

Beyond Conventional NHCs -abnormal NHC-

Sep. 29, 2012
Kumiko Yamamoto

1. introduction

2. N-heterocyclic carbenes (NHCs)

first metal-NHC complex

first free, isolable NHC

NHCs as ligands for transition metals

NHCs as organocatalysts

3. abnormal N-heterocyclic carbenes (aNHCs)

first metal-aNHC complex

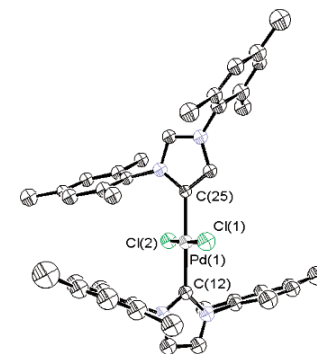
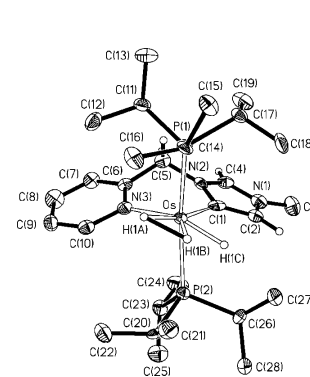
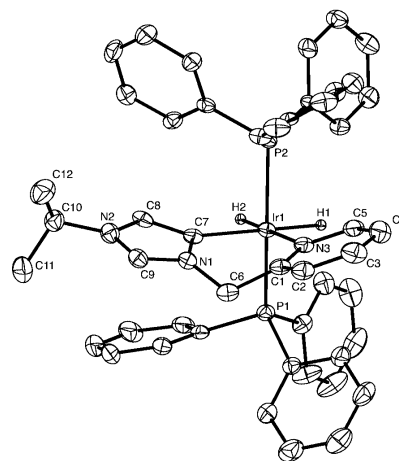
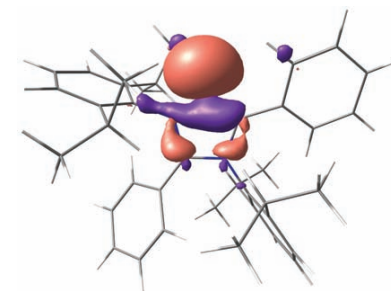
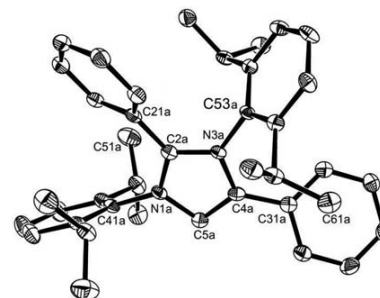
first free aNHC

C2- vs C4- bound carbene

NHC vs aNHC

application to catalysts

4. summary



carbenes

The electrically neutral species $\text{H}_2\text{C:}$ and its derivatives, in which the carbon is covalently bonded to two univalent groups of any kind or a divalent group and bears two nonbonding electrons, which may be spin-paired (singlet state) or spin-non-paired (triplet state).

IUPAC Gold Book-carbenes (<http://goldbook.iupac.org/C00806.html>)

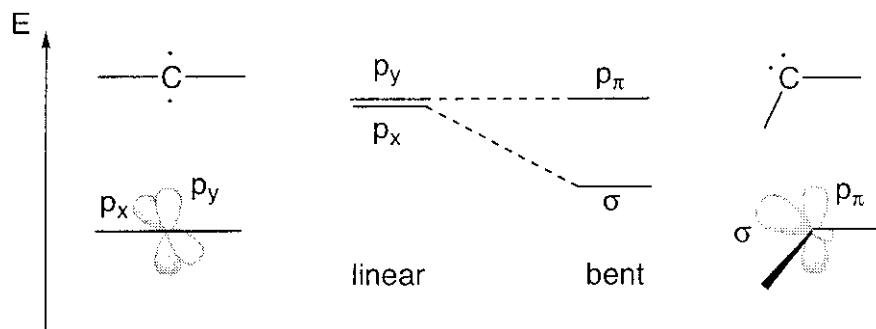
singlet vs triplet

Figure 2. Relationship between the carbene bond angle and the nature of the frontier orbitals.

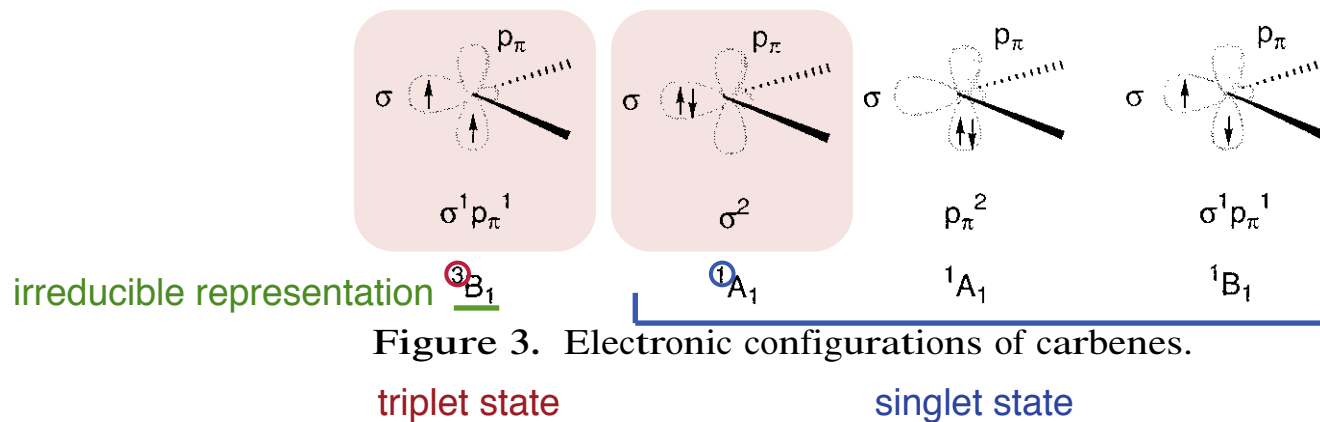
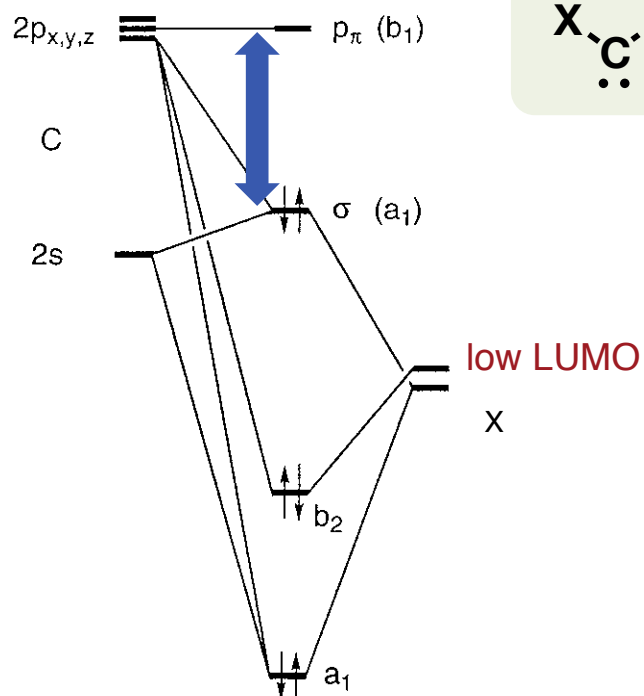


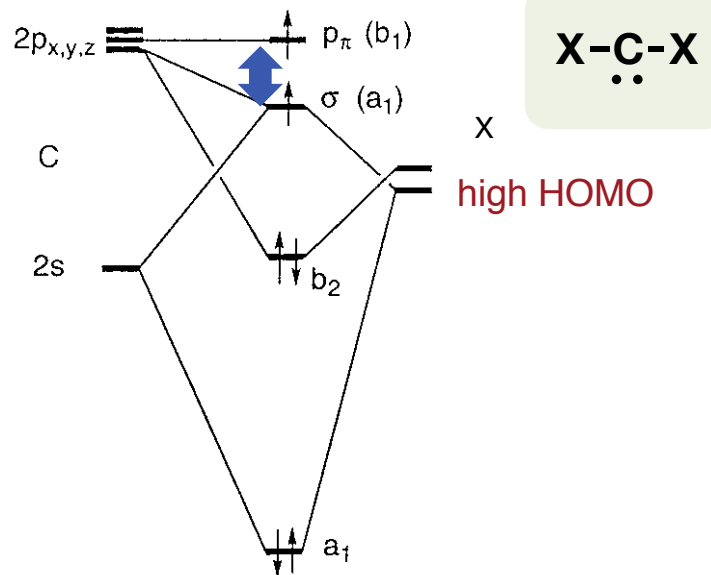
Figure 3. Electronic configurations of carbenes.

electronic effect -inductive effect-

σ -electron-withdrawing substituents



σ -electron-donating substituents

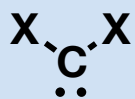


σ -electron withdrawing substituents favor the singlet state.

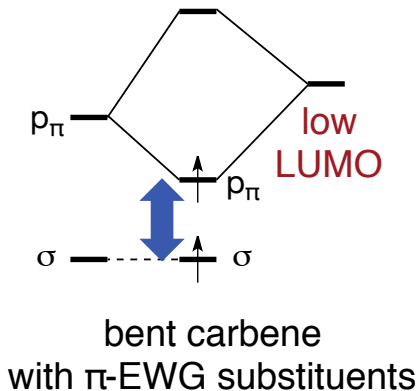
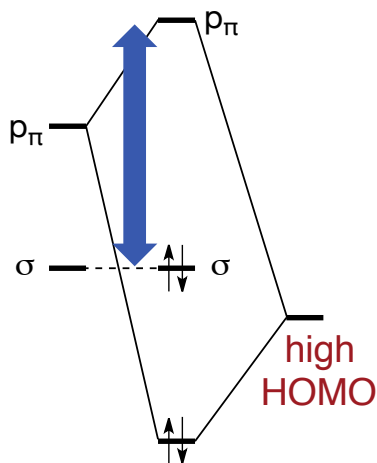
Carbenes

electronic effect -mesomeric effect-

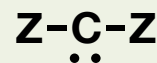
X: π -electron-donating substituents
F, Cl, Br, I, NR₂, PR₂, OR, SR, SR₃, ...



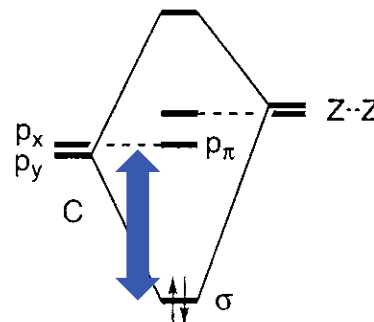
bent singlet carbene



X: π -electron-withdrawing substituents
COR, CN, CF₃, BR₂, SiR₃, PR₃⁺, ...



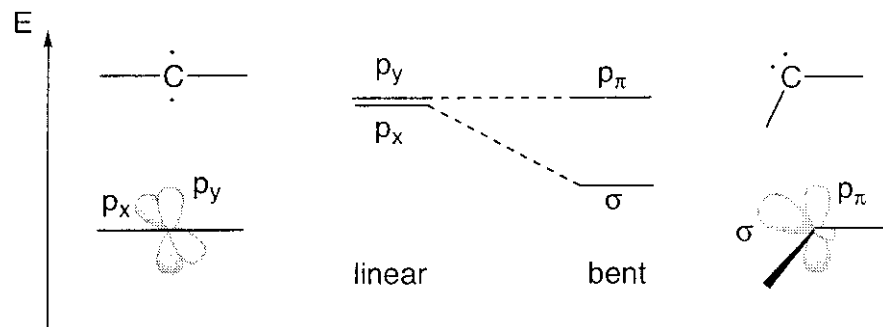
linear singlet carbene



- ▶ mesomeric effects can play a more significant role.
- ▶ (X,X)-carbenes are predicted to be bent singlet carbenes.
- ▶ (Z,Z)-carbenes are predicted to be linear singlet carbenes.

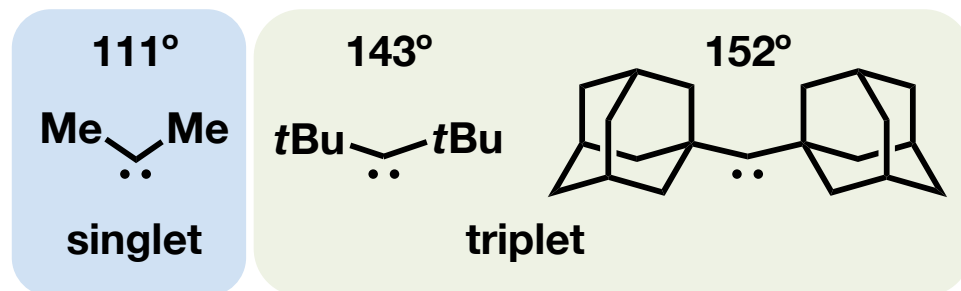
steric effect

- ▶ bulky substituents kinetically stabilize all types of carbenes
- ▶ if electronic effects are negligible...



➔ A linear geometry favors the triplet state.

Figure 2. Relationship between the carbene bond angle and the nature of the frontier orbitals.



