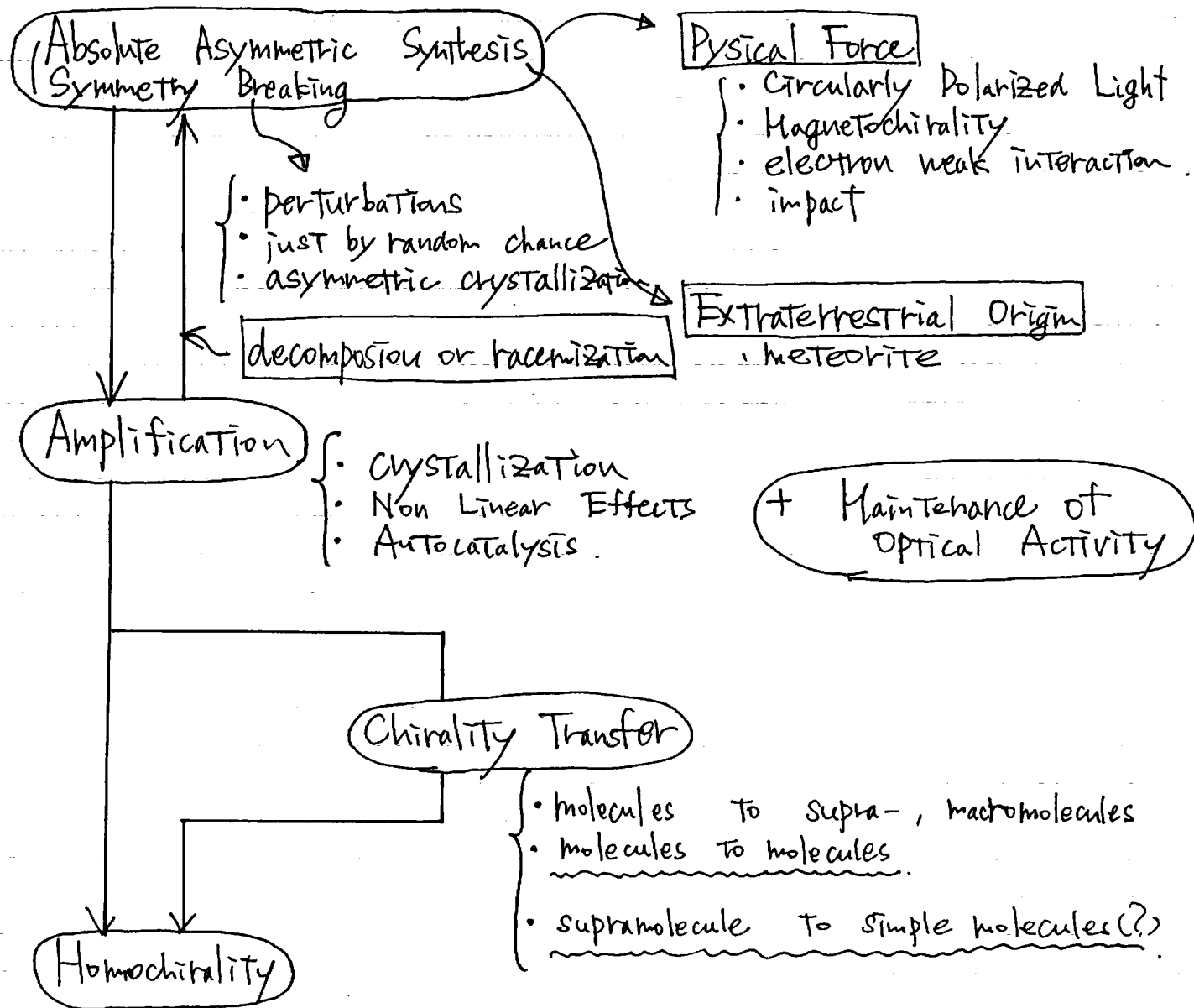


Ryo Takita (D2)

► Another Aspect of the Origin of Homochirality

Review etc.)

