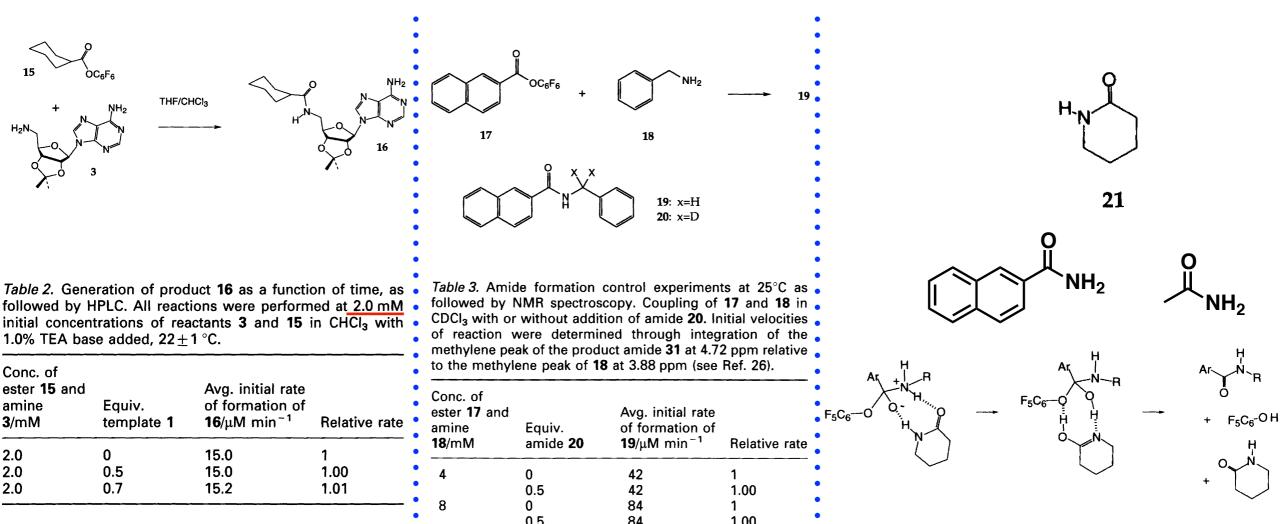
14

exclude the function of self-replicator as a simple chemical catalysis

J. Rebek, Jr J. Am. Chem. Soc. 1994, 116, 8823-8824; J. Org. Chem. 1995, 60, 7997-8001



Exclusion of Internal Amide Catalysis



cis amide and primary amide can catalyze the formation of amide BUT self-replicator is trans amide

Conc. of ester 15 and amine 3 /mM	Equiv. template 1	Avg. initial rate of formation of 16 /μM min ⁻¹	Relative rate	•
2.0	0	15.0	1	•
2.0 2.0	0.5 0.7	15.0 15.2	1.00 1.01	•

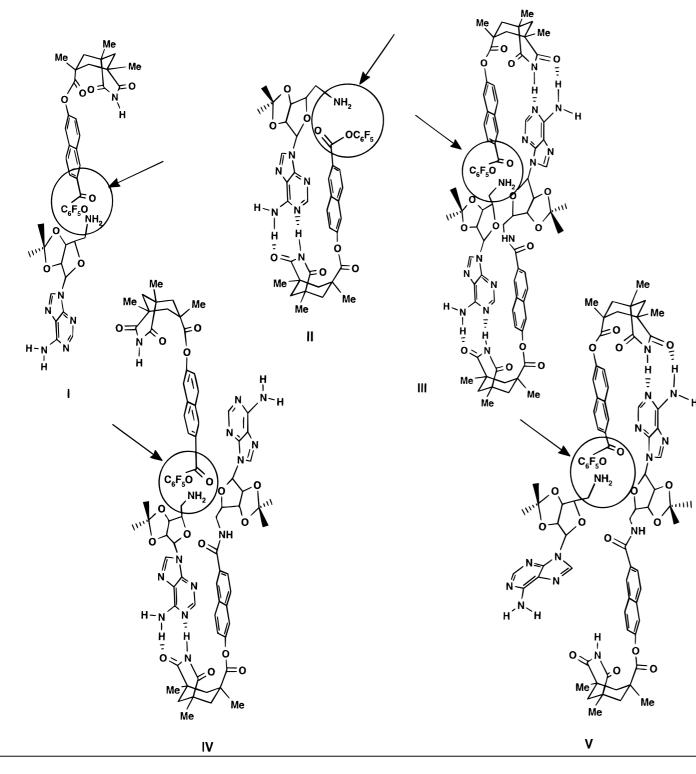
Conc. of ester 17 and amine 18 /mM	Equiv. amide 20	Avg. initial rate of formation of 19 /μM min ⁻¹	Relative rate
4	0	42	1
	0.5	42	1.00
8	0	84	1
	0.5	84	1.00
16	0	168	1
	0.5	174	1.04
20	0	258	1
	0.5	282	1.09