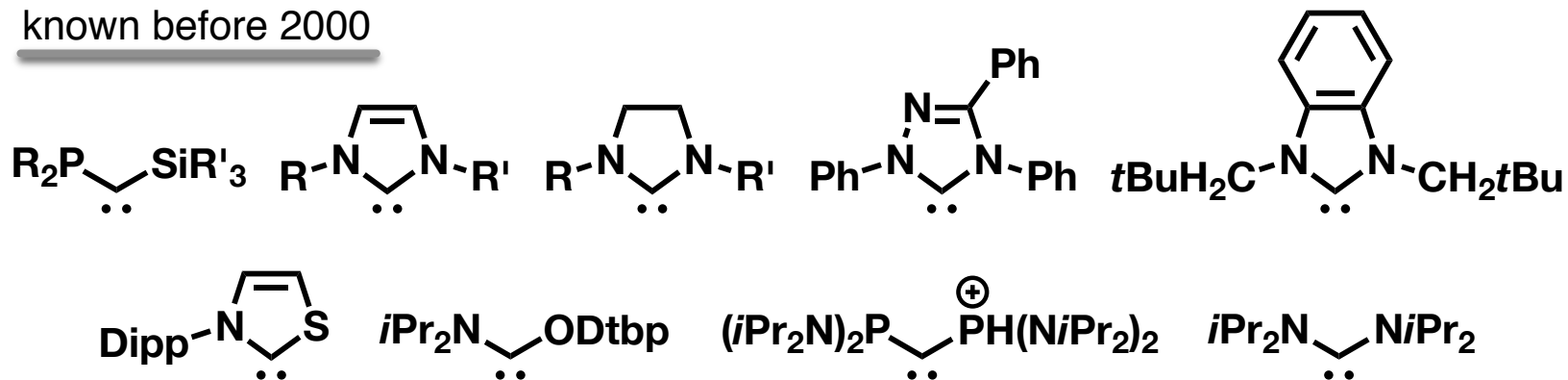
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carbenes

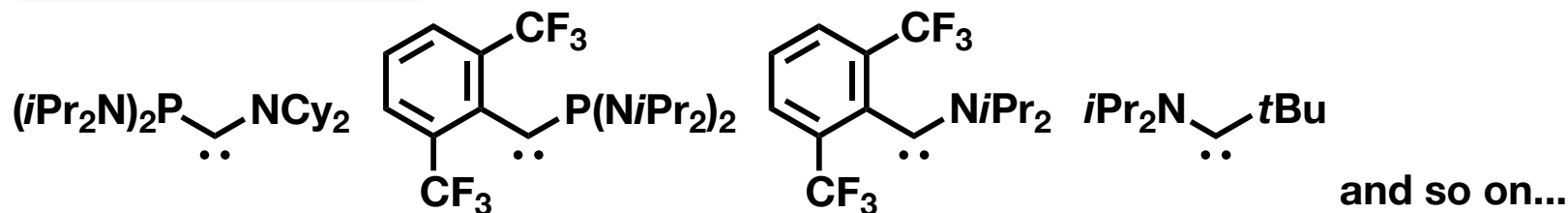
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known before 2000



discovered after 2000



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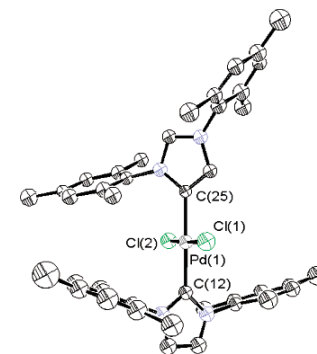
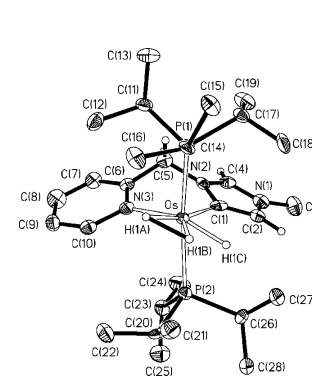
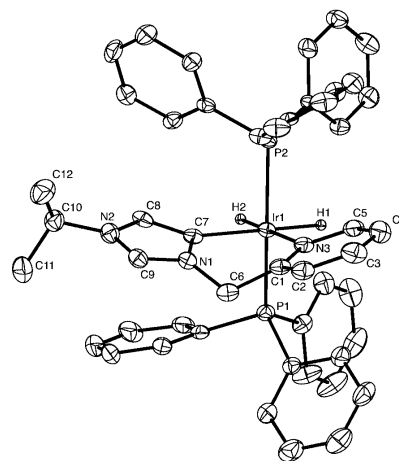
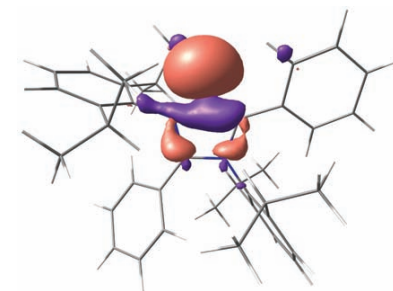
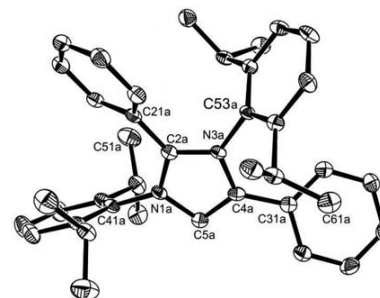
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C2- vs C4- bound carbene

NHC vs aNHC

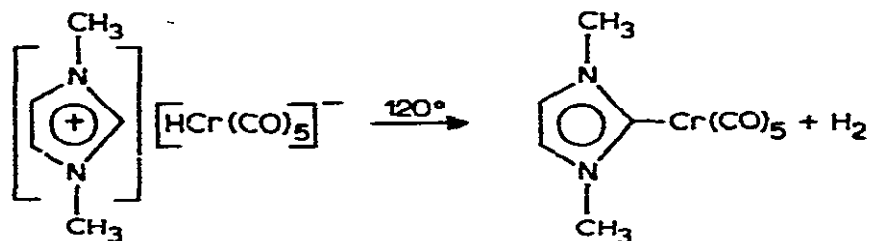
application to catalysts

4. summary



N-Heterocyclic Carbenes (NHCs)

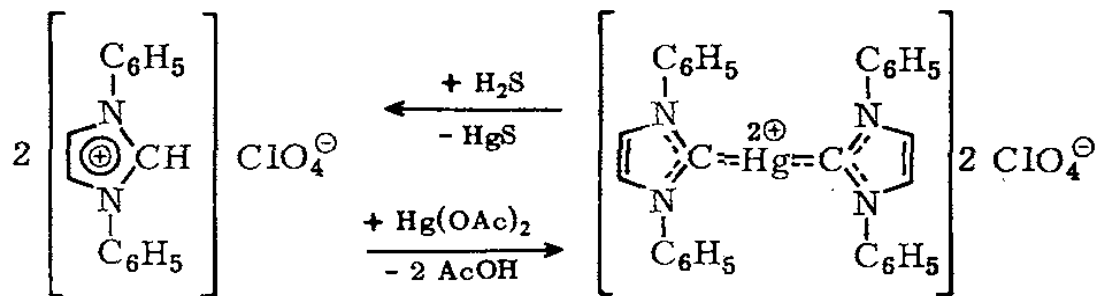
first metal-NHC complexes



pale yellow crystalline
air and thermally stable
mp. 100.5 °C

¹H NMR (d₆-acetone) δ = 7.24, 3.87 ppm

K. Öfele, *J. Organomet. Chem.* **1968**, 12, P42.

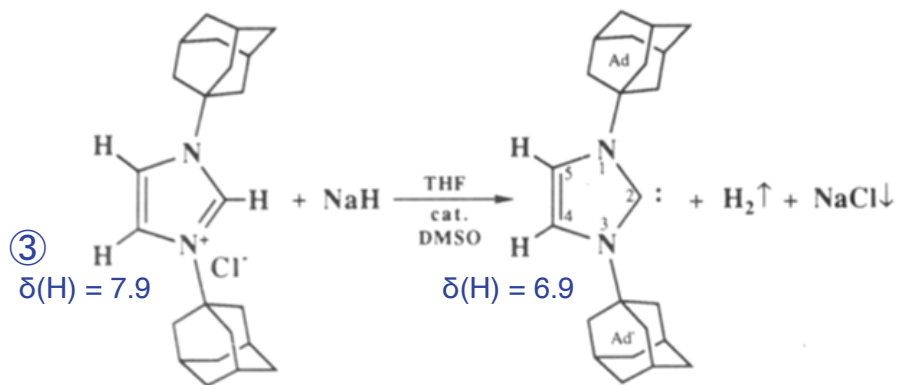


colorless rectangular plates
stable to acids
mp. ca. 370 °C (decomp.)

H.-W. Wanzlick, H.-J. Schönherr, *Angew. Chem. Int. Ed. Engl.* **1968**, 7, 141.

N-Heterocyclic Carbenes (NHCs)

first free, isolable NHC



colorless rectangular prism
stable in the absence of oxygen and moisture
mp. 240-241 °C

features of this NHC

- ① small N-C-N angle
- ② longer C-N bonds
- ③ diminished π -delocalization

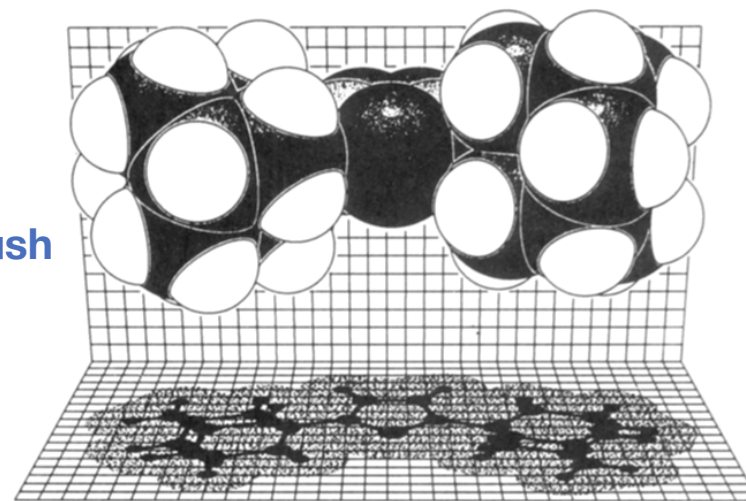
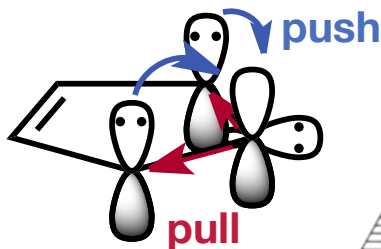


Figure 1. Space-filling KANVAS¹ drawing of the X-ray structure of **1**.

Table I. Selected Bond Lengths (pm) and Angles (deg) in **1**

bond length		bond angle	
② C ₂ -N ₁	136.7 (2)	① N ₁ -C ₂ -N ₃	102.2 (2)
C ₂ -N ₃	137.3 (2)	C ₅ -N ₁ -C ₂	112.1 (2)
C ₄ -C ₅	133.8 (3)	C ₄ -N ₃ -C ₂	112.3 (2)
N ₁ -C ₅	138.2 (2)	N ₁ -C ₅ -C ₄	107.2 (2)
N ₃ -C ₄	138.6 (2)	N ₃ -C ₄ -C ₅	106.2 (2)
N ₁ -C ₁ -Ad	148.2 (2)	C ₂ -N ₁ -C ₁ -Ad	123.4 (2)
N ₃ -C ₁ -Ad'	148.5 (2)	C ₂ -N ₃ -C ₁ -Ad'	122.1 (2)

imidazolium salts
1.32 Å

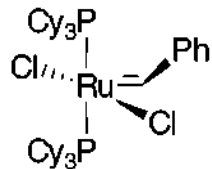
imidazolium salts
ca. 109°

What made this NHC isolable?

- ▶ electronic stabilization
 π -donation into the carbene p orbital
 σ -electronegativity effects of the nitrogens
- ▶ steric stabilization

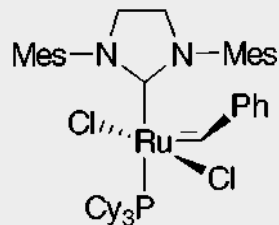
N-Heterocyclic Carbenes (NHCs)

NHCs as ligands for transition metals



(1)

1st generation
Grubbs catalyst



(2)

2nd generation
Grubbs catalyst

strong σ -donation

- enhance the dissociation of PCy_3
- stabilize the 14-e intermediate

steric bulk

- stabilize the 14-e intermediate

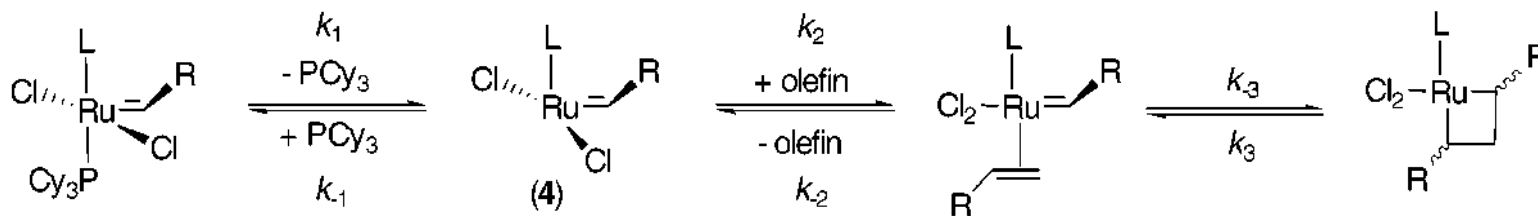


Table 2. Values for the k_{-1}/k_2 Ratio in Catalysts **1** and **2**

catalyst	T ($^{\circ}\text{C}$)	k_1 (intercept) (s^{-1})	k_B (predicted) (s^{-1})	k_{-1}/k_2
1	37	0.26	0.16	15300
2	50	0.0031	0.003	1.25

