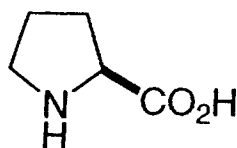


Enantioselective Organocatalysis Using HOMO, LUMO and SOMO Activation

Introduction



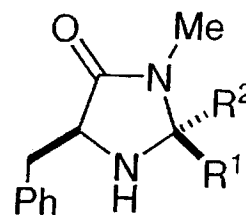
Benjamin List



L-proline



Professor D. W. C. MacMillan



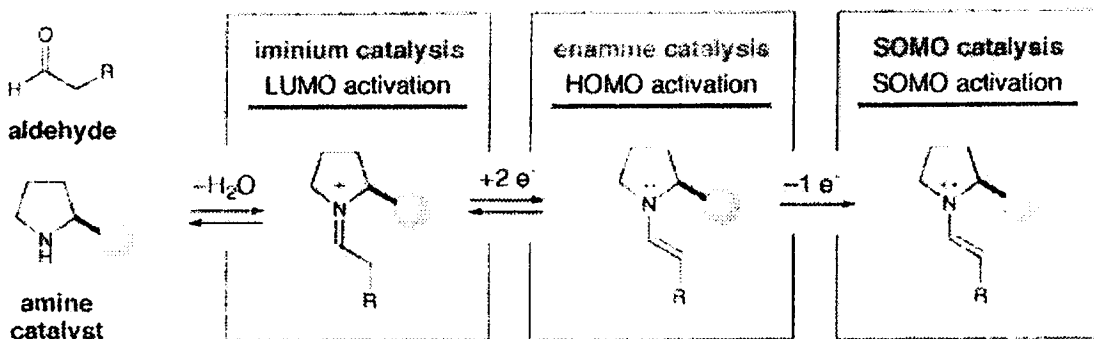
imidazolidinone

In 2000

L-proline

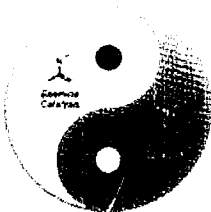
catalyst was published out.

Imidazolidinone



• enamine ↔ imine
like the "ying and yang"

「陰 陽」



• radical cation
↓
「New Concept」

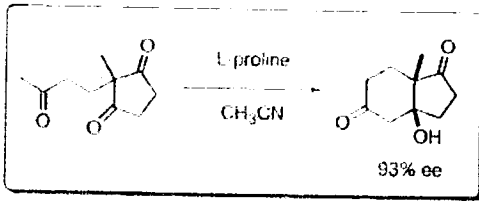
Contents

- The history of Amino catalysis
- Enamine catalyst (HOMO activation)... Using L-proline, imidazolidinone catalyst
- Iminium catalyst (LUMO activation)
- Tandem Iminium - Enamine Catalyst
- Radical catalyst (SOMO activation)

The History of Amino catalysis

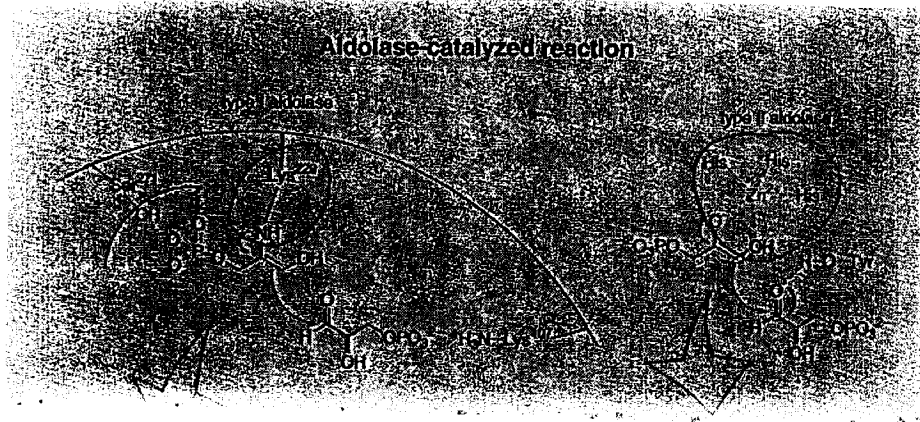
2/12

First example catalytic Asymmetric Aldol Reaction Using L-proline



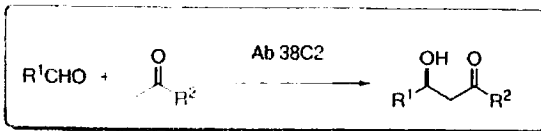
Sauer, Wiechert *Angew. Chem. Int. Ed.* 1971, 10, 496.

Hajos, Parrish *J. Org. Chem.* 1974, 39, 1615



- Type I aldolase exist in universal. (including human being)
- Type II aldolase exist in mold and algae etc

Before 2000



List, Barbas, et al

Direct Catalytic Asymmetric Aldol Reactions of Aldehydes with Unmodified Ketones

Yoichi M. A. Yamada, Naoki Yoshikawa, Hiroaki Sasai, and Masakatsu Shibasaki*

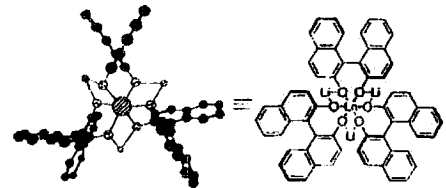
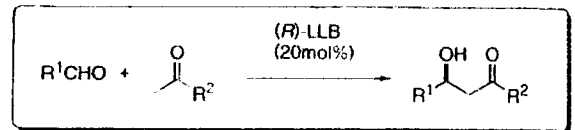
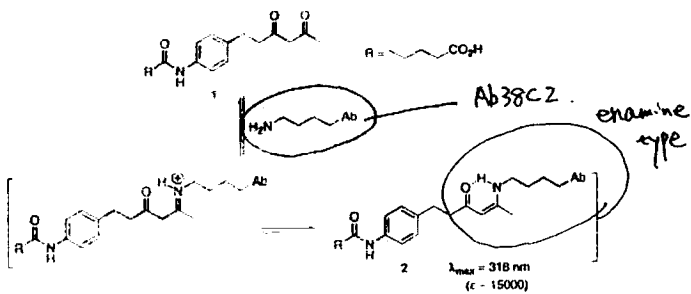


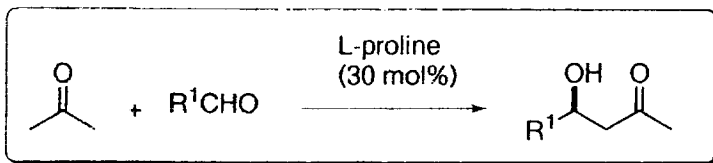
Figure 1. The structure of $\text{Lu}(\text{LLB})_3(\text{R})$ -biphenoxide (c): $\text{Lu}(\text{LLB})_3$



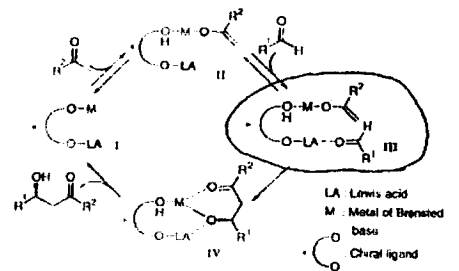
Shibasaki et al *Angew. Chem. Int. Ed. Engl.* 1997, 36, 1871.