J. Rebek, Jr J. Am. Chem. Soc. 1994, 116, 8823-8824; J. Org. Chem. 1995, 60, 7997-8001



1st Generation of Self-Replicating System

Settlement of The Controversy : Kinetic Study



dependence on the concentration of catalyst

Table 2. Calculated Initial Rates for Equimolar Amounts of 1 and 2^a

						contribution to initial rate (%)			
[2] _o	[1] _o	[3] _o	$(dP/dt)_{calcd}$	$(\mathrm{d}P/\mathrm{d}t)_{\mathrm{obsd}}^{b}$	Ι	II	III	IV	V
1.67	1.67		0.10		9.5	90.5	0.0	0.0	0.0
1.67	1.67	1.67	0.14		7.0	56.4	33.7	2.5	0.4
2.2	2.2		0.17		10.1	89.9	0.0	0.0	0.0
2.2	2.2	2.2	0.24		7.2	52.5	36.8	3.0	0.5
8.2	8.2		1.5	1.4	15.8	84.2	0.0	0.0	0.0
8.2	8.2	4.1	2.2	2.0	10.7	46.6	36.9	5.0	0.8
8.2	8.2	8.2	2.5		9.6	35.8	45.9	7.5	1.2
16.5	16.5		4.4	4.1^{c}	21.9	78.1	0.0	0.0	0.0
16.5	33.0		6.9	8.2	27.7	72.3	0.0	0.0	0.0
33.0	16.5		6.9	7.9	27.7	72.3	0.0	0.0	0.0
16.5	16.5	8.2	6.9	5.7^{c}	13.7	38.3	38.5	8.3	1.3
16.5	16.5	11.5	7.4	5.9	12.9	33.2	42.4	10.0	1.5
16.5	16.5	16.5	7.8	6.2	12.2	28.1	45.7	12.2	1.9
30	30		10.6	12.9	29.7	70.3	0.0	0.0	0.0
30	30	15	18.2		17.4	31.1	37.2	12.5	1.9
30	30	30	20.7	16.3	15.2	22.0	42.2	17.9	2.8
50	50		22.9	47.0	38.3	61.7	0.0	0.0	0.0
50	50	25	41.3		21.2	25.3	33.8	17.2	2.6
50	50	50	48.1		18.2	17.0	37.1	24.0	3.7

^{*a*} Concentrations in mM; rates in M·min⁻¹ \times 10⁵. ^{*b*} Average rate over the first 100 min. ^{*c*} Used for parametrization.