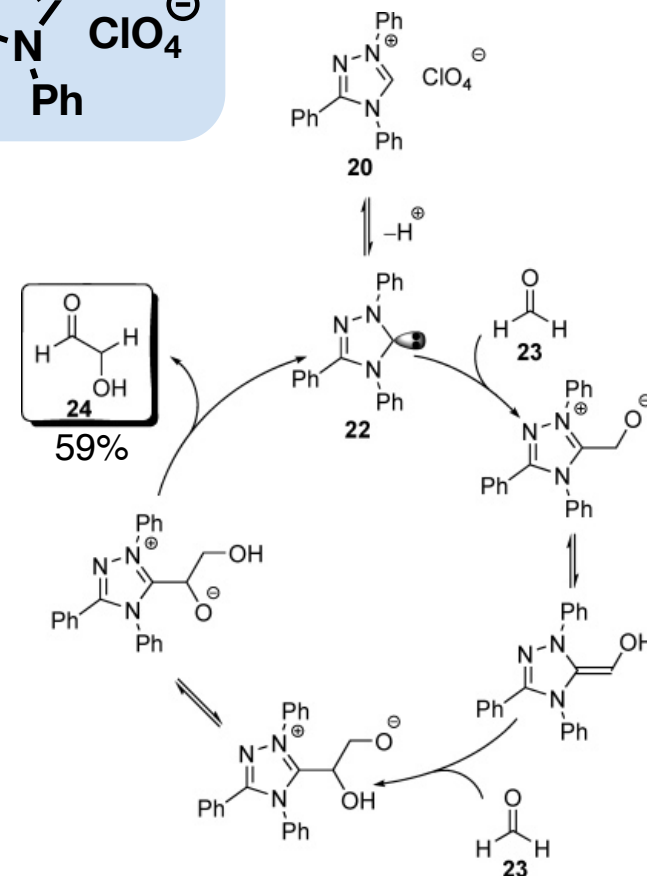
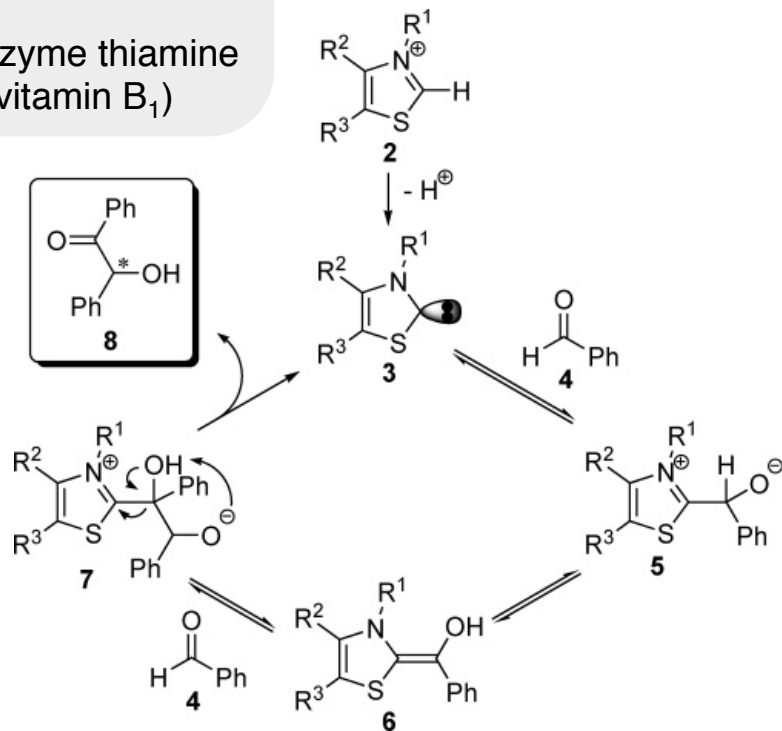
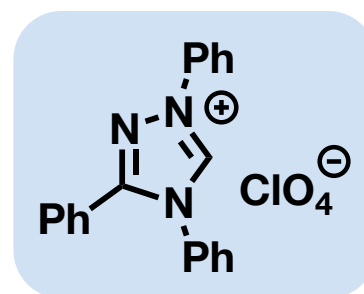
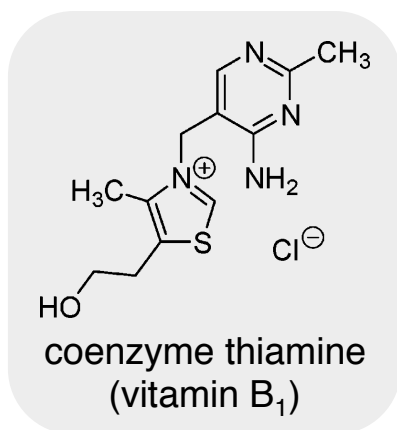
“the activity of catalyst **1** and **2** is not only related to the phosphine dissociation rate k_1 , but also to the k_{-1}/k_2 ratio which determines whether the catalyst binds olefin or returns to its resting state”

2nd generation Grubbs catalyst; M. Scholl, S. Ding, C. W. Lee, R. H. Grubbs, *Org. Lett.* **1999**, *1*, 953.
mechanistic study; M. S. Sanford, M. Ullman, R. H. Grubbs, *J. Am. Chem. Soc.* **2001**, *123*, 749.

M. S. Sanford, J. A. Love, R. H. Grubbs, *J. Am. Chem. Soc.* **2001**, *123*, 6543.

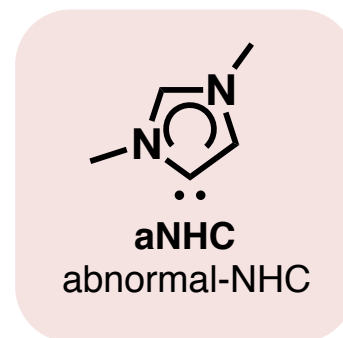
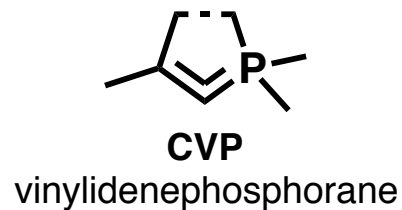
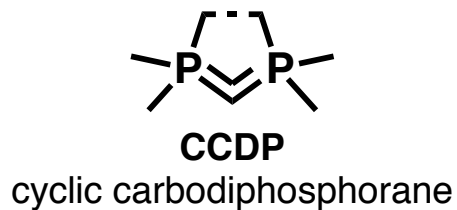
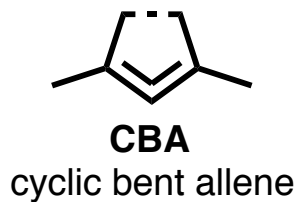
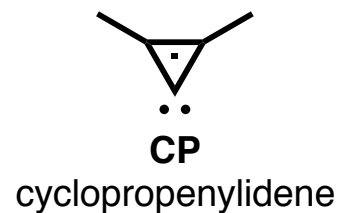
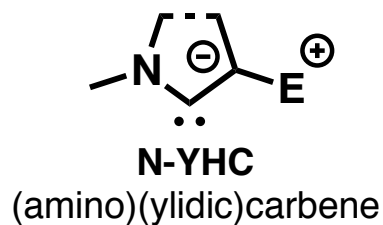
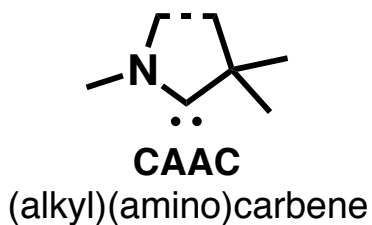
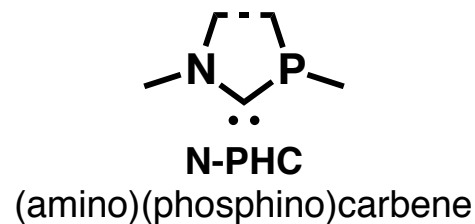
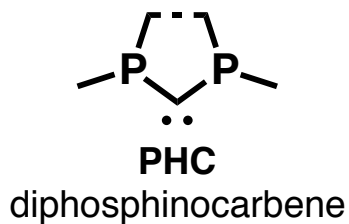
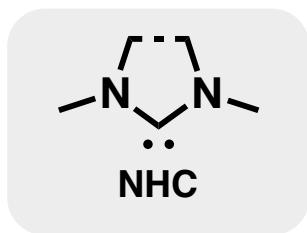
N-Heterocyclic Carbenes (NHCs)

NHCs as organocatalysts



T. Ugai, S. Tanaka, S. Dokawa,
J. Pharm. Soc. Jpn. **1943**, *63*, 296.
R. Breslow, *J. Am. Chem. Soc.* **1958**, *80*, 3719.

J. H. Teles, J.-P. Melder, K. Ebel, R. Schneider, E. Gehrler, W. Harder, S. Brode, D. Enders, K. Breuer, G. Raabe, *Helv. Chim. Acta.* **1996**, *79*, 61.



M. Melaimi, M. Soleilhavoup, G. Bertrand, *Angew. Chem. Int. Ed.* **2010**, *49*, 8810.

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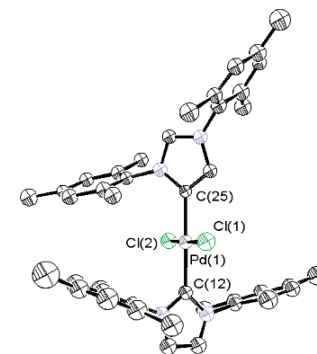
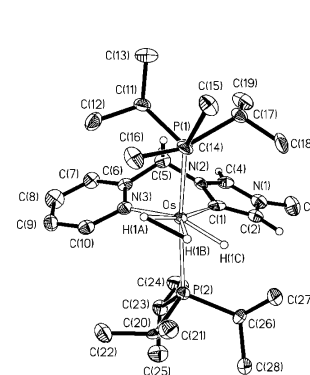
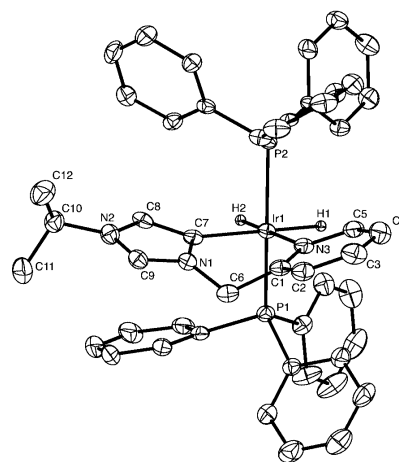
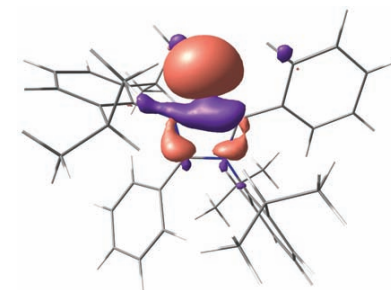
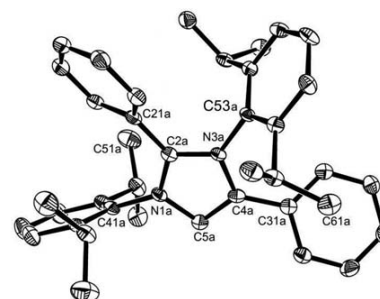
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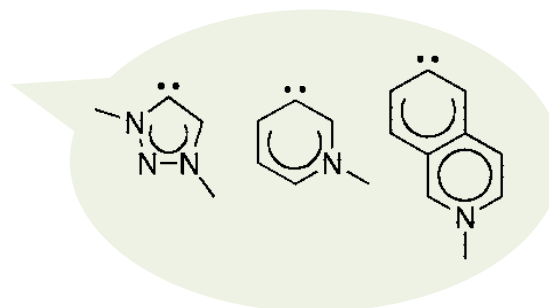
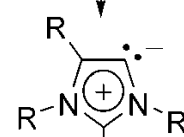
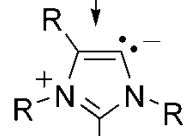
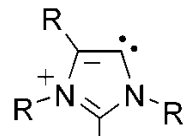
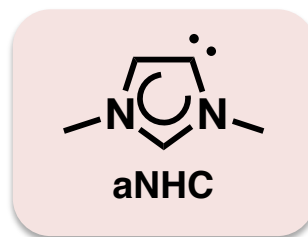
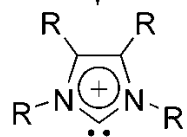
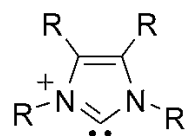
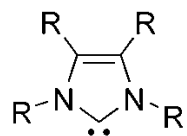
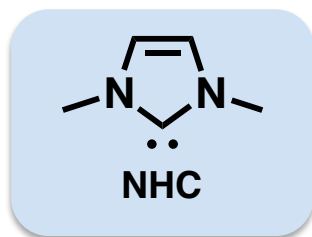


What is "Abnormal"?

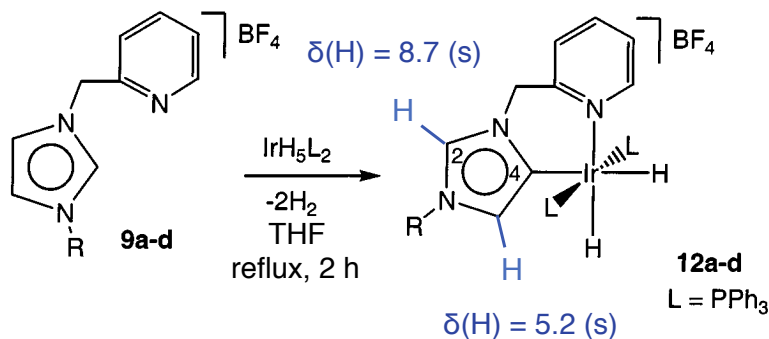
abnormal NHC (aNHC)

Those NHC ligands for which a canonical valence bond representation requires the introduction of additional formal charges on some nuclei.

O. Schuster, L. Yang, H. G. Raubenheimer, M. Albrecht, *Chem. Rev.* **2009**, *109*, 3445.



First Example of Metal-aNHC Complex



colorless solid
stable toward air and moisture

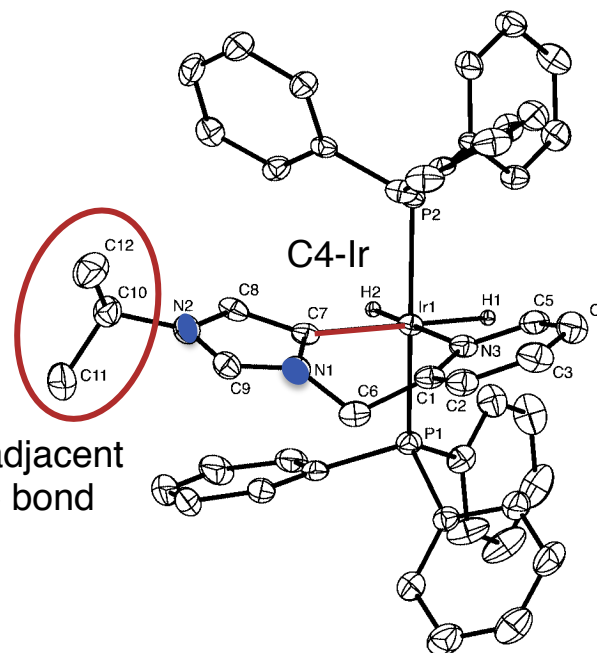
- ① DMSO, 100 °C, 1 h
- ② DMSO, 160 °C

- ① no reaction
- ② gradual decomp.