Proline-Catalyzed Direct Asymmetric Aldol Reactions

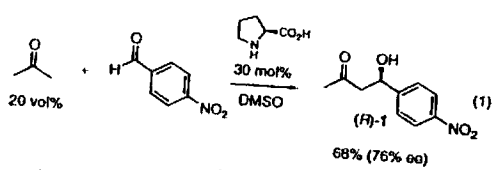


List, Barbas, et al *J. Am. Chem. Soc.* 2000, 122, 2395.



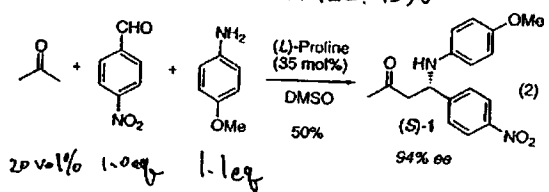
Enamine catalysis (HOMO activation) using L-proline

Proline-catalyzed Direct Asymmetric Aldol Reaction. J.A.C.S. 2000, 122, 2395

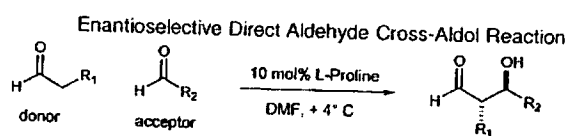


→ The reaction with isobutylaldehyde gave aldol product in 97% yield 96% ee.

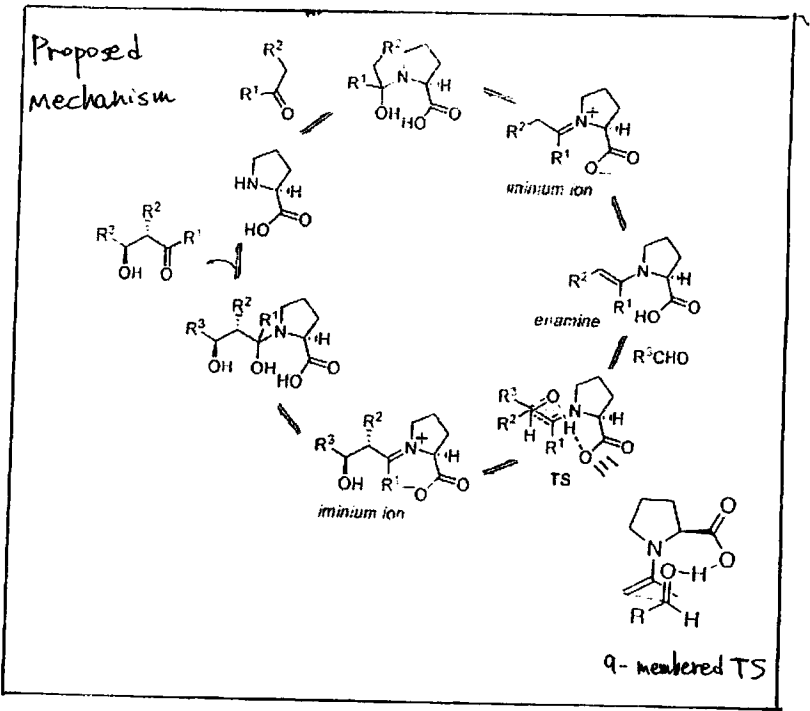
J.A.C.S. 2000, 122, 9336



J.A.C.S. 2002, 124, 6799



primary amino acid and secondary cyclic amino acid fail to give desired product

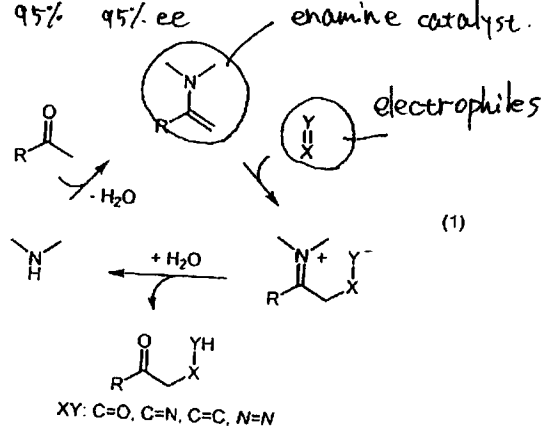
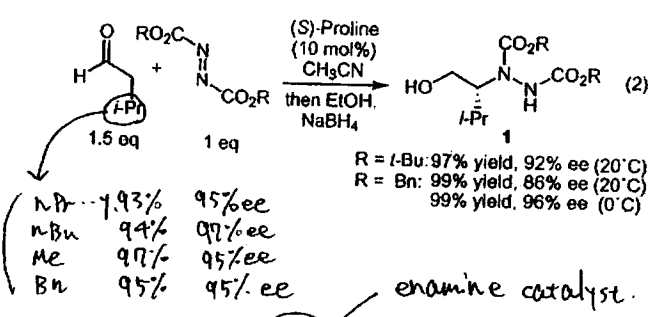


→ Nucleophilic addition.

Direct Catalytic Asymmetric

α-Amination of Aldehydes

List J.A.C.S. 2002, 124, 5657

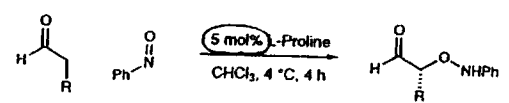


The Direct and Enantioselective

Organocatalytic α-Oxidation of Aldehydes

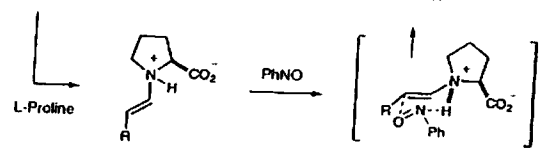
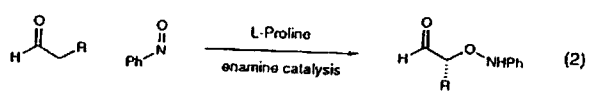
MacMillan et al.

J.A.C.S. 2003, 125, 10808



→ 5 mol% 45 min 86%, 97% ee
2 mol% 2h 88%, 97% ee
0.5 mol% 18h 68%, 94% ee

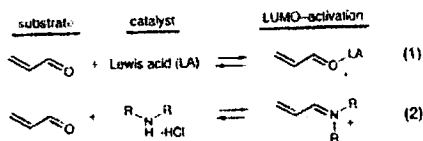
Organocatalyzed Direct α-Oxyamination



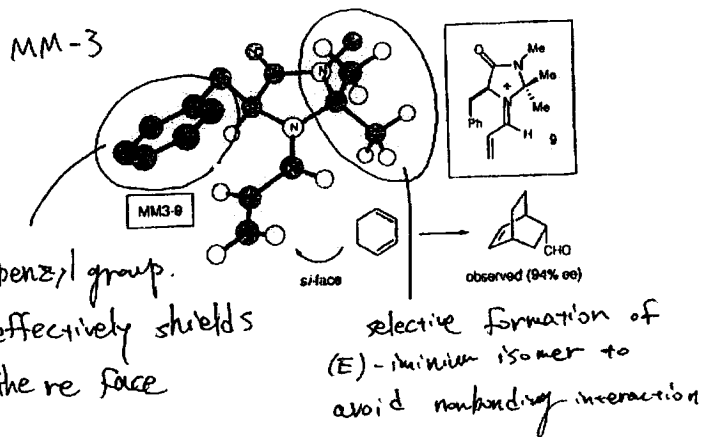
o Iminium Catalyze (LUMO activation)

New Strategies for Organic Catalysis: The First Highly Enantioselective Organocatalytic Diels-Alder Reaction