D. N. Reinhoudt J. Am. Chem. Soc. 1996, 118, 6880-6889

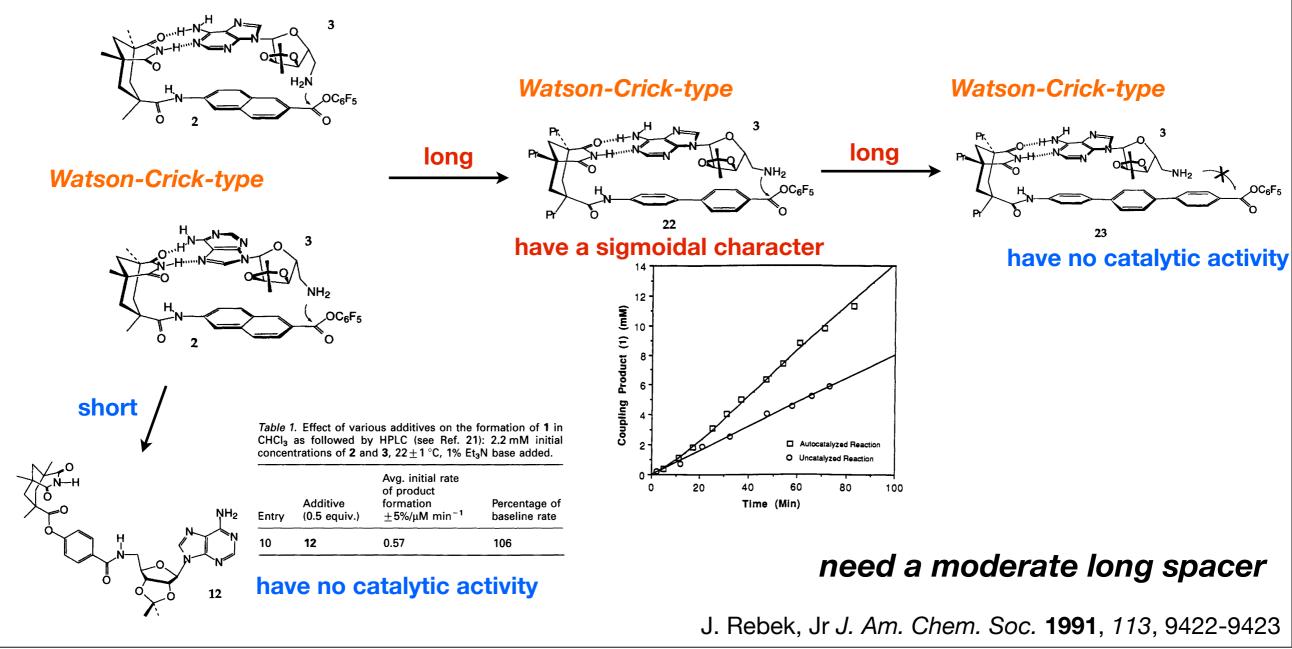
2nd Generation of Self-Replicating System