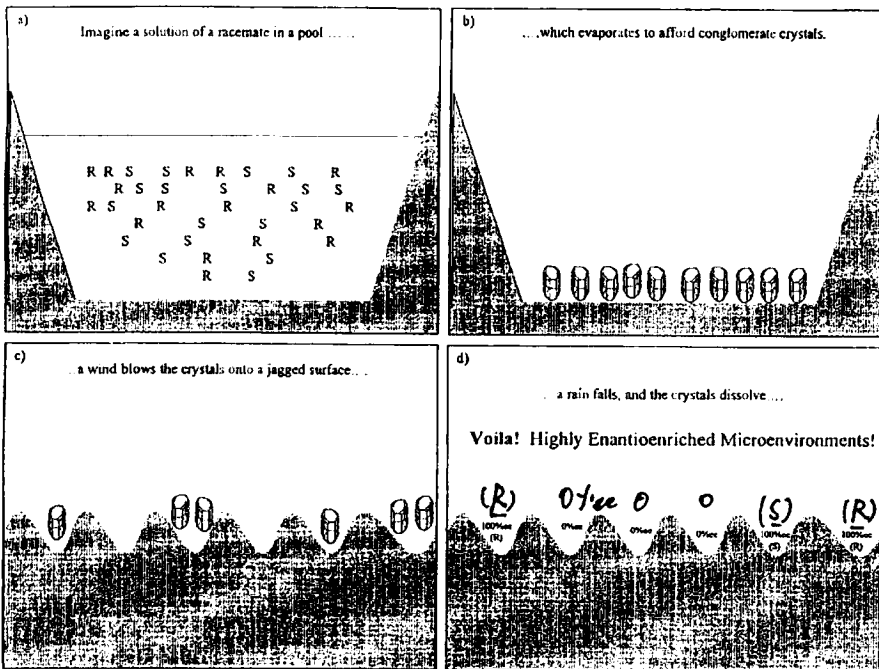
- e.g. {
- B. L. Feringa *Angew. Chem., Int. Ed.* 1999, 38, 3418.
  - Vijay's literature seminar (03/10/29)
  - *Chem. Commun.* 2000, 887.
  - *PNAS*, 2004, 101, 5732. etc.



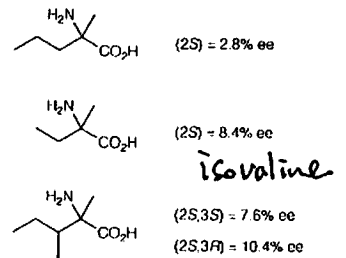
- Usual misunderstanding
- "Racemates are made of exactly equal amounts of enantiomers."

$$\longrightarrow \left( \frac{N}{2} + \sqrt{N} \right) : \left( \frac{N}{2} - \sqrt{N} \right) \quad (N \rightarrow \infty ; \rightarrow 50:50)$$

- laboratory vs nature ; lab is closed system (in the flask)  
nature is open system.



ex). Science, 1997, 275, 951.



Scheme 1 Enantiomeric excesses of  $\alpha$ -ramified amino acids of ex terrestrial origin found in the Murchison meteorite.

(impacted in Australia in 1969)

Fig. 2. Abiotic generation of highly enantioenriched microenvironments by stochastic sorting of conglomerate crystals. (Chirality, 2001, 13, 425.)

— anyway, only one scenario would not be true.

< Contents >

- ① theory of autocatalysis
- ② Autocatalytic Secondary Nucleation
- ③ Asymmetric Autocatalysis
  - Scaife's reaction
  - others
- ④ Chirality Transfer
  - molecules to molecules
  - supramolecules to simple molecules

# ① theory of autocatalysis

## Frank's model

(Biochim. Biophys. Acta. 1953, 11, 459.)