J. Am. Chem. Soc. 2000, 122, 4243



LUMO lowering activation like Lewis acids



Scheme 1

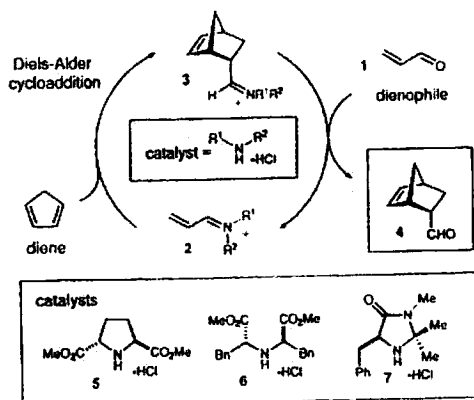
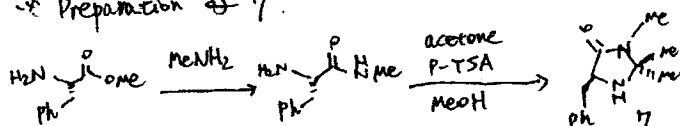


Table 1. Organocatalyzed Diels-Alder Reaction between Cinnamaldehyde and Cyclopentadiene

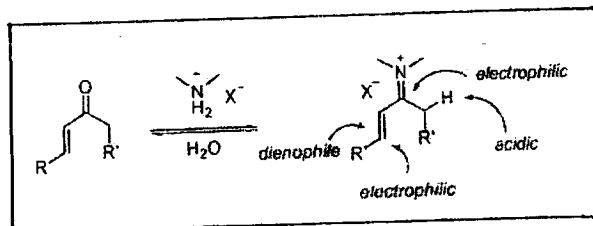
entry	catalyst	time (h)	yield (%)	exo:endo	exo ee (%) ^{a,b}
1	(S)-Pro-OMe·HCl	27	81	2.7:1	48 (2R)
2	(S)-Abr-OMe·HCl	10	80	2.3:1	59 (2S)
3	5	23	92	2.6:1	57 (2R)
4	6	84	82	3.6:1	74 (2R)
5	7	8	99	1.3:1	93 (2S) ^c

^a Product ratios determined by GLC using a Bodman Γ-TA or β-PH column. ^b Absolute and relative configurations assigned by chemical correlation to a known compound (Supporting Information). ^c Using 5 mol % catalyst.

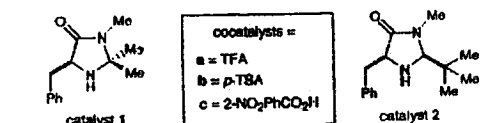
* Preparation of 7



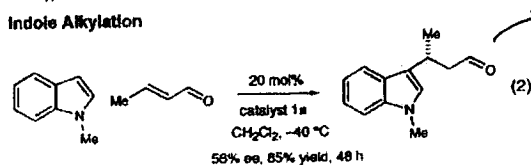
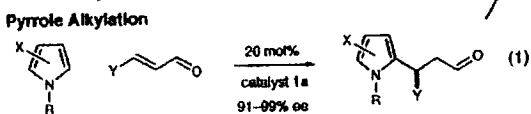
Enantioselective Organocatalytic Indole Alkylations. Design of a New and Highly Effective Chiral Amine for Iminium Catalysis



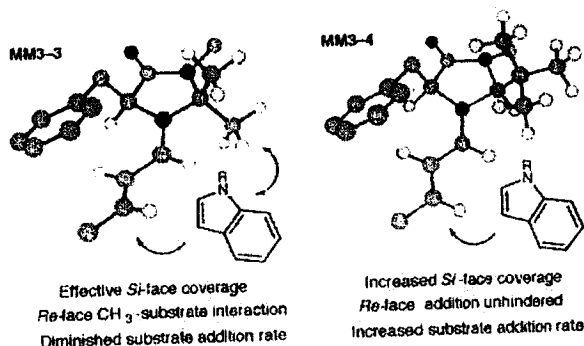
J.A.C.S. 2002, 124, 1172



J.A.C.S. 2001, 123, 4370

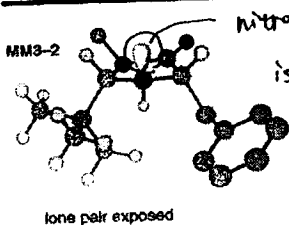
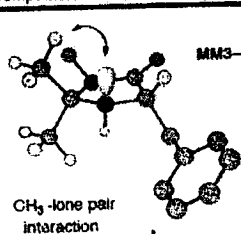


Development of New imidazolidinone catalyst 2



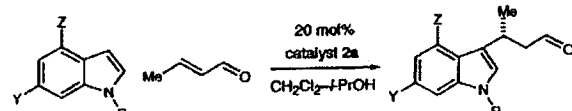
Computational model of catalyst 1

Computational model of catalyst 2



nitrogen lone pair is positioned away from structural impediment

CH₃-lone pair eclipsing orientation.



entry	indole substituents			temp (°C)	time (h)	% yield	% ee ^a
	R	Y	Z				
1	Me	H	H	-87	19	82	92 ^b
2	H	H	H	-60	22	72	91 ^b
3	allyl	H	H	-72	20	70	92
4	CH ₂ Ph	H	H	-60	120	80	89 ^b
5	H	H	Me	-60	3	94	94 ^c
6	Me	H	OMe	-87	19	90	96 ^c
7	H	Cl	H	-60	13	73	97 ^c

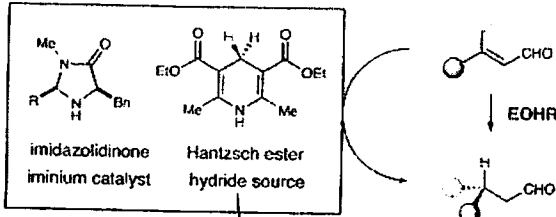
^a Product ratios determined by chiral HPLC. ^b Absolute configuration determined by chemical correlation. ^c Reaction conducted with (E)-BzOCH₂CH=CHCHO.

Enantioselective Organocatalytic Hydride Reduction

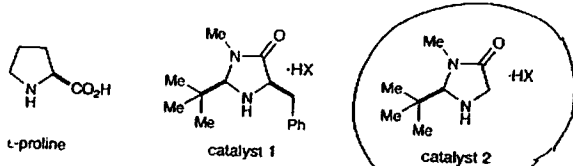
J. A. C. S. 2005, 127, 32 MacMillan et al.

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Enantioselective Organocatalytic Hydride Reduction (EOHR)



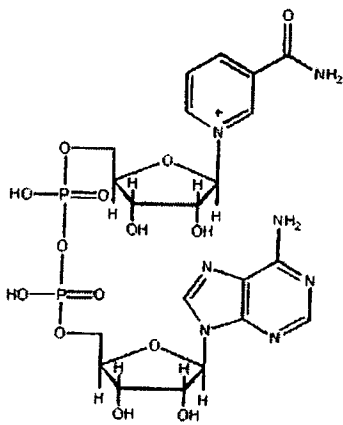
like NADH. (shown below).



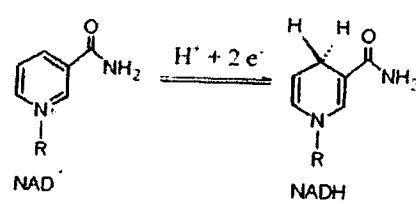
most effective.

→ ∴ NADH was not a viable reagent in this reaction.