Modification of Spacer

strategy : reduce the pre-associative bimolecular pathway

Hoogsteen-type

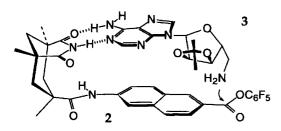


2nd Generation of Self-Replicating System

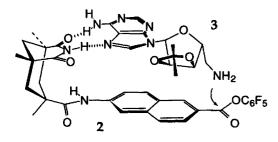


Modification of Backbone

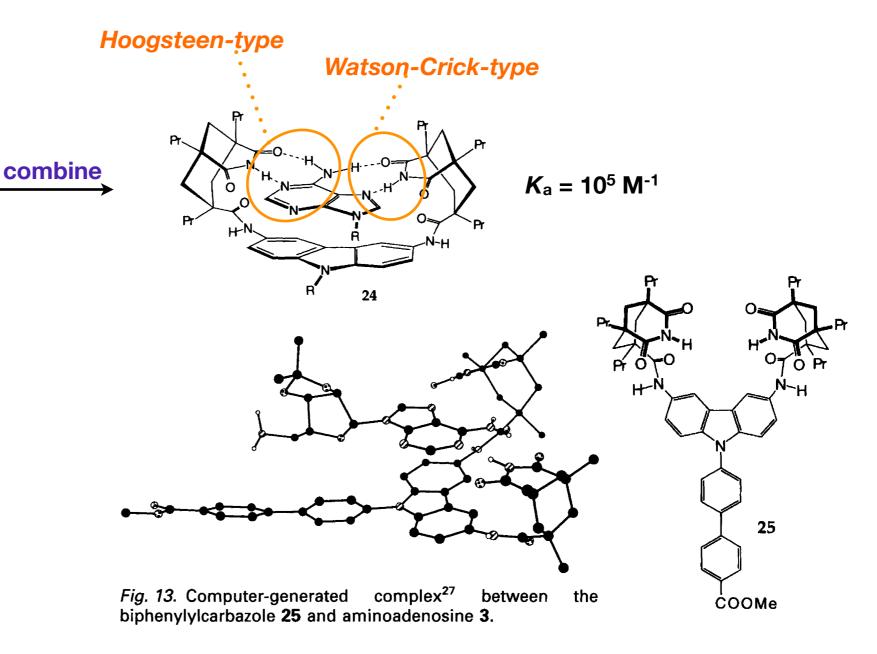
Hoogsteen-type



Watson-Crick-type



associate constant $K_a = 60 \text{ M}^{-1}$

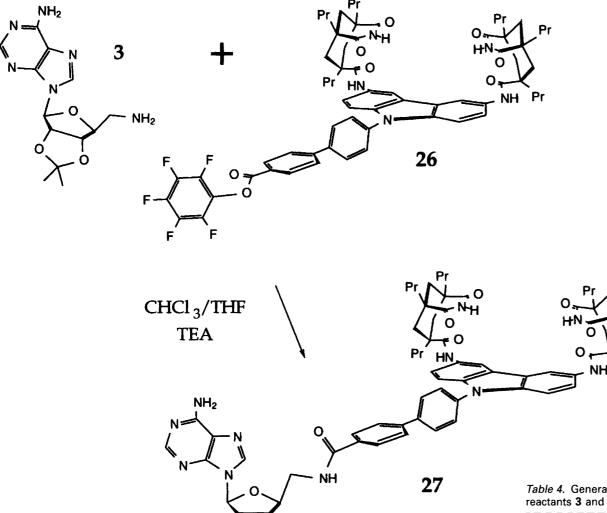


J. Rebek, Jr J. Am. Chem. Soc. **1994**, 116, 8877-8884

2nd Generation of Self-Replicating System



Improvement of Autocatalytic Activity



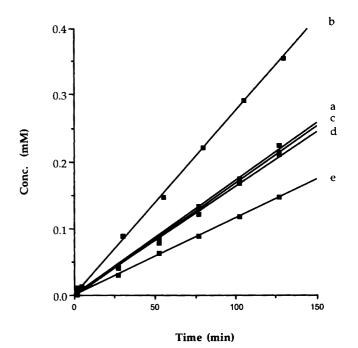


Fig. 15. Representative kinetic plots of the generation of product 27 as a function of time (initial 5% of reaction). All reactions were performed at 6.2 mM initial concentrations of reactants 3 and 26 in 13% THF-CHCl₃ with 1.0% TEA base added, 22 ± 1 °C. All individual slopes (reaction rates) are given in Table 1: (a) baseline reaction (3+26); (b) baseline reaction plus 0.5 equiv. product 27; (c) baseline reaction plus 0.5 equiv. imide methyl ester 6; (d) baseline reaction plus 1.0 equiv. amide 8; (e) baseline reaction plus 0.5 equiv. diimide methyl ester 25.