"The initial production of life is rare event."

if the production of living molecules is an infrequent processes, compared with the rate of multiplication of living molecules, the whole earth is likely to be extensively populated with the progeny of the first before another appears.

the key — an "anticatalyst" in self-replicating systems. "mutual antagonism"

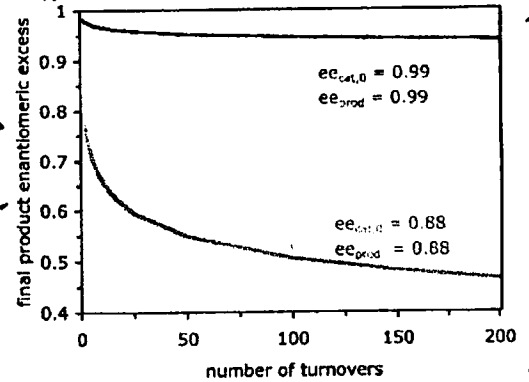
(without anticatalyst; autocatalysis alone)

$$ee_{prod.} = ee_0 \cdot ee_{cat}$$

$$ee_{prod. final} = ee_{cat,0} (TON + 1) (ee_0 - 1)$$

(PNAS, 2004, 101, 5732.)

\* pure autocatalytic self-replication would lead inexorably to a racemic world.



when the enantiomers  $n_1, n_2$

$$\frac{dn_1}{dt} = (k_1 - k_2 n_2) n_1 \quad (1)$$

$$\frac{dn_2}{dt} = (k_1 - k_2 n_1) n_2 \quad (2)$$

"anticatalyst"

Subtracting we have

$$d(n_1 - n_2)/dt = k_1(n_1 - n_2) \quad (3)$$

and hence,

$$n_1 - n_2 = (n_{01} - n_{02}) e^{k_1 t} \quad (4)$$

Combining (4) and (7)

$$n_1/n_2 = (n_{01}/n_{02}) \exp. [k_2 (n_{01} - n_{02}) (e^{k_1 t} - 1)]$$

→ the ratio increases.

where  $k_1, k_2 > 0$

where  $n_{01}, n_{02}$  are initial values: i.e. the excess of one over the other increases exponentially; whereas the total,  $(n_1 + n_2)$ , governed by the differential equation

$$d(n_1 + n_2)/dt = k_1(n_1 + n_2) - 2k_2 n_1 n_2 \quad (5)$$

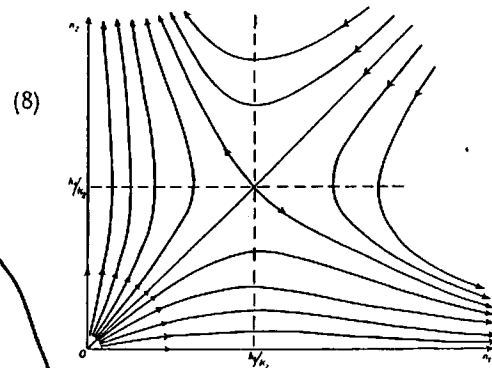
has a slower relative rate of increase.

Eliminating  $dt$  from (1) and (2) we have

$$\frac{dn_1}{dn_2} = \frac{(k_1 - k_2 n_2) n_1}{(k_1 - k_2 n_1) n_2} \quad (6)$$

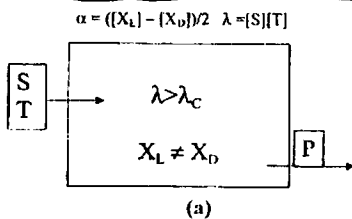
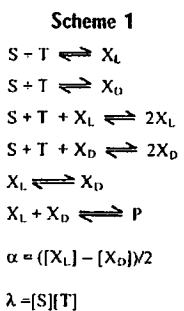
and hence

$$n_1/n_2 = (n_{01}/n_{02}) \exp. [k_2 (n_1 - n_2 - n_{01} + n_{02})/k_1] \quad (7)$$



Just Extension of Frank's model by Kondrupi.