↳ some reaction reported.



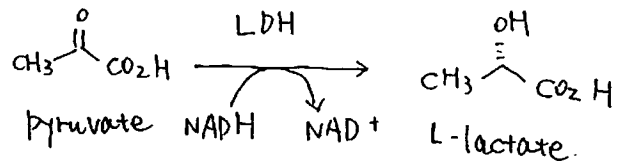
Nicotinamide Adenine Dinucleotide (NAD⁺)



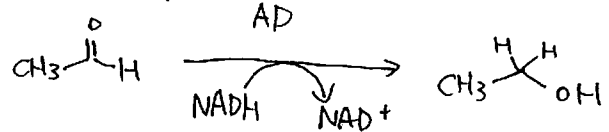
List et al. *Angew* 2005, 44, 108.

in the body.

• lactate dehydrogenase (LDH)



• alcohol dehydrogenase



Application to Enamin Catalysis

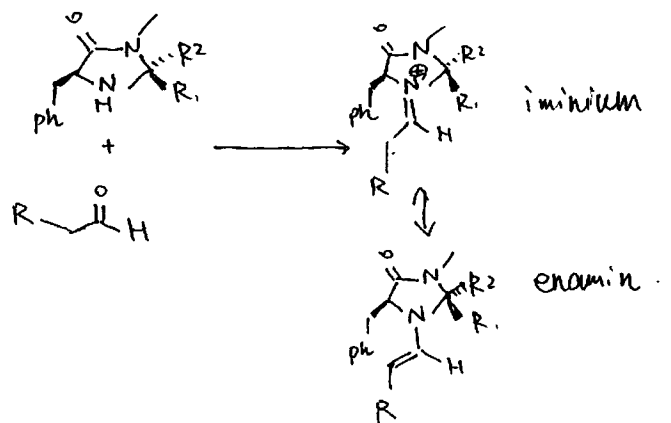
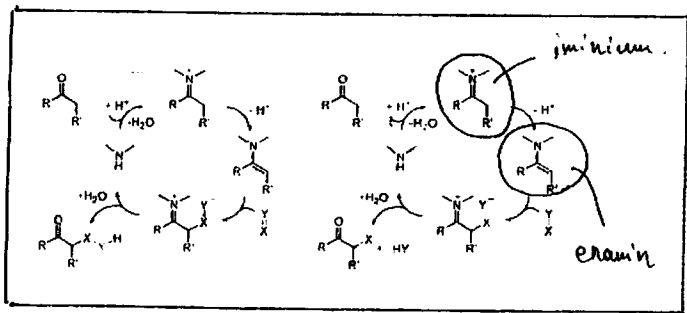
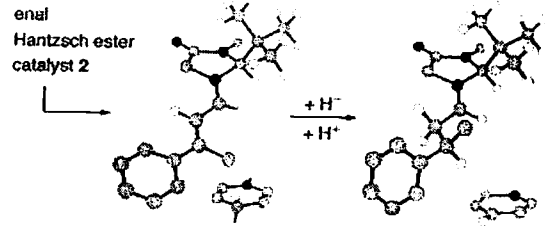


Table 1. Effect of Catalyst and Solvent on EOHR^a

entry	catalyst	HX	solvent	time (h)	% conversion ^b	% ee ^c
1	1	TFA	toluene	5	47	15
2	1	TFA	toluene	1	96	75
3	2	TFA	toluene	1	95	88
4	1	HCl	toluene	8	70	81
5	2	HCl	toluene	31	19	87
6	2	TFA	CHCl ₃	1	99	85
7	2	TFA	CHCl ₃	24	90 ^d	93
8	2	TCA	CHCl ₃	23	91 ^d	93

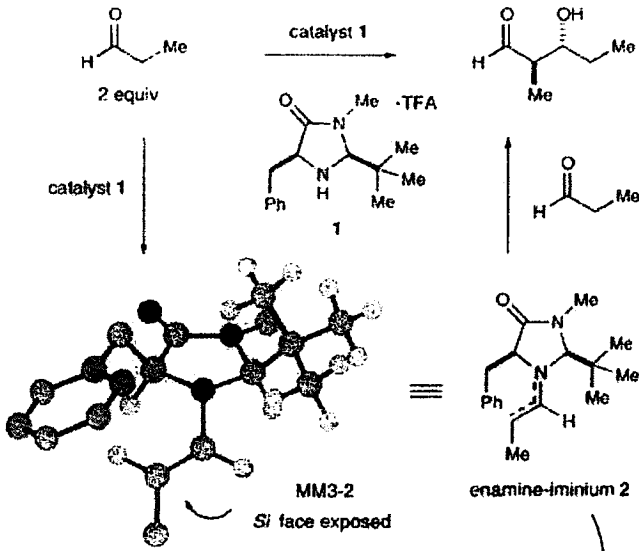
^a R = CO₂Et. ^b Conversion determined by GLC analysis. ^c Enantiomeric excess determined by chiral GLC analysis (Bodman Γ-TA). ^d At -30 °C.

EOHR: Origins of Enantiocontrol with Catalyst 2



The Importance of Iminium Geometry Control in Enamine Catalysis: Identification of a New Catalyst Architecture for Aldehyde-Aldehyde Couplings**

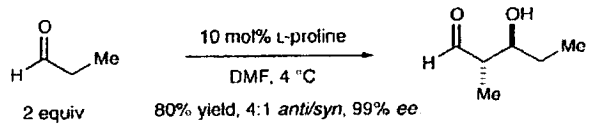
Angew. Chem. Int. Ed 2004, 43, 6722.



Scheme 2. Imidazolidinone-catalyzed aldehyde-aldehyde aldol reaction.

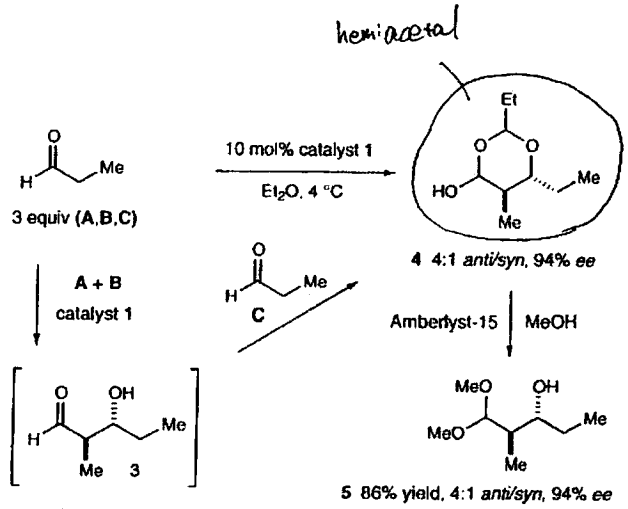
transition state

α -methylenealdehyde donors by means of a syringe pump to acceptor \rightarrow prevent homodimerization



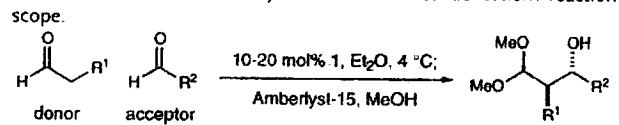
Scheme 1. Proline-catalyzed aldehyde-aldehyde aldol reaction.

\rightarrow J.A.C.S. 2002, 124, 6798



Scheme 3. Imidazolidinone-catalyzed aldol reaction: initial results.