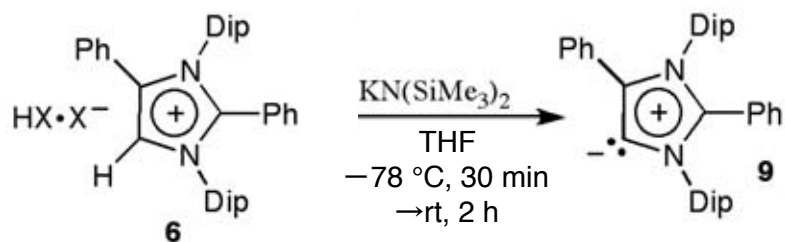
	R	yield (%)	mp. (°C)
12a	mesityl	79	255-257 (dec)
12b	<i>i</i> Pr	85	246-248 (dec)
12c	<i>n</i> Bu	68	229 (dec)
12d	Me	75 (C5/C2 = 1.2:1)	

- ① DMSO, 100 °C, several h
- ② DMSO, 100 °C, 3 d

- ① C4/C2 = 1.2:1
- ② decomp.



First Example of Free aNHC



X = Cl, Br

Dip: 2,6-diisopropylphenyl

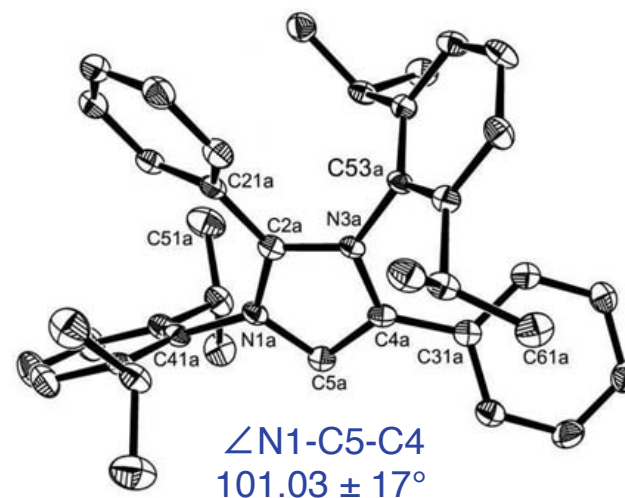
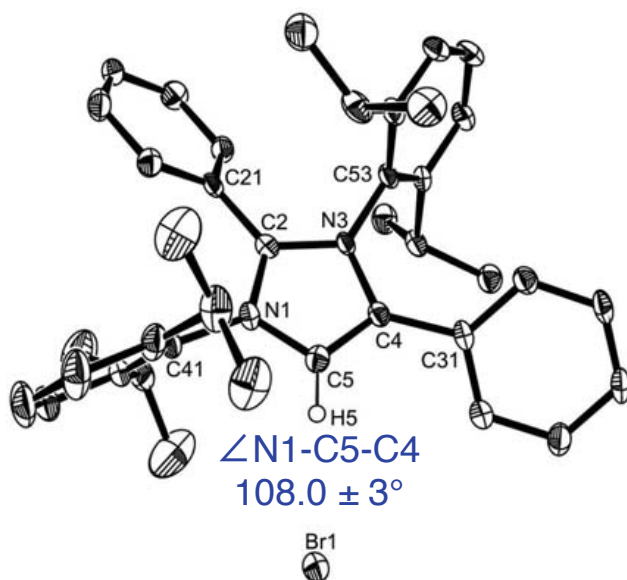
68% yield (X = Cl)

green powder

recrystallization from Hex. at $-78\text{ }^\circ\text{C}$

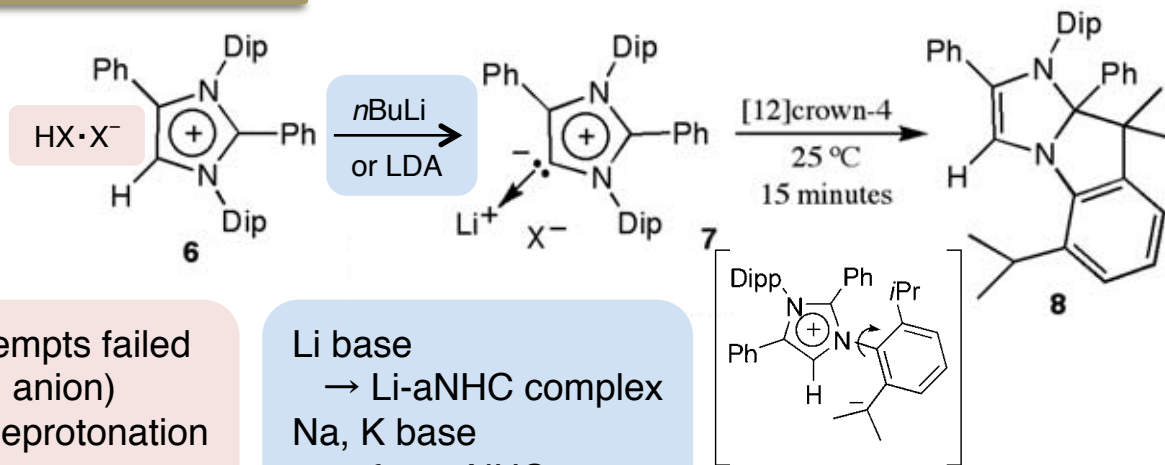
yellow crystal

yellow crystal
sensitive to air
stable at rt for a few days
mp. $65\text{ }^\circ\text{C}$ (dec)



First Example of Free aNHC

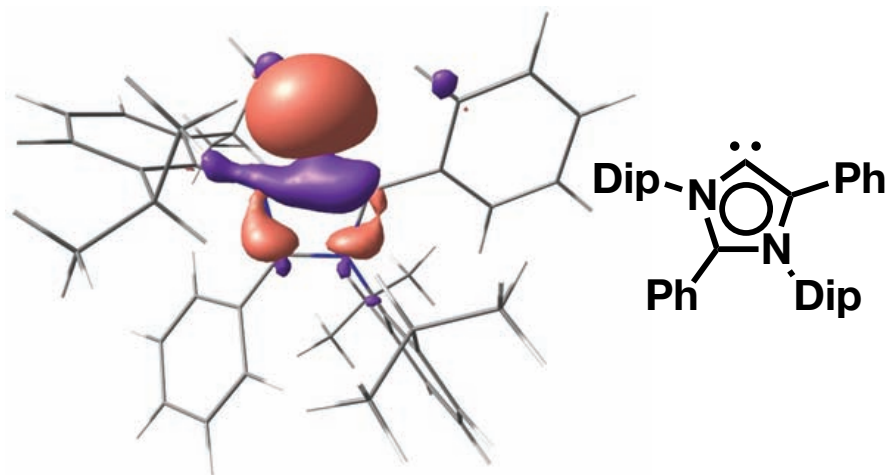
counter anion and base effects



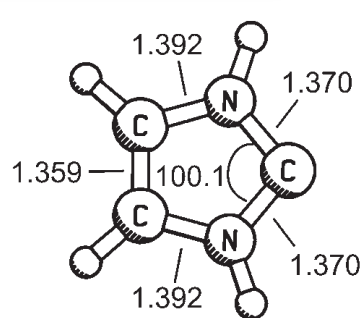
$\text{X} = \text{BF}_4^- \rightarrow$ all attempts failed
 $\text{X} = \text{Cl}^-, \text{Br}^-$ (small anion)
 \rightarrow promote the deprotonation reaction at C5

Li base
 \rightarrow Li-aNHC complex
 Na, K base
 \rightarrow free aNHC

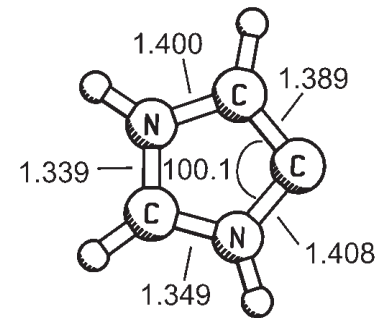
HOMO of aNHC



relative energy



NHC (0.0 kcal/mol)



aNHC (17.4 kcal/mol)

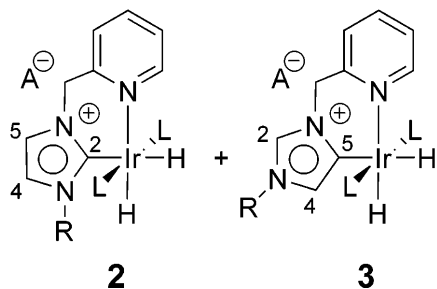
R. Tonner, G. Heydenrych, G. Frenking, *Chem. Asian J.* **2007**, *2*, 1555.
 E. Aldeco-Perez, A. J. Rosenthal, B. Donnadieu, P. Parameswaran, G. Frenking, G. Bertrand,
Science, **2009**, *326*, 556.

C2- vs C4-bound Carbene

kinetic vs thermodynamic -calculation-

Descriptor	Complex ^[a]	Central atoms	L	nNHC	aNHC
				E_{rel}	E_{rel} (kcal/mol)
1		Ti (1Ti) Zr (1Zr) Hf (1Hf)	Free L	0.0	17.4
			1Ti	0.0	11.9
			1Zr	0.0	11.7
2		Cr (2Cr) Mo (2Mo) W (2W)	1Hf	0.0	11.7
			2Cr	0.0	13.7
			2Mo	0.0	13.6
3		Fe (3Fe) Ru (3Ru) Os (3Os)	2W	0.0	13.5
			3Fe	0.0	13.7
			3Ru	0.0	13.4
4		Cu (4Cu) Ag (4Ag) Au (4Au)	3Os	0.0	13.2
			4Cu	0.0	13.4
			4Ag	0.0	12.8
			4Au	0.0	13.2

R. Tonner, G. Heydenrych, G. Frenking, *Chem. Asian J.* **2007**, *2*, 1555.



	nNHC (2) E (kcal/mol)	aNHC (3) E (kcal/mol)
free	0	14.5
Ir	0	10.1

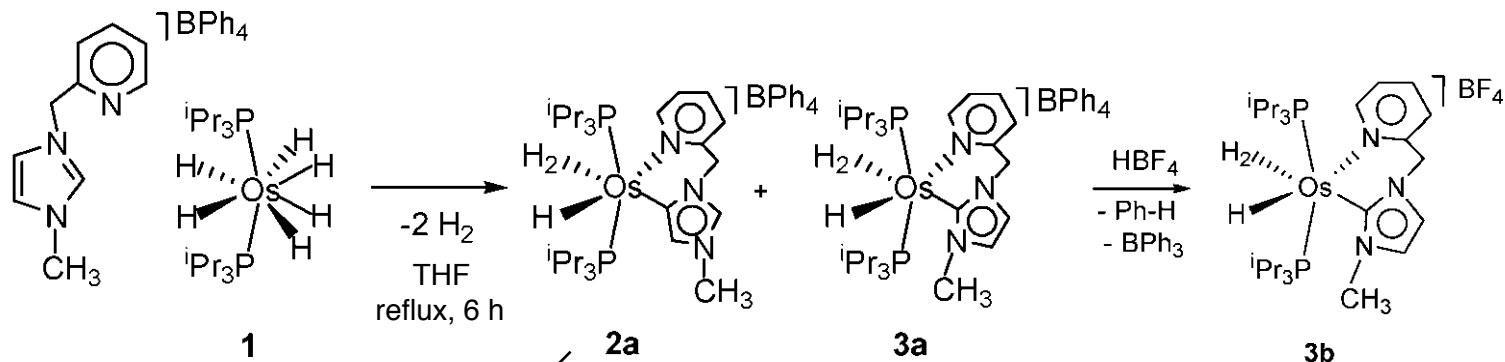
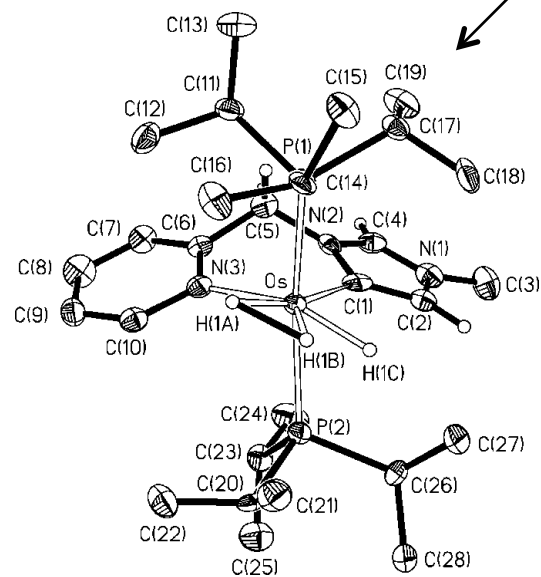
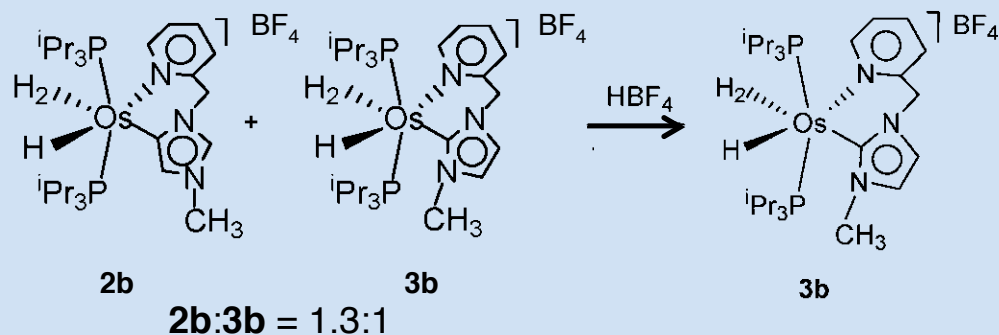
*didn't introduce anion effect.