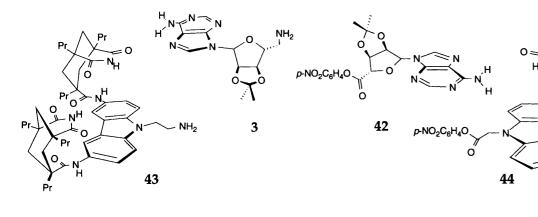
Table 4. Generation of product **27** as a function of time. All reactions were performed at 6.2 mM initial concentrations of reactants **3** and **26** in 13% CHCl₃-THF with 1.0% Et₃N base added, 22 ± 1 °C.

Reaction	Additive	Avg. initial rate of product formation/µM min ⁻¹	Relative rate
a	Nothing	1.71 (6)	1.00
b	Product 27 (0.5 equiv.)	2.63 (11)	1.54 (8)
С	Imide 6 (0.5 equiv.)	1.72 (2)	1.01 (4)
d	Amide 8 (1.0 equiv.)	1.56 (8)	0.91 (6)
e	Diimide 25 (0.5 equiv.)	1.18 (10)	0.69 (6)

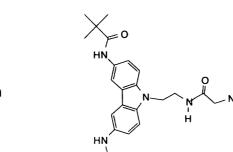
J. Rebek, Jr J. Am. Chem. Soc. 1994, 116, 8877-8884



Reciprocal Self-Replicating System



46



47: R=

48: R=

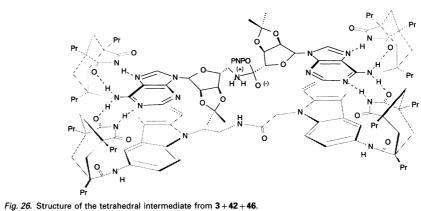
	nouolion	[10]	[10]		
\mathbf{i}	3+42	_	_		
/	3+42	0.05	_	_	
Pr	3+ 4 2		0.05	_	
0 🖌	3+ 4 2	0.05	0.05		
IЩ Р	, 3+42		0.05	0.5	
	′3+ 42		—	0.5	
r \	3+42		_		
. 01/	3+ 42	—	—		