Imidazolidinone-catalyzed direct aldol condensation: reaction



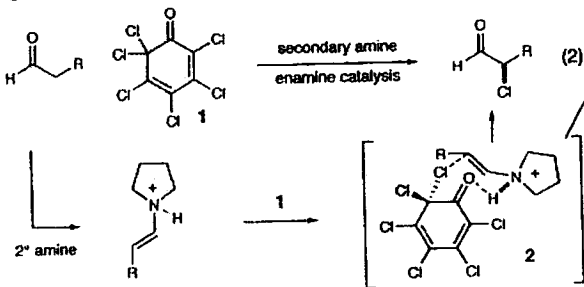
(Table shows >90% ee)

anti/syn 4:1 ~ 11:1

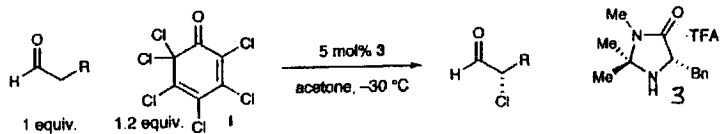
Direct and Enantioselective Organocatalytic

α -Chlorination of Aldehydes J.A.C.S. 2004, 126, 4108

Organocatalyzed Direct α -Chlorination



Proton-mediated cyclic transition state.



R = cHex γ , 87% 94% ee.

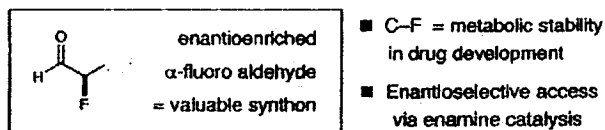
NCS was used γ , 20% 19% ee

L-proline gave almost racemic product.

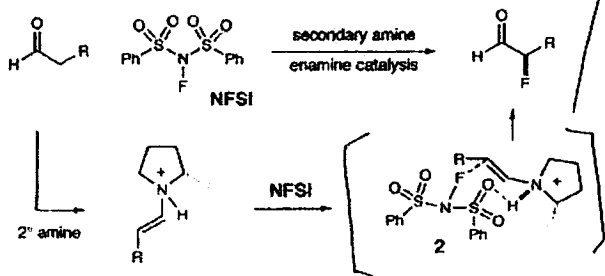
Enantioselective Organocatalytic

α -Fluorination of Aldehydes J.A.C.S. 2005, 127, 8826

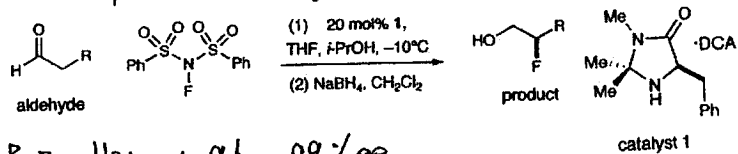
Scheme 1



Proposed Organocatalytic Direct α -Fluorination and Mechanism



sulfone-proton bonding transition state



R = cHex γ , 96, 99% ee

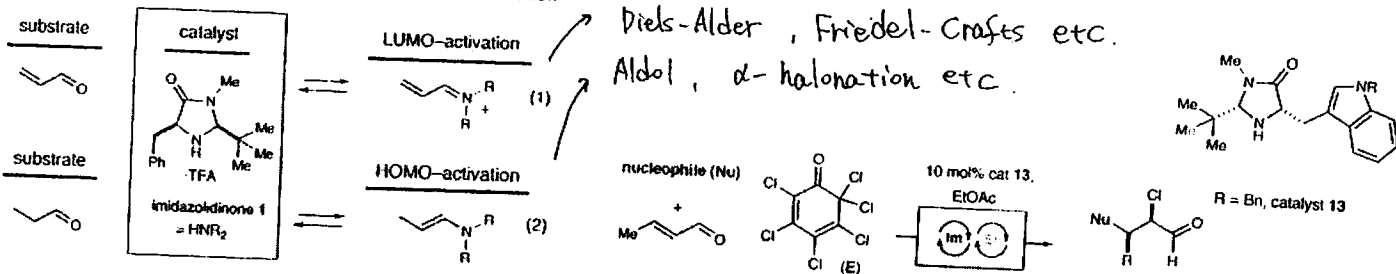
addition of 10% iPrOH improved ee.

Tandem Iminium - Enamine Catalysis

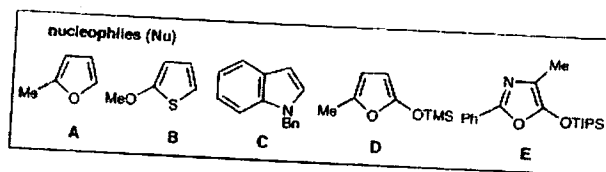
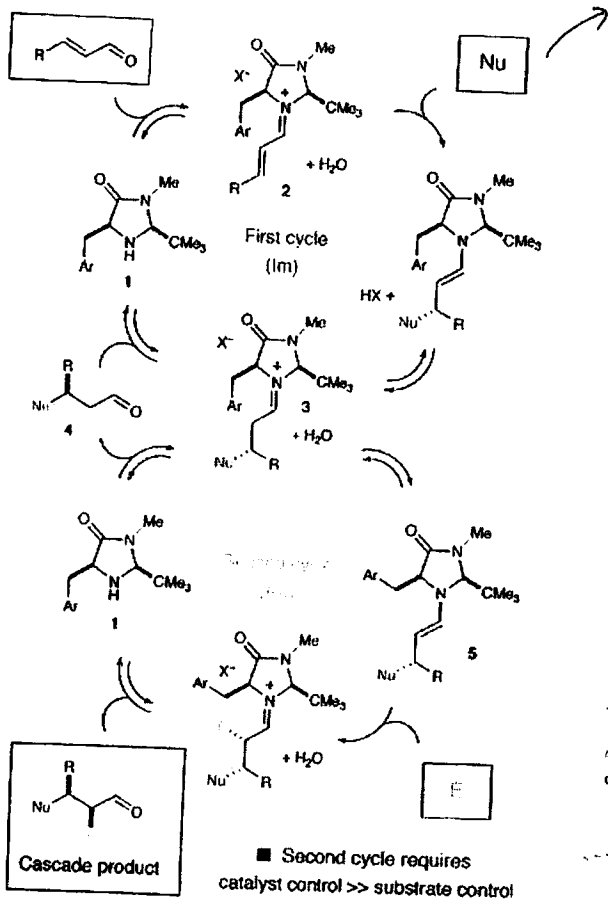
Enantioselective Organo-Cascade Catalysis

J.A.C.S. 2005, 127, 15051. MacMillan et al.

Imidazolidinones: Organocatalysts for HOMO or LUMO Activation



Scheme 1. Cascade Catalysis: Merged Iminium-Enamine Activation



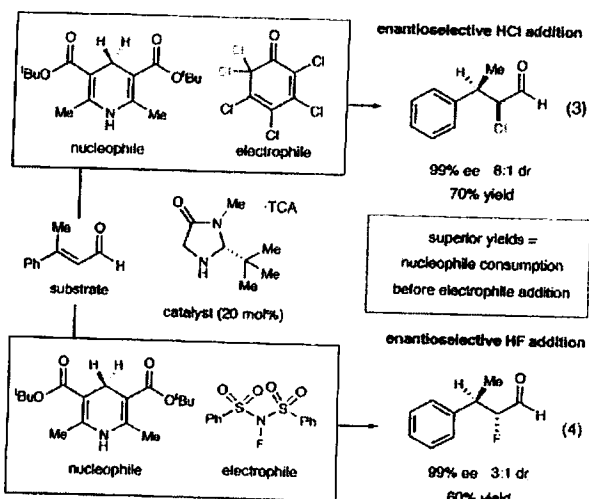
entry	nucleophile	product	temp (°C)	% yield	dr ^a	% ee
1	A		-50	86	14:1	99
2	B		-50	77	11:1	99
3 ^b	D		-55	71	>25:1	>99
4	C		-60	75	12:1	>99
5	E		-40	97	9:1	>99

^a Absolute and relative configuration assigned by chemical correlation.
^b Superior yields were obtained when the electrophile was added after consumption of the silyloxy furan.