L. N. Appelhans, D. Zuccaccia, A. Kovacevic, A. R. Chianese, J. R. Miecznikowski, A. Macchioni, E. Clot, O. Eisenstein, R. H. Crabtree, *J. Am. Chem. Soc.* **2005**, *127*, 6299.

- ▶ C2 is more stable than C4
- ▶ the energy differences between M-NHC and M-aNHC are decreased relative to the free ligands.

C2- vs C4-bound Carbene

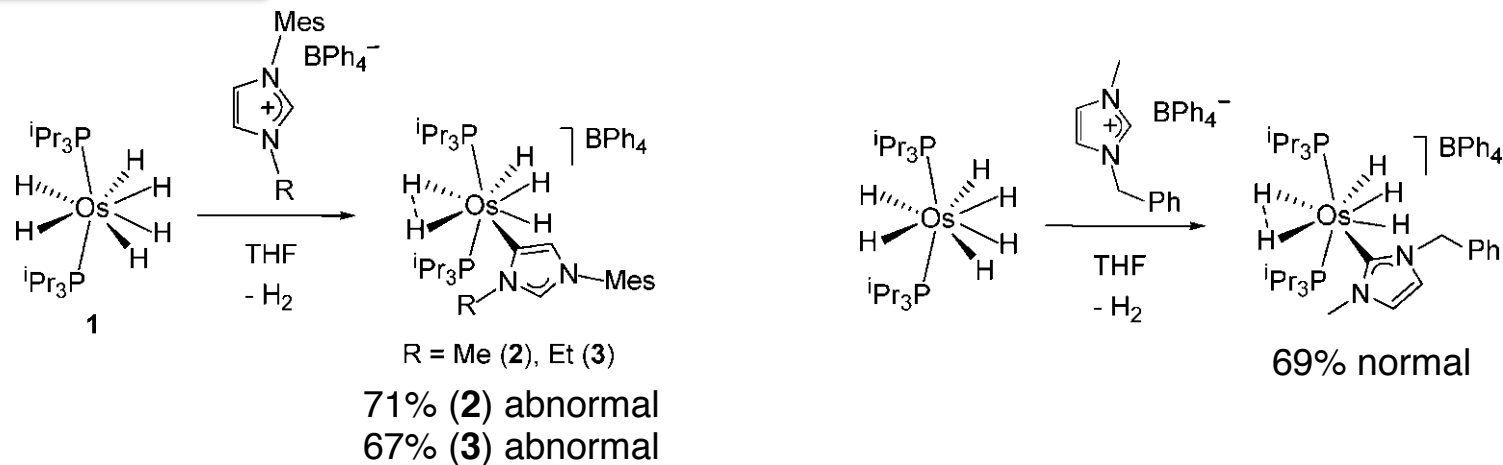
kinetic vs thermodynamic -experimental-

63% (**2a:3a** = 5.3:1)**2a** (yellow crystal)

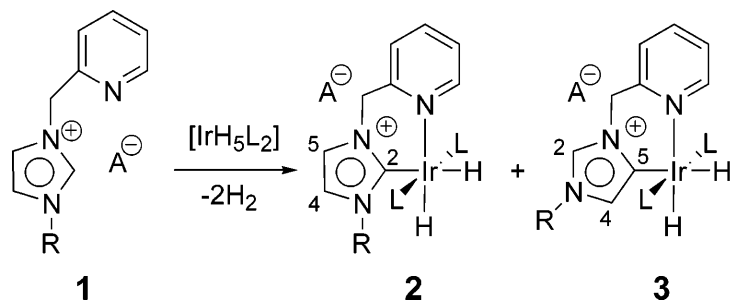
C4-bound carbene isomerized to its thermodynamically favored C2-bound carbene in acidic condition.

C2- vs C4-bound Carbene

wingtip groups



B. Eguillor, M. A. Esteruelas, M. Oliván, M. Peurta, *Organometallics*, **2008**, *27*, 445.



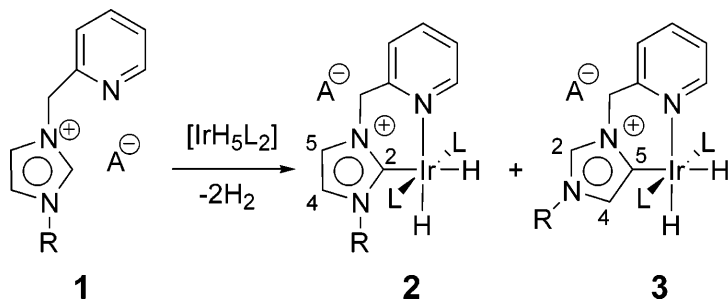
R	A	2 (normal)	3 (abnormal)
Me	Br	91	9
<i>i</i> Pr	Br	84	16
.....			
Me	BF ₄	45	55
<i>i</i> Pr	BF ₄	0	100

L. N. Appelhans, D. Zuccaccia, A. Kovacevic, A. R. Chianese, J. R. Miecznikowski, A. Macchioni, E. Clot, O. Eisenstein, R. H. Crabtree, *J. Am. Chem. Soc.* **2005**, *127*, 6299.

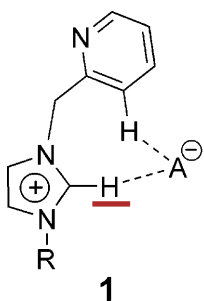
Large wingtip groups favor C4-bound carbene.

C2- vs C4-bound Carbene

counter anion effect



R	A	2 (normal)	3 (abnormal)
Me	Br	91	9
Me	OAc	80	20
Me	BF_4	45	55
Me	PF_6	50	50
Me	SbF_6	11	89

imidazolium **1** ion-pair solution structure

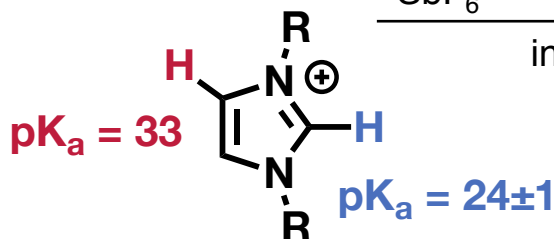
A	$\delta(\text{H})$ (ppm)
Br	9.71
BF_4	8.66
PF_6	8.44
SbF_6	8.38

in CDCl_3

stronger $\text{H}\cdots\text{A}$ bond

ion-pair energetics

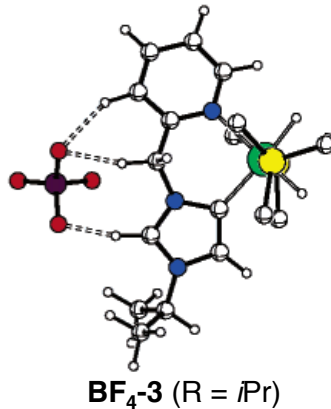
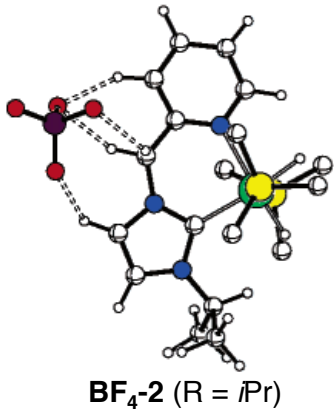
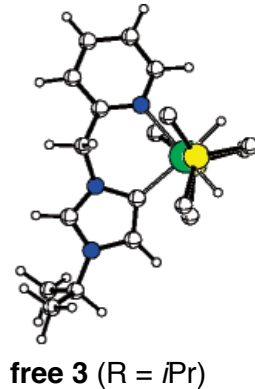
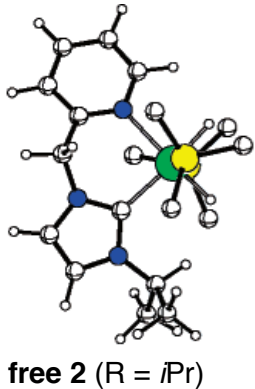
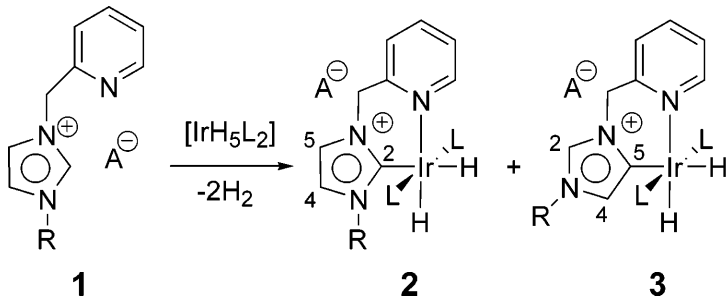
anion	2 E (kcal/mol)	3 E (kcal/mol)
none	0	10.1
Br	0	3.8
OAc	0	0.9
BF_4	0	1.6



L. N. Appelhans, D. Zuccaccia, A. Kovacevic, A. R. Chianese, J. R. Miecznikowski, A. Macchioni, E. Clot, O. Eisenstein, R. H. Crabtree, *J. Am. Chem. Soc.* **2005**, *127*, 6299.
O. Schuster, L. Yang, H. G. Raubenheimer, M. Albrecht, *Chem. Rev.* **2009**, *109*, 3445.

C2- vs C4-bound Carbene

counter anion effect



	free 2	BF_4^- -2	free 3	BF_4^- -3
Ir-H _c (Å)	1.600	1.608	1.623	1.630
Ir-C2	2.115	2.114	-	-
Ir-C5	-	-	2.098	2.107
C2-H	-	-	1.079	1.092
C5-H	1.079	1.082	-	-

aNHC vs NHC

- ▶ the higher electron-donating power of aNHC
 - shorter Ir-C bond (2.098 vs 2.115)
 - higher trans effect (1.623 vs 1.600)

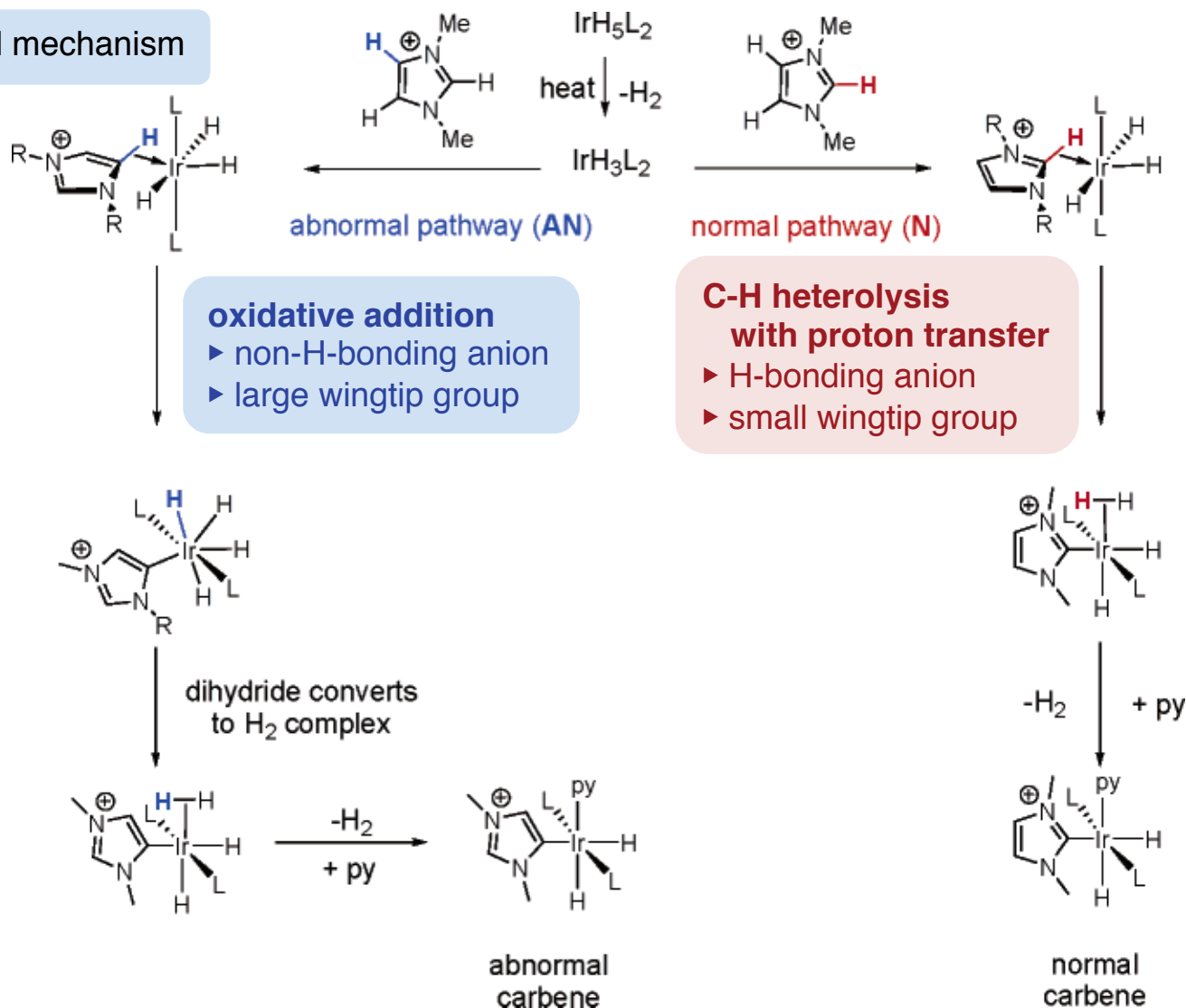
counter anion effect

- ▶ the presence of H-bonding interactions
 - longer C-H bond (1.082 vs 1.079 / 1.092 vs 1.079)