Concentration /m.M

Table 6. Initial rates of amide formation.^{50c}

Reaction ^a	Concentration/mM					lait unte /a NA	Deletive
	[45]	[46]	[9-Et-Ad.] [9]	[47]	[48]	Init. rate/nM min ⁻¹⁶	Relative rate
3+42	_	_	_			15	1
3 + 4 2	0.05	_	_	_		16	1.1
3 + 4 2		0.05	_		_	150	10
3+42	0.05	0.05				42	2.8
3+42	_	0.05	0.5	_	_	30	2
3+42			0.5		_	15	1
3+42	_	_		0.05	_	15	1
3 + 42	—	—	-		0.05	11	0.7
43+44	_	-		_		4.3	1
43 + 44	0.05			_		23	5.3
43 + 44	_	0.05	-		_	4.3	1
43 + 44	0.05	0.05		_		13	3
43 + 44	0.05	_	0.5			15	3.5
43 + 44	-	-	0.5	—		4.8	1.1
42+43	_	_			_	53000	1
42+43		-	0.5	-	_	14000	0.3
3+44	_	_			_	2200	1
3 + 44		_	0.5	_		600	0.3

^a Both components were present at 0.05 mM in CHCl₃ at 25 °C with 4 mM triethylamine. ^b Values are averaged from multiple independent runs. Standard deviations are ±15%.



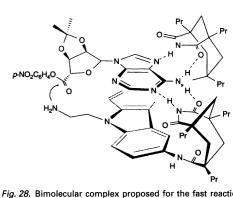


Fig. 28. Bimolecular complex proposed for the fast reaction between 42 and 43.

† components of reciprocal self-replicating system

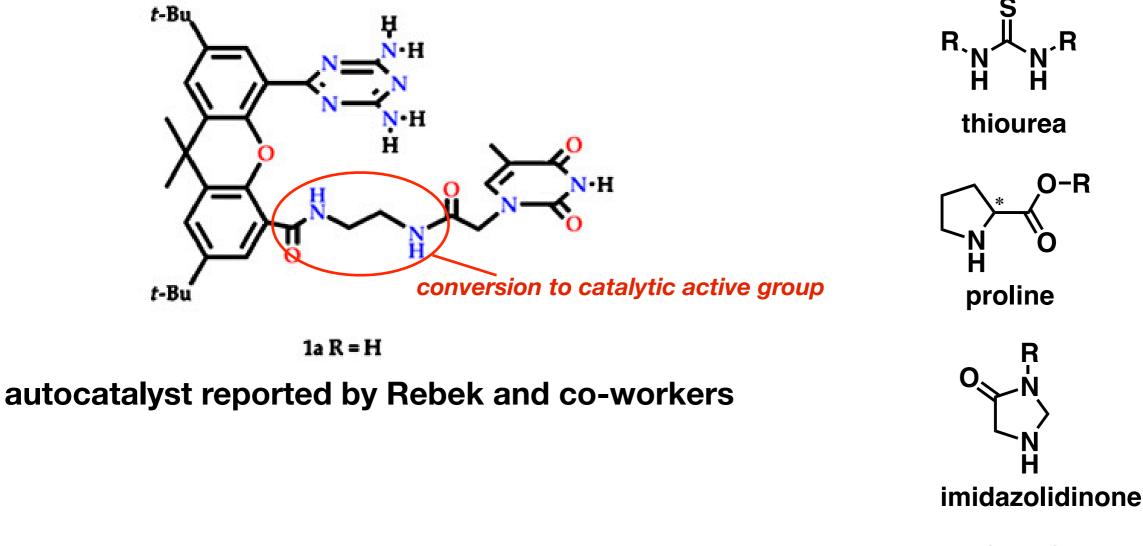
J. Rebek, Jr Tetrahedron 1995, 51, 485-498