Nu ... aromatic π -nucleophile
 E ... chlorinated quinone.

entry 4.5 ... First cycle is Organocatalytic Mukaiyama Michael Reaction.

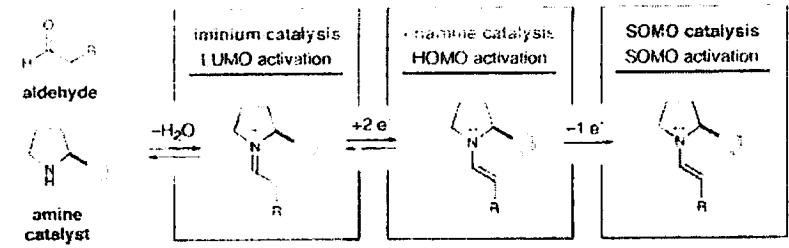
MacMillan et al J.A.C.S. 2003, 125, 1192.



Hantzsch ester was used as 'Nu',
 +
 chlorinated quinone or NFSI was used as 'E'.

Asymmetric addition of HCl and HF

Enantioselective Organocatalysis Using SOMO Activation - MacMillan et al. Science 2007, 316, 582.



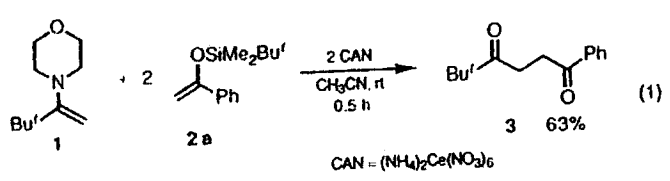
one-electron oxidation of transient enamine species should generate a 3- π -electron radical cation.

Fig. 1. SOMO catalysis via single-electron oxidation of a transiently formed enamine. LUMO, lowest unoccupied molecular orbital; R, an arbitrary organic substituent.

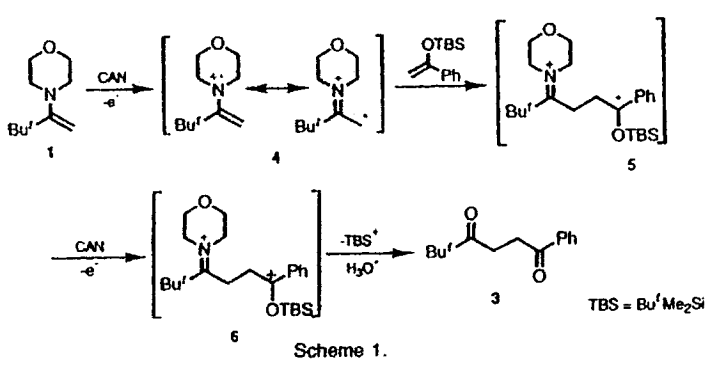
From these results, enamines and iminium ions rapidly interconverted.

Generation of Cation Radicals from Enamines and Their Reactions with Olefins

Chem. Lett. 1992, 2099, Narasaka et al.



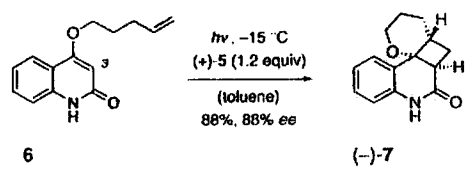
pinacolone enamine + *d*-(*t*-butyldimethylsilyloxy) styrene + 2 eq CAN \rightarrow α -alkylation product



The enamine is oxidized to the cation radical 4 with CAN. \downarrow silylenol ether was reacted with 4.

Catalytic enantioselective reactions driven by photoinduced electron transfer Nature. 2005, 436, 1139. Bach et al.

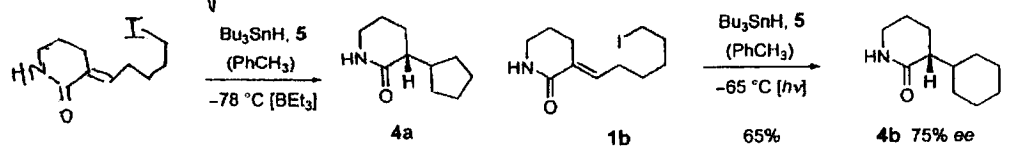
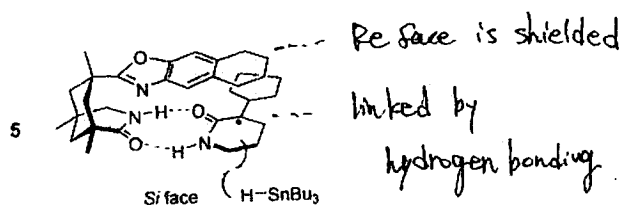
Enantioselective Intramolecular [2+2]-photoaddition Reaction in Solution. Angew. Chem. Int. Ed. 2000, 39, 2302.



Scheme 3. The intramolecular [2+2]-photocycloaddition reaction of the prochiral substrate 6.

Hydrogen Bond Mediated Enantioselectivity of Radical Reaction

Angew. Chem. Int. Ed. 2004, 43, 5849



[BETs] 20 mol% 5 2.5 eq. Bu₃SnH 2.0 eq.

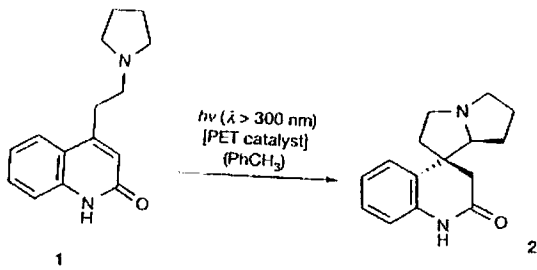
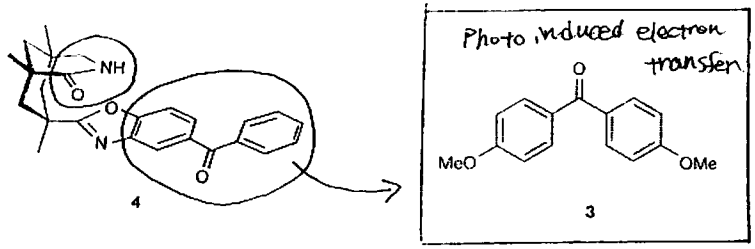


Figure 1 | PET-catalysed cyclization of the prochiral substrate 1 to the chiral pyrrolidine 2 and its enantiomer *ent*-2.



3 as a PET catalyst, racemic reaction proceeded in good yield (10 mol%, 71%)

chiral catalyst 4

→ two hydrogen bonds at the bridgehead lactam.

• benzophenone unit.