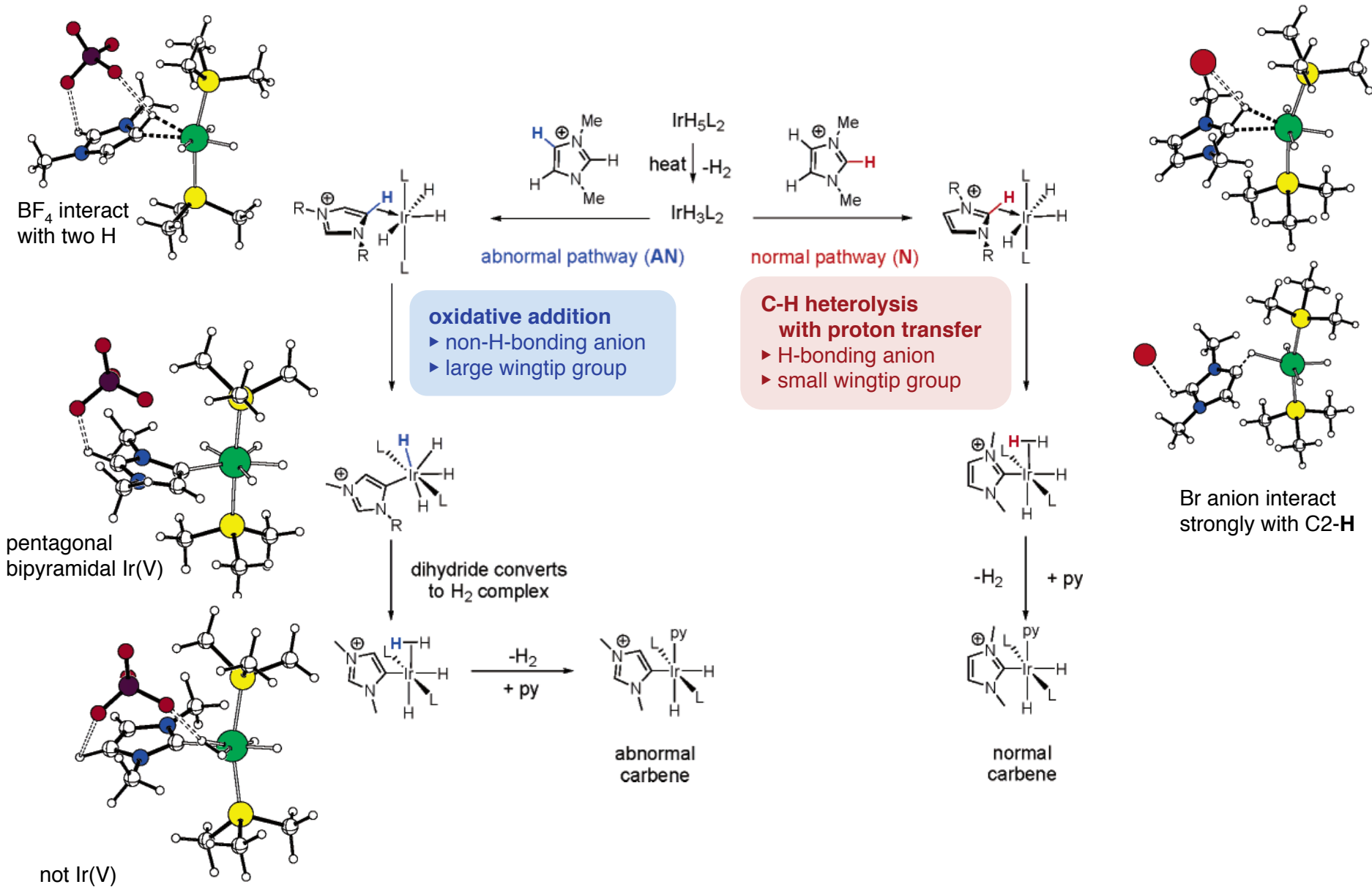
C2- vs C4-bound Carbene

counter anion effect

proposed mechanism



L. N. Appelhans, D. Zuccaccia, A. Kovacevic, A. R. Chianese, J. R. Miecznikowski, A. Macchioni, E. Clot, O. Eisenstein, R. H. Crabtree, *J. Am. Chem. Soc.* **2005**, *127*, 6299.



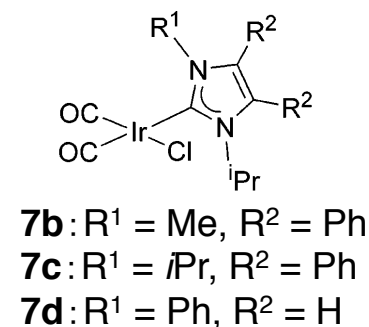
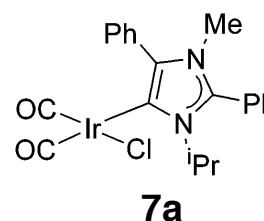
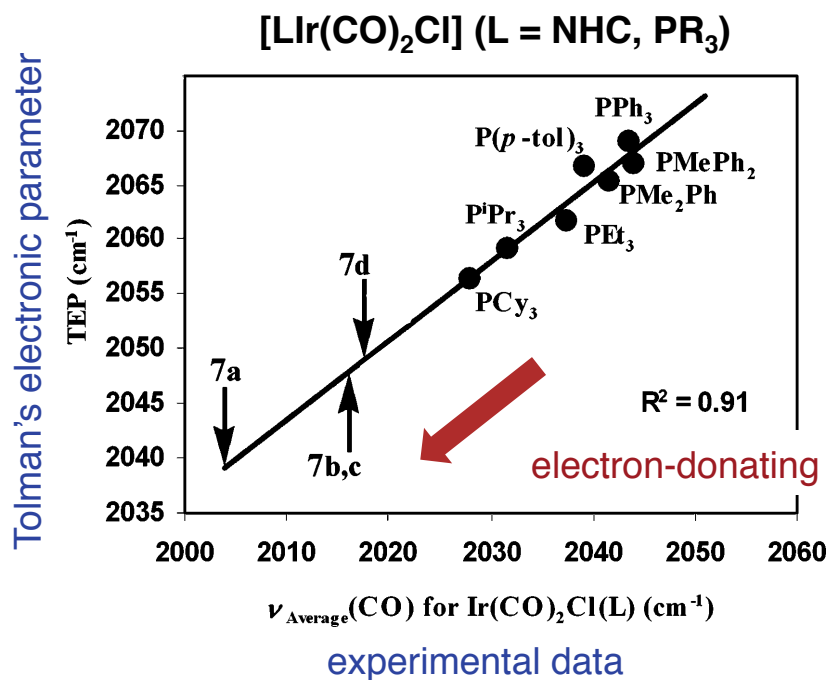
L. N. Appelhans, D. Zuccaccia, A. Kovacevic, A. R. Chianese, J. R. Miecznikowski, A. Macchioni, E. Clot, O. Eisenstein, R. H. Crabtree, *J. Am. Chem. Soc.* **2005**, *127*, 6299.

A Comparison of aNHCs with NHC

- ▶ aNHCs are stronger σ -electron donors than NHCs.
- ▶ aNHCs tend to cleave from the metal more easily than NHCs.

R. H. Crabtree, *J. Organomet. Chem.* **2005**, *690*, 5451.

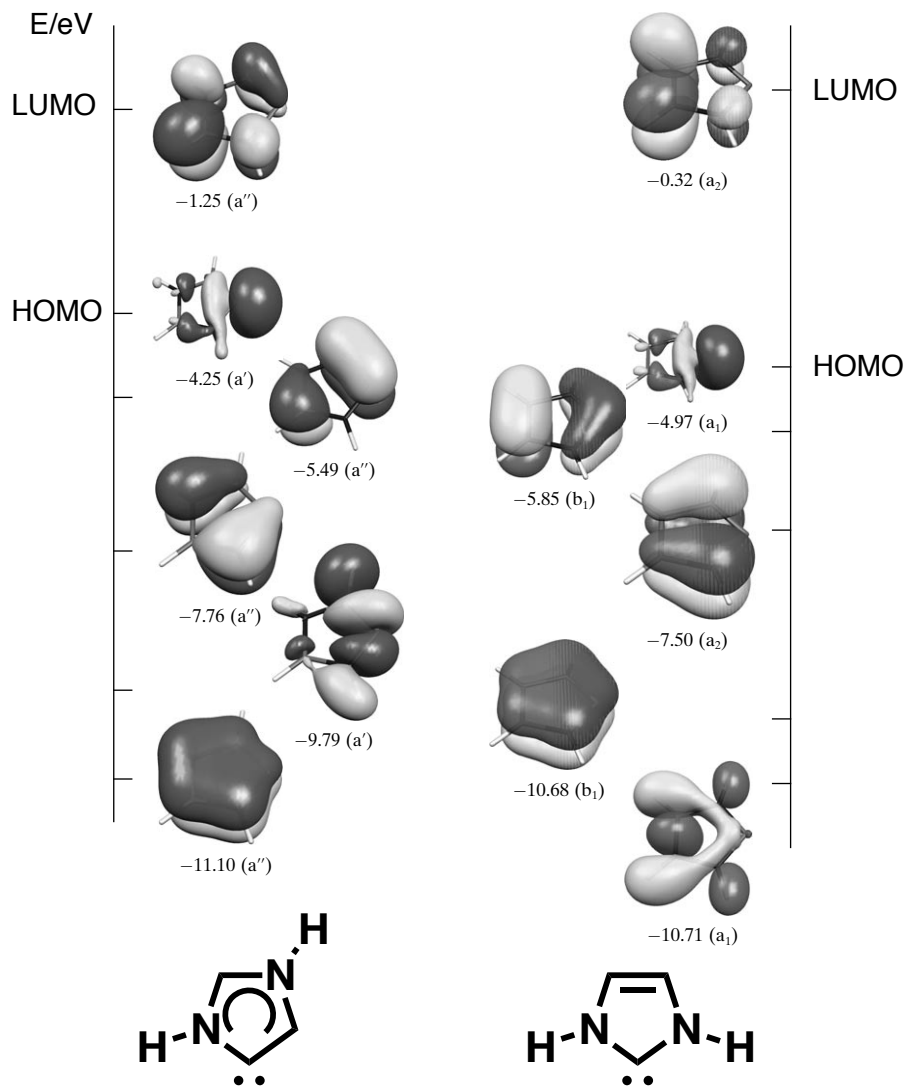
electron-donating ability -experimental-

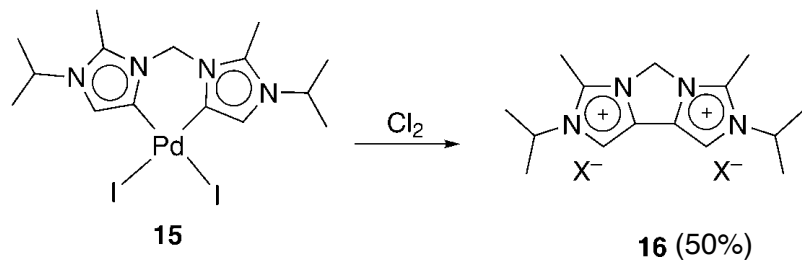
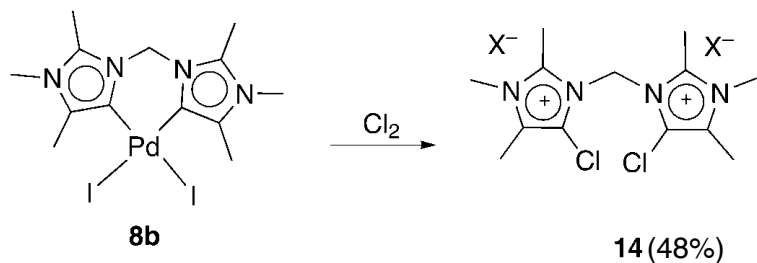
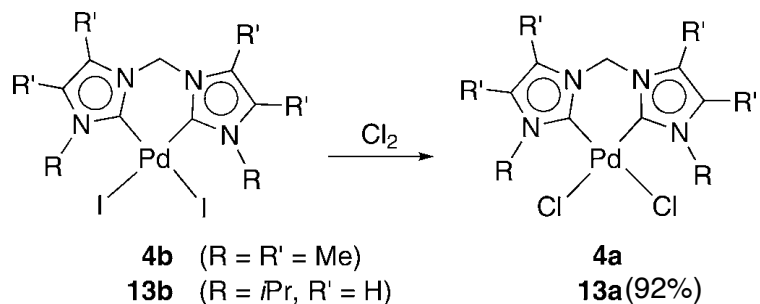


A. R. Chianese, A. Kovacevic, B. M. Zeglis, J. W. Faller, R. H. Crabtree, *Organometallics*, **2004**, *23*, 2461.

A Comparison of aNHCs with NHC

electron-donating ability -calculation-

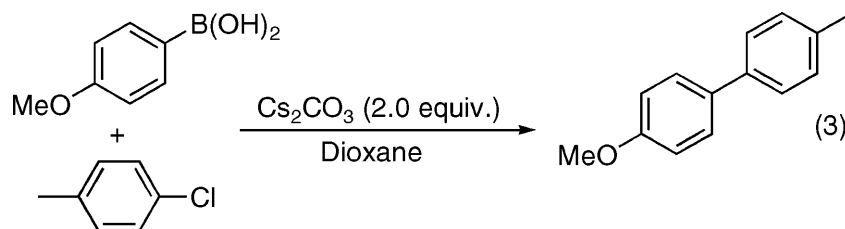
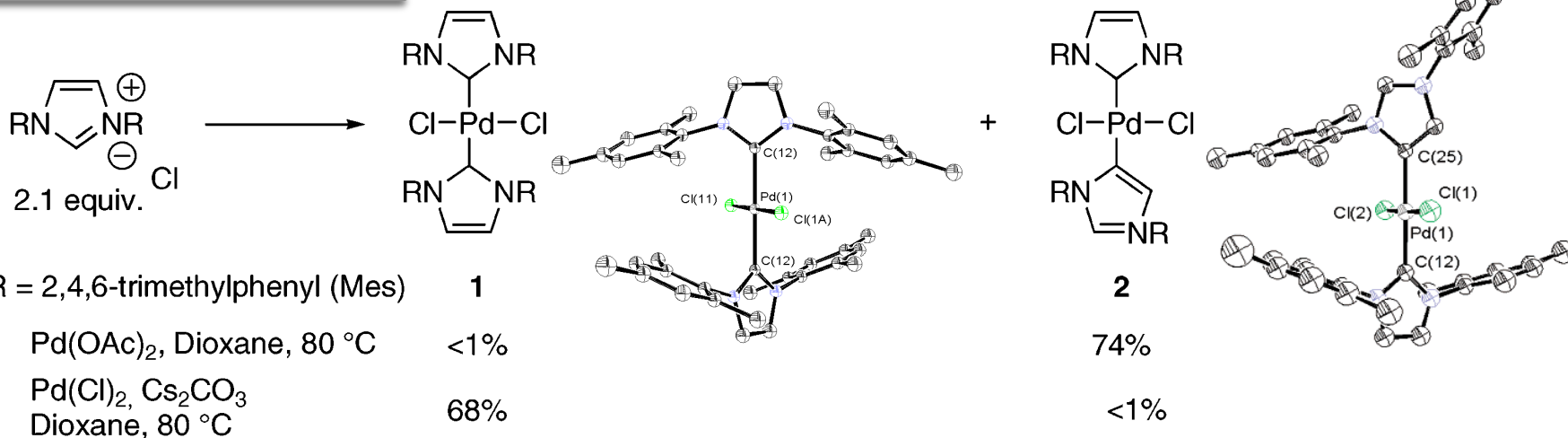


cleavage from the metal

Scheme 4. Reactivity of abnormal dicarbene complexes towards molecular chlorine ($X^- = [\text{PdCl}_3(\text{DMSO})]^-$ or $0.5[\text{PdCl}_4]^{2-}$).