Autocatalyst as Organocatalyst

Strategy

insertion of <u>a functional group known to catalyze organic</u> <u>transformations</u> into already known autocatalyst 1a

candidates of functional groups



J. Rebek, Jr Proc. Natl. Acad. Sci. USA 2010, 107, 541-544



Auto-/Organocatalyst with Thiourea

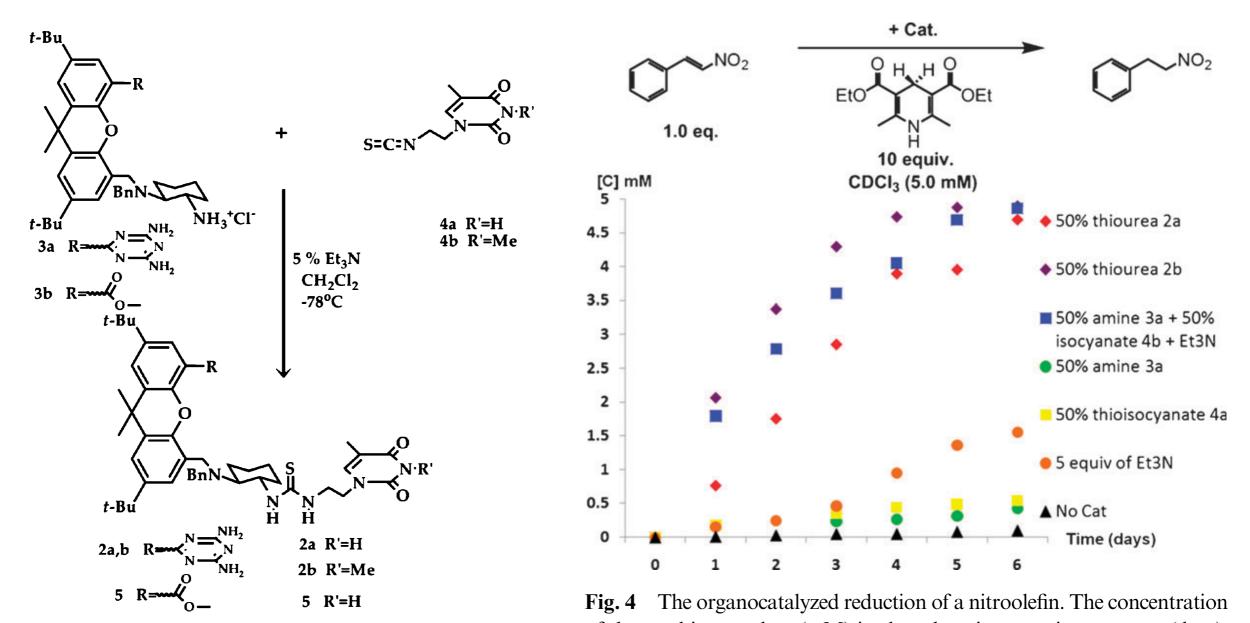
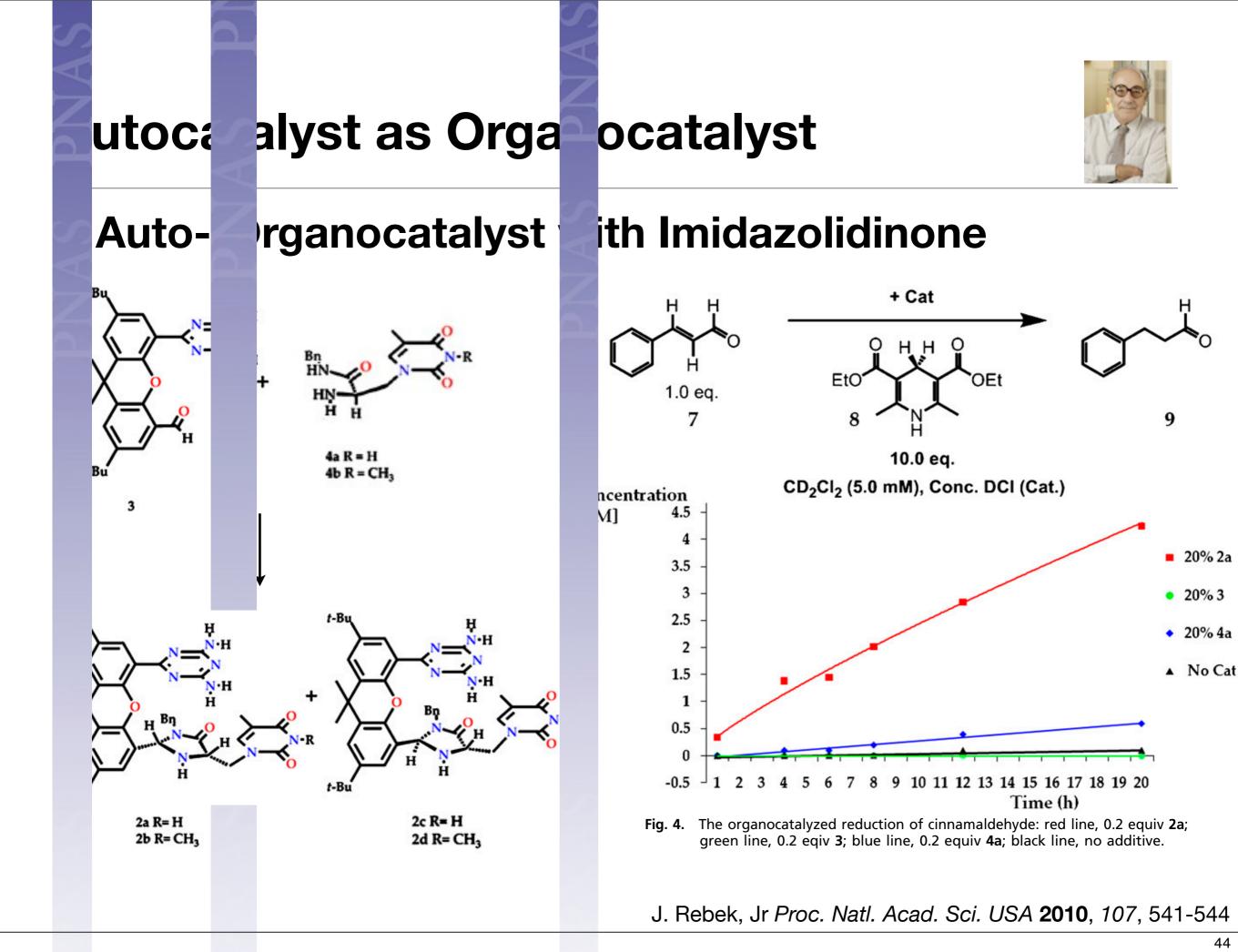