... 30 mol% 1h, 4.64% 17% ee,,

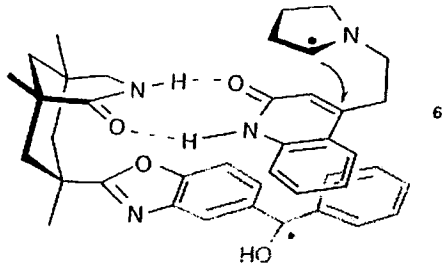
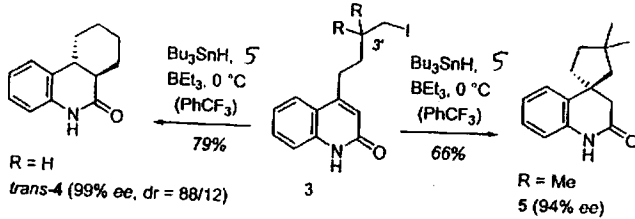


Figure 3 | Explanation for the facial differentiation in the PET-catalysed cyclization of the prochiral substrate 1 via radical intermediate 6.

* Org. Lett 2006, 8, 3145



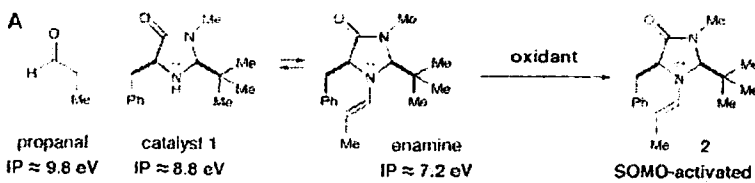
5 (2.5 eq) ... good result was obtain

but.

4 was obtained in 55% ee in the presence of 10 mol% 5.

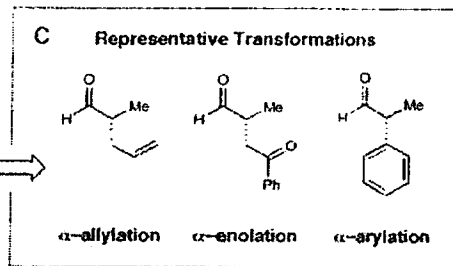
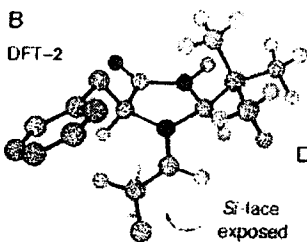
→ It is difficult to proceed SOMO reaction.

MacMillan's proposal.



→ Ionization potential.

The enamine is sufficiently more susceptible to oxidation.



→ • 3-π electron system away from the bulky t-Bu group, radical-centered carbon selectively populates an E configuration.

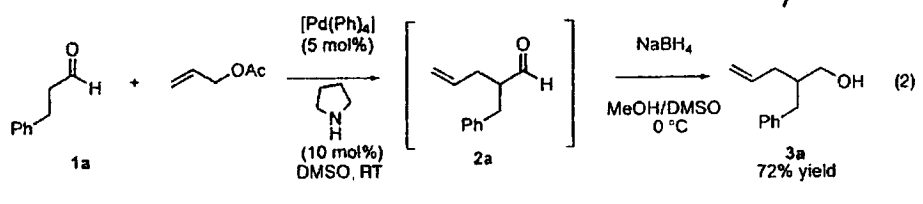
• Benzyl group should effectively shield the re face.

Fig. 2. (A) Catalytic chemical steps leading to formation of the SOMO-activated intermediate. Me, methyl; Ph, phenyl. (B) DFT-calculated three-dimensional structure of the enantio-differentiated radical cation. (C) Possible transformations arising from enantioselective organocatalytic SOMO catalysis.

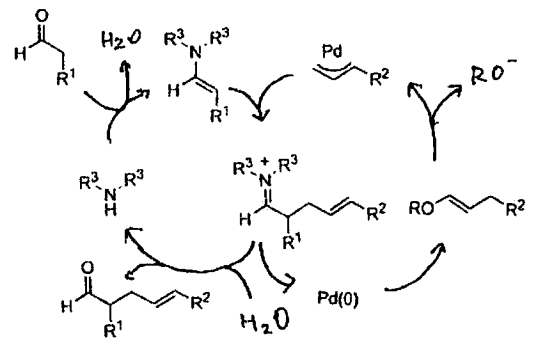
To test SOMO activation concept, they selected "direct and enantioselective allylic alkylation"

Direct Catalytic Intermolecular α -Allylic Alkylation of Aldehydes by Combination of Transition-Metal and Organocatalysis**

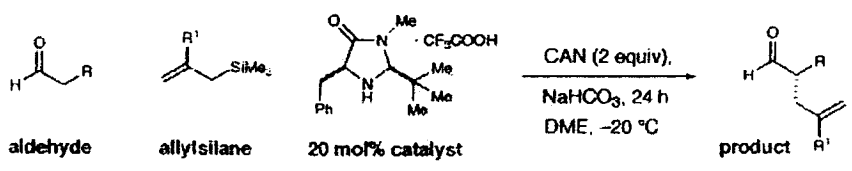
Angew. Chem. Int. Ed. 2006, 45, 1952
Cordova et al.



Proposed mechanism



This is the example of intermolecular α -alkylation of aldehydes.



entry	aldehyde	product	entry	aldehyde	product
1			4		
2			5		
3			6		
7			9		
8			10		

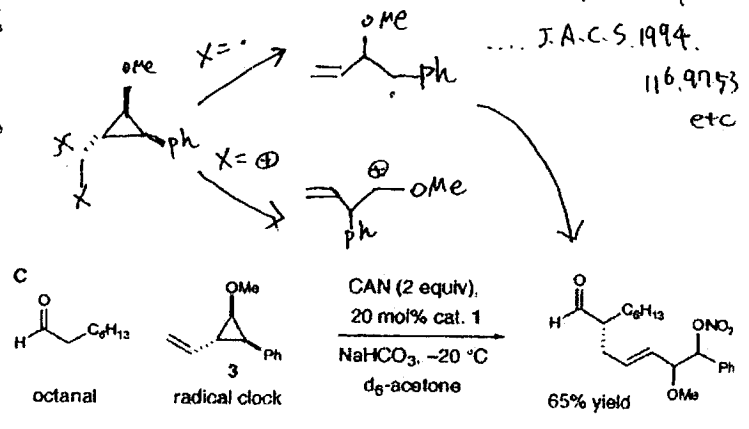
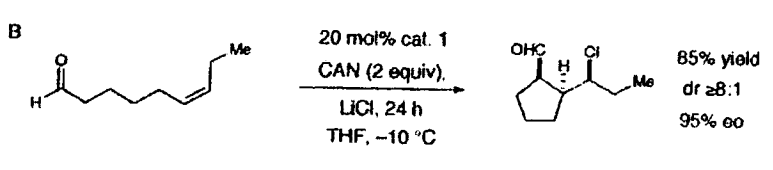
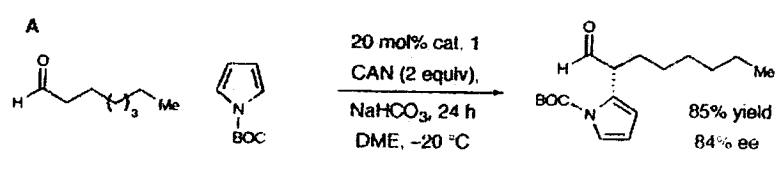
Chemical functionalities appear to be inert under these mild oxidative conditions.
(olefin, ketone, ester, carbamate)

A ~ C. preliminary results broad scope of SOMO activation.

A ... α -heteroalkylation

B ... cyclization with trapping of exogenous halide

C ... demonstrate radical passway.