aNHCs as Ligands for Transition Metals

Suzuki–Miyaura coupling



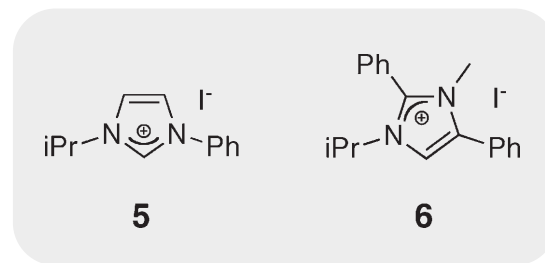
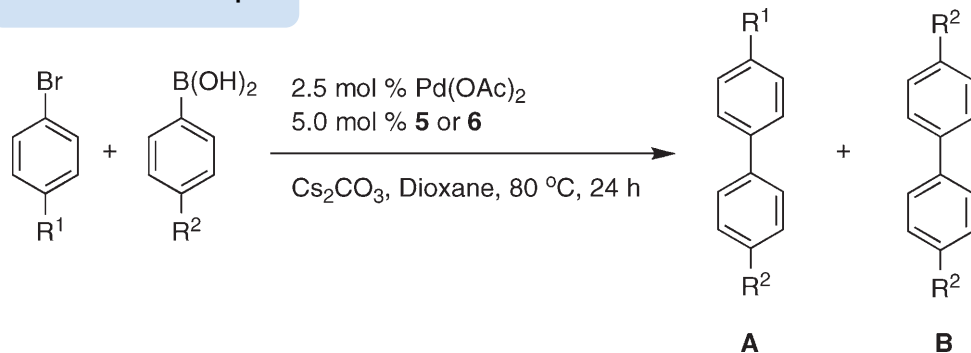
entry	catalyst (2 mol %)	temp (°C)	yield (%)
1	complex 1	80	<5
2	complex 2	80	44
3	IMes·HCl, Pd(OAc) ₂ (2:1)	80	76
4	IMes·HCl, Pd(OAc) ₂ (1:1)	80	28

the first report of the catalytic activity of aNHC

aNHCs as Ligands for Transition Metals

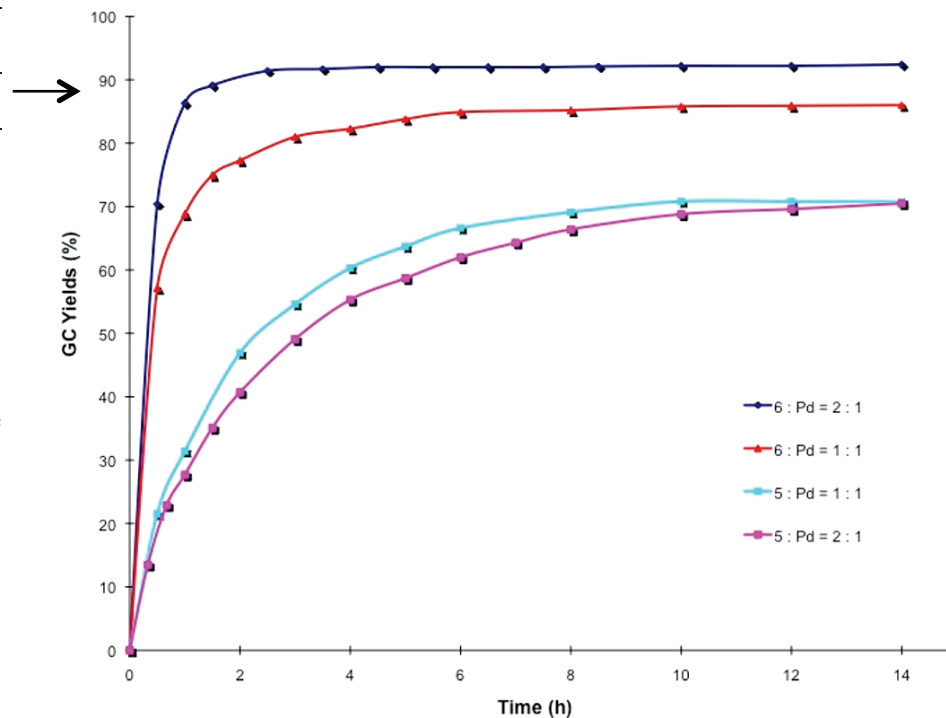
Suzuki–Miyaura coupling

substrate scope



entry	R ¹	R ²	5		6	
			A	B	A	B
1	OMe	Me	60 (57)	23	76 (73)	< 0.1
2	H	Me	79 (76)	14	90 (89)	< 0.1
3	F	Me	75 (72)	28	84 (76)	< 0.1
4	Me	OMe	41 (34)	10	64 (61)	< 0.1
5	Me	H	30 (25)	4	66 (62)	2
6	Me	F	6 (4)	4	29 (24)	1.3
7	H	OMe	67 (63)	7	78 (70)	< 0.1
8	H	F	30 (25)	3	50 (41)	< 0.1

^a GC yields using dodecane as an internal standard; isolated yields are shown in parentheses, average of at least two runs.



hydrogenation

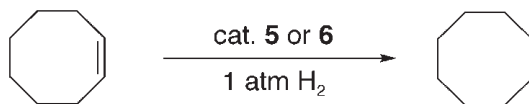
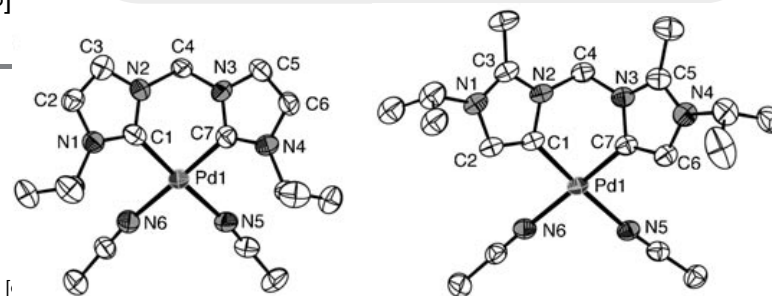
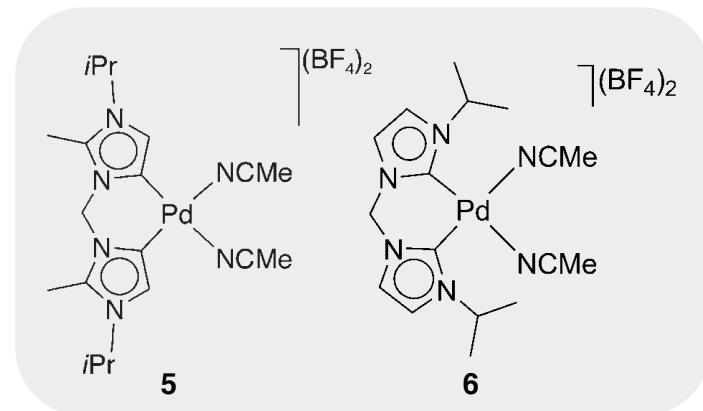


Table 1: Catalytic hydrogenation of cyclooctene with complexes **5** and **6**.^[a]

Entry	Solvent	<i>t</i> [h]	cat. loading ^[b]	Conversion ^[c] [%]	
				5	6
1	MeOH	8 (24)	1 %	78 (100)	24
2	EtOH	4.5	1 %	100	19
3	THF	8 (24)	1 %	50 (100)	9
4	CH ₂ Cl ₂	8 (24)	1 %	30 (100)	0
5	toluene	8 (24)	1 %	0 (34)	0
6	EtOH	2.5	3 %	100	n.d. ^[d]
7	EtOH	26	0.1 %	66	n.d.
8	EtOH	72	0.01 %	< 5	n.d.

[a] General conditions: cyclooctene (2.0 mmol), Pd complex (1 mol%), EtOH (6 mL), RT, 1 atm H₂; catalyst precursor **6** is the product from an AgBF₄-mediated halide abstraction from **3b** (Scheme 1, see also Ref. [12]). [b] In mol equiv relative to cyclooctene. [c] Determined by GC. [d] Not determined.



aNHCs as Ligands for Transition Metals

hydrogenation

about mechanism

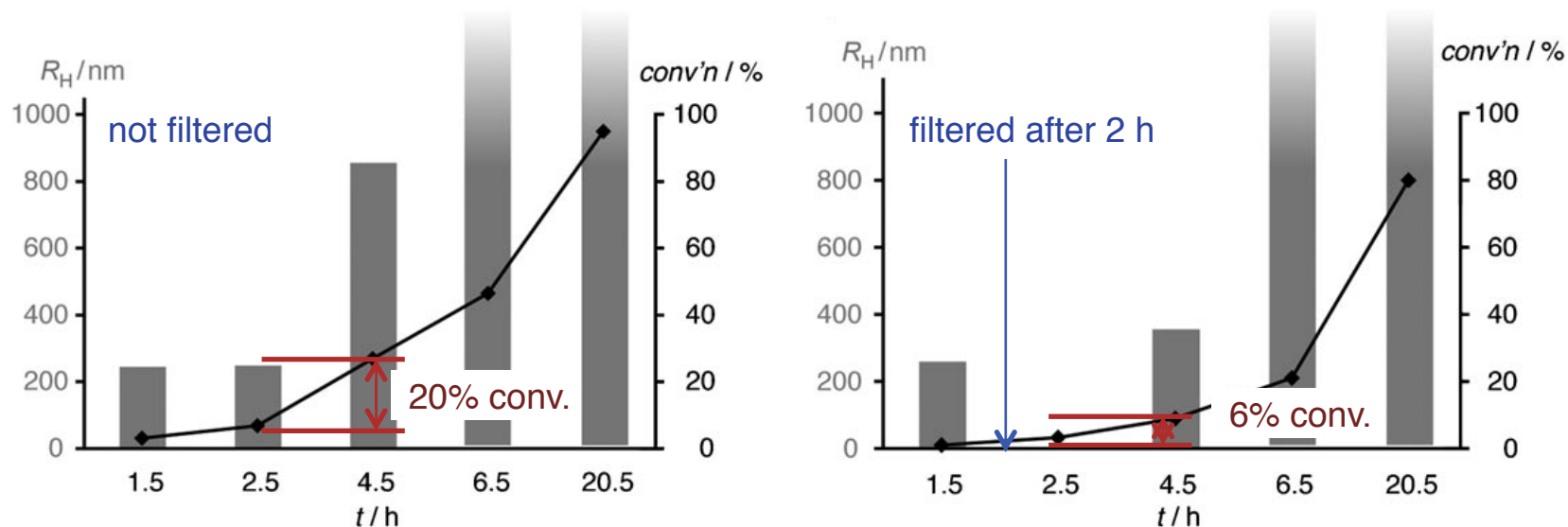


Figure 5. Correlation of particle size R_H (grey columns) and conversion (◆) for catalytic runs

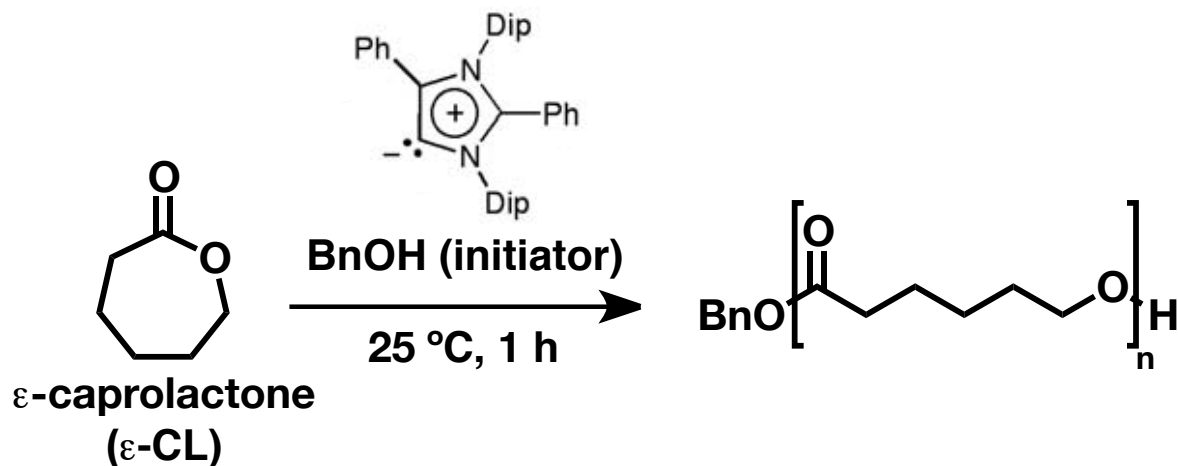
“formation of submicrometer size particles is essential for the catalytic activity, hence indicating a heterogeneous mechanism.”