Fig. 2 The synthesis of autocatalytic and organocatalytic molecules. of the resulting product (mM) is plotted against reaction progress (days). Both 2b and 5 lack recognition sites and were used as controls.



IV. Biological Autocatalysis ~ Self-Replication of RNA ~



RNA World is...

a self-replicating system consisting of RNA(NOT DNA/Protein), thought to exist in earlier time on the earth.

However...

There are some points to be discussed in RNA World hypothesis.

1) No evidence for forming RNA from many other nucleotide

- 2) Unstable structure of RNA compared to DNA
- 3) Never discovery of self-replicating RNA



Discovery of Self-Sustained Replication of RNA

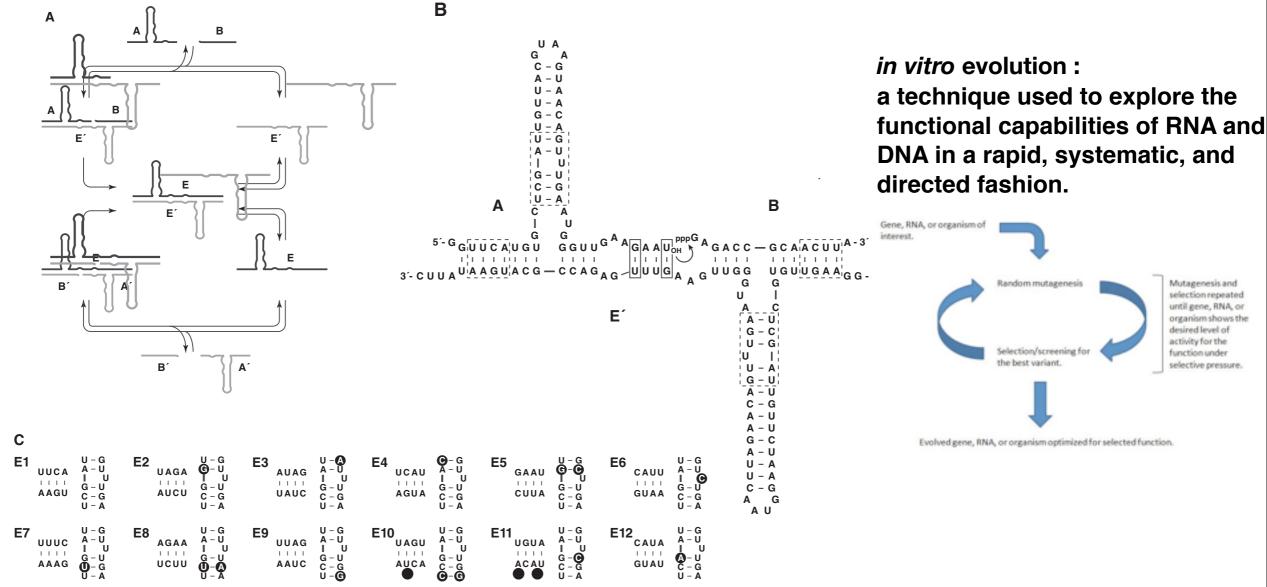


Fig. 1. Cross-replicating RNA enzymes. **(A)** The enzyme E' (gray) catalyzes ligation of substrates A and B (black) to form the enzyme E, whereas E catalyzes ligation of A' and B' to form E'. The two enzymes dissociate to provide copies that can catalyze another reaction. **(B)** Sequence and secondary structure of the complex formed between the enzyme and its two substrates (E', A, and B are shown; E, A', and B' are the reciprocal). The curved arrow indicates

the site of ligation. Solid boxes indicate critical wobble pairs that provide enhanced catalytic activity. Dashed boxes indicate paired regions and catalytic nucleotides that were altered to construct various cross replicators. (**C**) Variable portion of 12 different E enzymes. The corresponding E' enzymes have a complementary sequence in the paired region and the same sequence of catalytic nucleotides (alterations relative to the E1 enzyme are highlighted).



Self-Sustained amplification of RNA

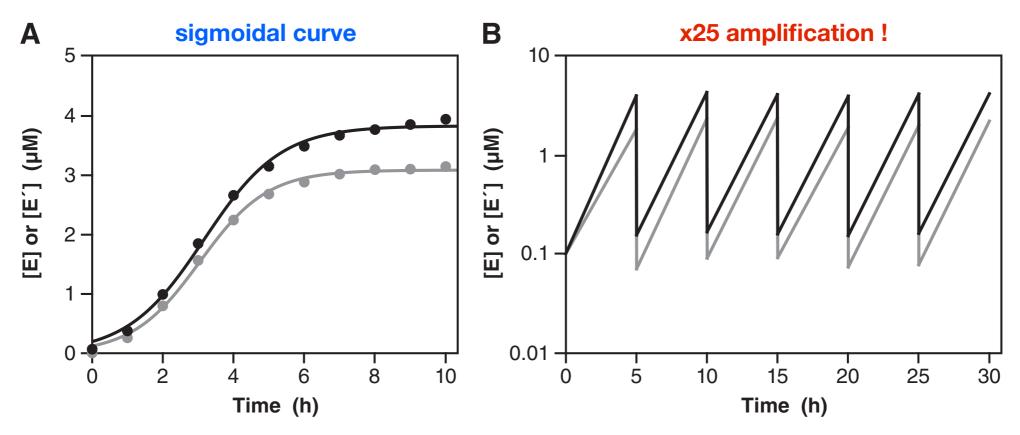


Fig. 2. Self-sustained amplification of cross-replicating RNA enzymes. (**A**) The yield of both E (black curve) and E' (gray curve) increased exponentially before leveling off as the supply of substrates became exhausted. (**B**) Amplification was sustained by performance of a serial transfer experiment, allowing approximately 25-fold amplification before transferring 4% of the mixture to a new reaction vessel that contained a fresh supply of substrates. The concentrations of E and E' were measured at the end of each incubation.

V. Summary

Summary of Today's Topics

- Asymmetric Autocatalysis → Homochirality
- Organic Autocatalysis → Synthetic Biology
- Biological Autocatalysis → RNA World Hypothesis



• Can We Utilize Autocatalytic Reactions to Accomplish More Efficient Organic Synthesis ?