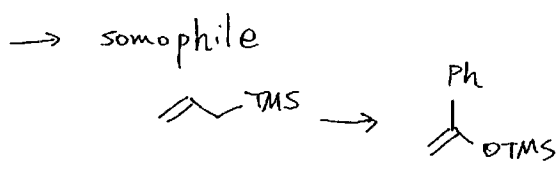
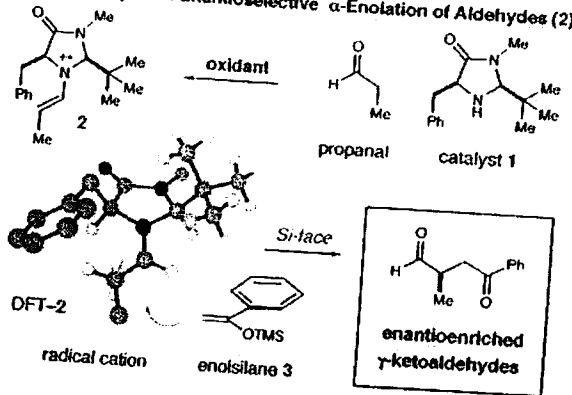


Enantioselective Organocatalytic Singly Occupied Molecular Orbital
Activation: The Enantioselective α -Enolation of Aldehydes

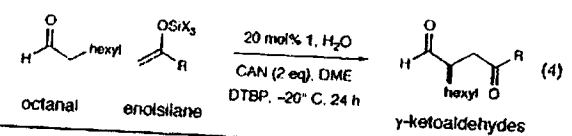
11/12

J. A. C. S. 2007. 129. 7004. MacMillan et al.

SOMO Catalysis II: Enantioselective α -Enolation of Aldehydes (2)



* This reaction is catalytic enantioselective version of Narasaka et al. (See p.)



→ π -rich enolsilanes will readily participate as somophiles.

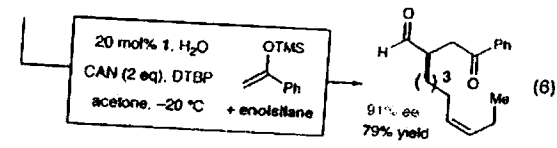
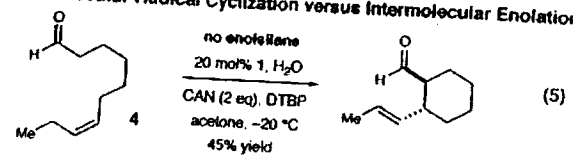
entry	enolsilane	product	% yield	% ee ^{a,b}
1 ^c			85	91
2 ^d			77	92
3 ^d			70	93
4			61	91
5			71	92
6			74	96
7			67	86
8			55	92

(alkyl, vinyl and aryl)

bulky silyl groups to prevent substrate hydrolysis.
(entry 7, 8. the use of TMS enol ethers
y. 39% 93% ee and $\leq 10\%$, 0% ee)

intramolecular cyclohexyl ring formation with π -neutral defn.

Intramolecular Radical Cyclization versus Intermolecular Enolation



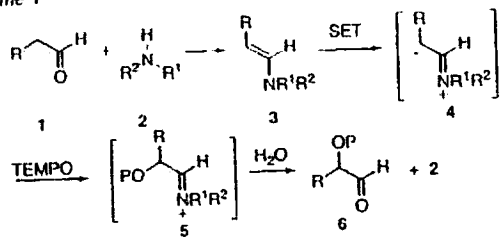
^a Enantioselectivity determined by GLC or SFC analysis. ^b Stereochemistry assigned by chemical correlation or by analogy. ^c Performed in acetone. ^d Performed at -50°C .

Impressive as this work is, there are still limitations.
This reaction requires two equivalents of the oxidizing agent.

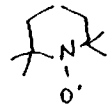
J.A.C.S. 2007, 129, 4124.

Sibi et al.

Scheme 1



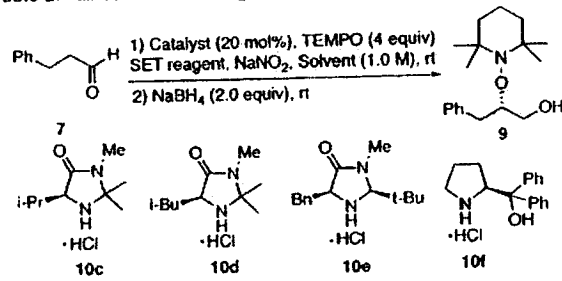
enamine could be oxidized using SET.
(like MacMillan's case)



TEMPO was used as a radical trap reagent

→ C-O bond forming reaction.

Table 2. Effect of SET Reagent, Ligand, and Solvent^a



entry	SET reagent (mol %)	ligand	NaNO ₂ (equiv)	solvent	yield (%) ^b	ee (%) ^c
1	Cp ₂ FeBF ₄ (100)	10b	0	THF	87	80
2	Cp ₂ FeBF ₄ (50)	10b	0	THF	40	74
3	FeCl ₃ (100)	10b	0	THF	4	nd ^d
4	FeCl ₃ (100)	10b	0	DMF	74	72
5 ^e	FeCl ₃ (30)	10b	0.3	DMF	82	75
6 ^e	FeCl ₃ (10)	10b	0.3	DMF	83	72
7 ^e	FeCl ₃ (10)	10c	0.3	DMF	75	5
8 ^e	FeCl ₃ (10)	10d	0.3	DMF	64	46
9 ^e	FeCl ₃ (10)	10e	0.3	DMF	26	0
10 ^e	FeCl ₃ (10)	10f	0.3	DMF	33	17

^a For reaction conditions, see Supporting Information. ^b Isolated yield. ^c Determined by chiral HPLC. ^d Not determined. ^e Reaction run using 2 equiv of TEMPO and oxygen as a co-oxidant.

* L-proline was used almost racemic.
(4.91%, -3% ee).

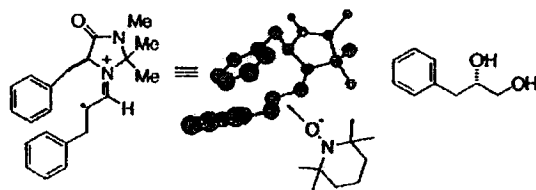


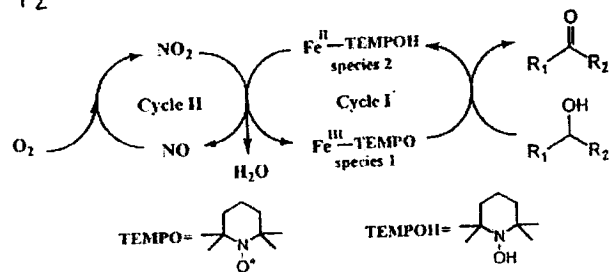
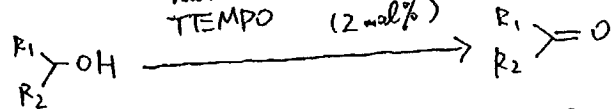
Figure 1. Stereochemical model.

→ FeCl₃ was cheaper than Cp₂FeBF₄.

NaNO₂ / O₂ was cooxidant.

Chem. Comm. 2005, 5322.

FeCl₃·6H₂O (5 mol%)
NaNO₂ (5 mol%)
TEMPO (2 mol%)



Scheme 1 Proposed mechanism for the aerobic oxidation of alcohols.

↓ catalytic amount of the SET reagent could be used.

→ This model is consistent with MacMillan's model.