Pd-aNHC complex didn't activate H-H bond...

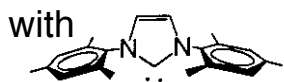
aNHCs as an Organocatalyst

ring opening polymerization of cyclic esters



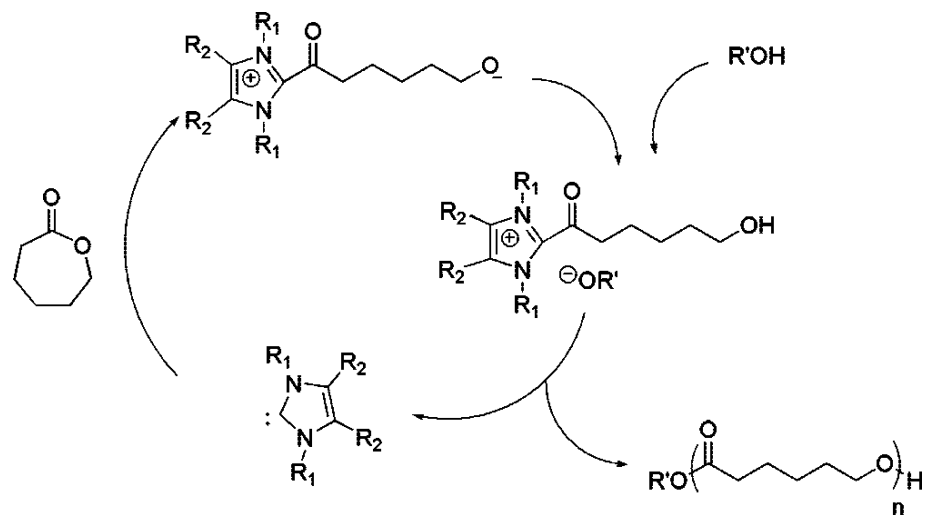
M	Solvent	$[M]_0/[I]/[BnOH]$	Conv. ^a (%)	M_n (NMR) ^a	M_n (theory) ^b	PDI
$\epsilon\text{-CL}$	Toluene	100/1/1	99	10 500	11 400	1.09
$\epsilon\text{-CL}$	THF	100/1/1	99	11 300	11 400	1.07
$\epsilon\text{-CL}$	THF	100/1/2	95	5100	5500	1.12
$\epsilon\text{-CL}^c$	THF	500/1/1	55	23 200	31 500	1.11

^a Determined by ^1H NMR spectroscopy. ^b Calculated from $MW_{\text{monomer}} \times (\% \text{ Conv.}) \times ([M]_0/[BnOH]_0) + MW_{\text{BnOH}}$. ^c Reaction time 2 h instead of usual 1 h reaction time.



24 h, rt

THF 120/1/2 99 1.30



T. K. Sen, S. C. Sau, A. Mukherjee, A. Modak, S. K. Mandal, *Chem. Commun.* **2010**, 47, 11972.

E. F. Connor, G. W. Nyce, M. Myers, A. Möck, J. L. Hedrick, *J. Am. Chem. Soc.* **2002**, 124, 914.

ring opening polymerization of cyclic esters

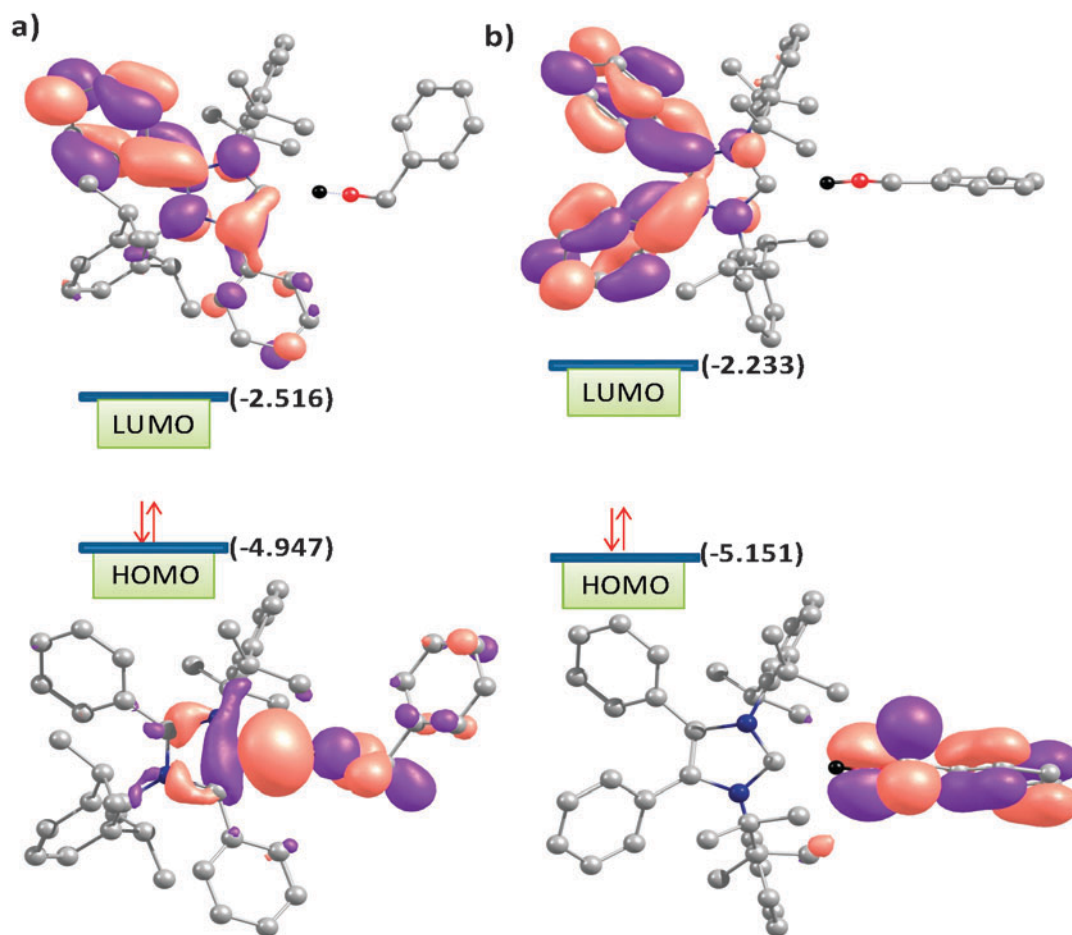
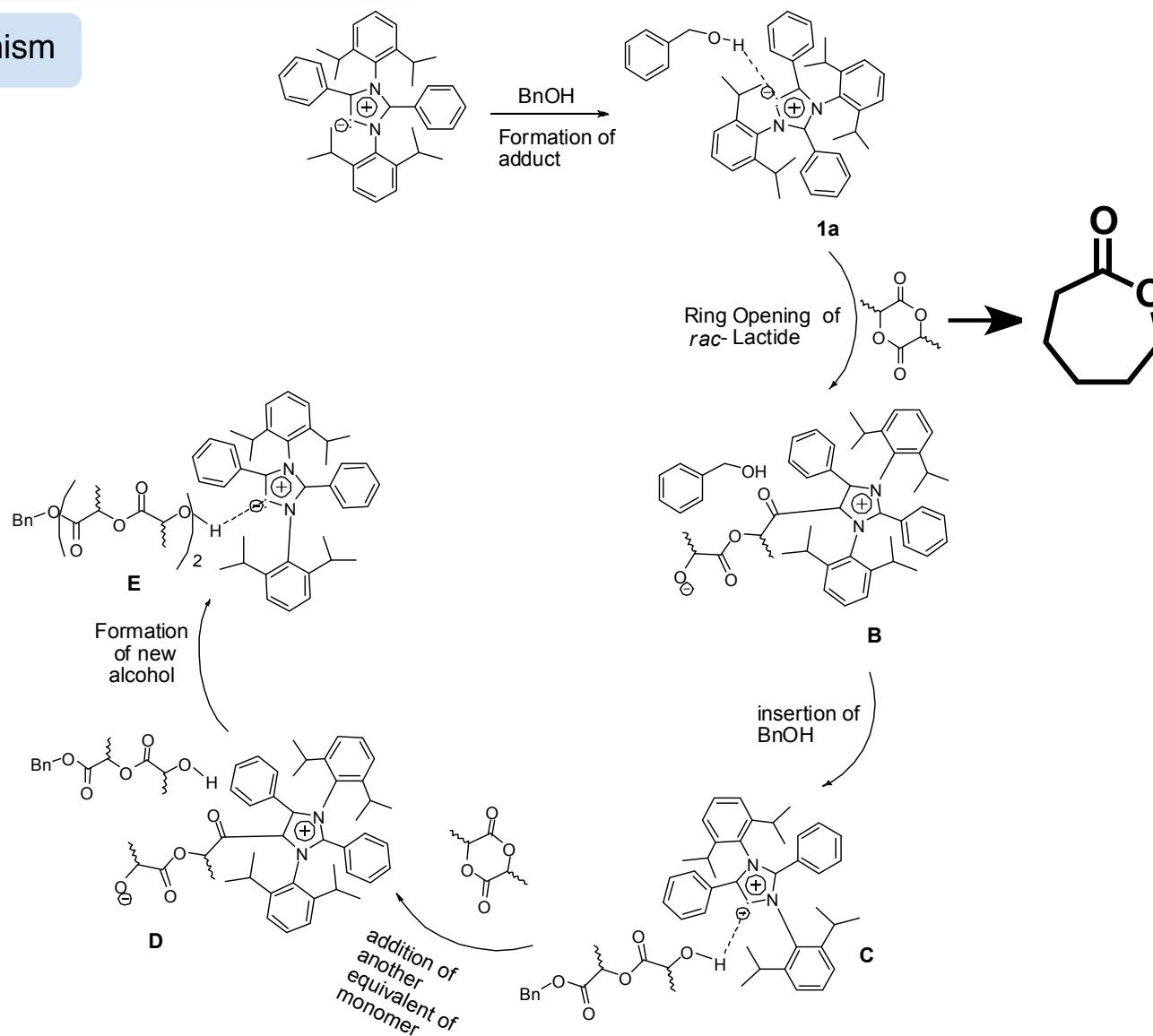


Fig. 2 Frontier KS-molecular orbitals for hydrogen bonded adducts with benzyl alcohol: (a) **1a** (*a*NHC···BnOH adduct) and (b) **2a** (*n*NHC···BnOH adduct). The orbital energies are in eVs.

aNHCs as an Organocatalyst

ring opening polymerization of cyclic esters

plausible mechanism



1. introduction

2. N-heterocyclic carbenes (NHCs)

first metal-NHC complex

first free, isolable NHC

NHCs as ligands for transition metals

NHCs as organocatalysts

3. abnormal N-heterocyclic carbenes (aNHCs)

first metal-aNHC complex

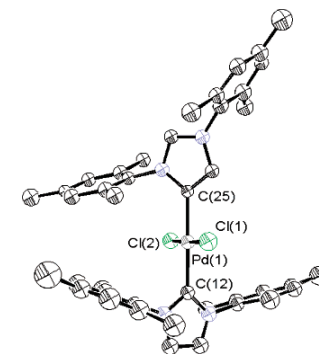
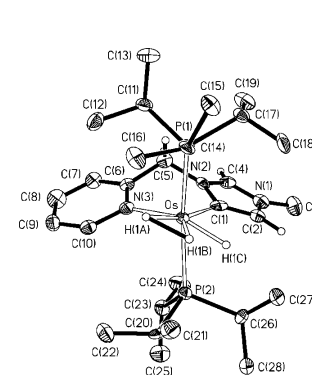
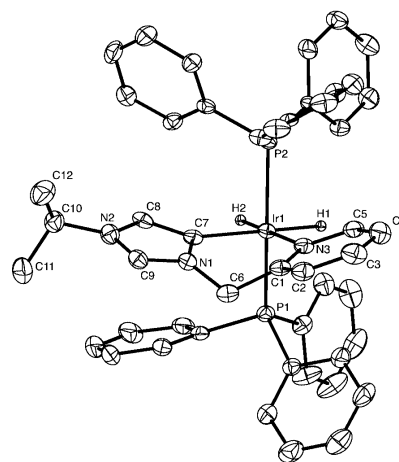
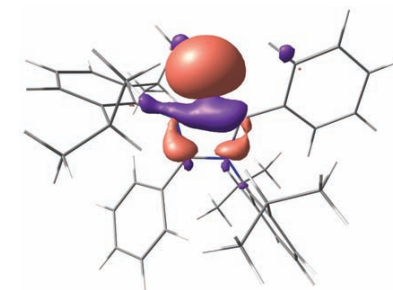
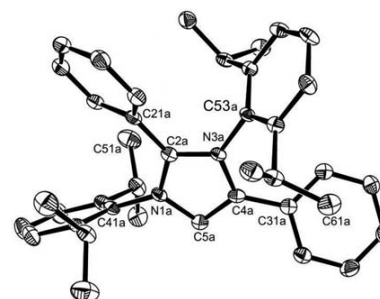
first free aNHC

C2- vs C4- bound carbene

NHC vs aNHC

application to catalysts

4. summary



1. introduction

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first metal-NHC complex

first free, isolable NHC

NHCs as ligands for transition metals

NHCs as organocatalysts

3. abnormal N-heterocyclic carbenes (aNHCs)

first metal-aNHC complex

first free aNHC

C2- vs C4- bound carbene

NHC vs aNHC

application to catalysts

- ▶ C4-carbenes are kinetically favored products.
- ▶ Large wingtip groups and non-H-bound anions favor C4-bound carbene.

- ▶ aNHCs are stronger σ -electron donors than NHCs.
- ▶ aNHCs tend to cleave from the metal more easily than NHCs. This may limit their utility in catalysis...

4. summary

P. L. Arnold, S. Pearson, *Coord. Chem. Rev.* **2007**, 251, 596.

M. Albrecht, *Chem. Commun.* **2008**, 3601.

O. Schuster, L. Yang, H. G. Raubenheimer, M. Albrecht, *Chem. Rev.* **2009**, 109, 3445.

M. Melaimi, M. Soleilhavoup, G. Bertrand, *Angew. Chem. Int. Ed.* **2010**, 49, 8810.