(cf)



$$\frac{d\alpha}{dt} = -A\alpha^3 + B(\lambda - \lambda_c)\alpha \quad (1)$$

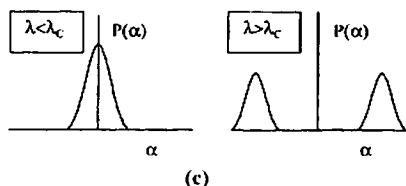


FIGURE 1. Spontaneous chiral symmetry breaking in nonequilibrium chemical systems. (a) Open flow systems. (b) Bifurcation of asymmetric states. I and II indicate the trajectories in open and closed systems, respectively. (c) Probability distributions associated with stochastic behavior.

## ② Autocatalytic Secondary Nucleation

Chiral Symmetry Breaking in Sodium Chlorate Crystallization

Science, 1990, 250, 975.

DILIP K. KONDEPUDI,\* REBECCA J. KAUFMAN, NOLINI SINGH

Acc. Chem. Res. 2001, 34, 946.

cf. Vijayls report (03/02/9)

unstirred solution,  
a statistically equal number of (d)- or (l)-  
crystals are obtained (from supersaturated solution) (unstirred)  
(Kipping and Pope JCS. Trans. 1898, 23, 606.)

↓  
in stirred solution

the resulting crystals are almost all l-  
or almost all d- in every crystallization.

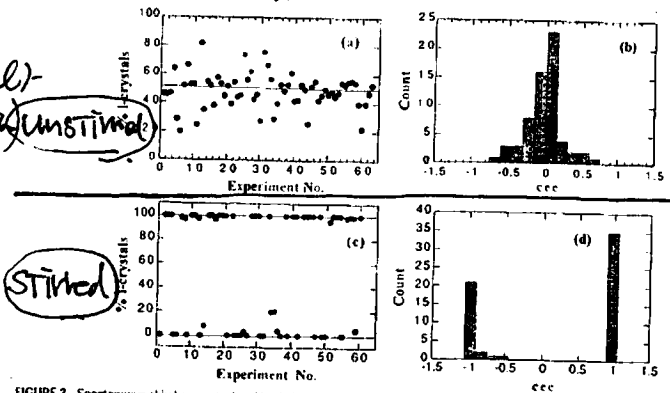


FIGURE 2. Spontaneous chiral symmetry breaking in NaClO<sub>3</sub> crystallization. (a) The percentage of l crystals obtained in 63 independent unstirred crystallizations and (b) the corresponding histogram of crystal enantiomeric excess (cee) = (N<sub>l</sub> - N<sub>d</sub>) / (N<sub>l</sub> + N<sub>d</sub>). (c) The percentage of l crystal obtained in 60 independent stirred crystallizations and (d) the corresponding histogram of cee.

<process> not fully understood

Primary nuclei  
(not always 50:50 = d:l)  
( $\frac{n}{2} + n^{1/2}$ ) : ( $\frac{n}{2} - n^{1/2}$ )

"Secondary" nuclei from the surface (or the vicinity) of an existing crystal.  
(primary nuclei)

\* stirring would suppress the slow process of primary nucleation, thereby favoring the formation of secondary crystals with the same homochirality.

• this phenomenon also occurs in the crystallization of NaBrO<sub>3</sub>.

• chiral selective nucleation of NaClO<sub>3</sub> occurs near the surface of NaBrO<sub>3</sub> crystal.  
(Phys. Rev. Lett. 2000, 84, 4405.)

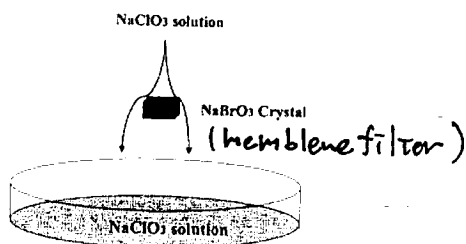
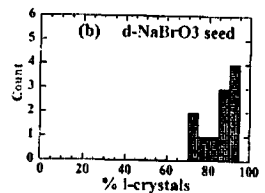
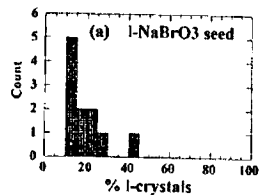


FIG. 1. Chiral selective crystallization of NaClO<sub>3</sub> using seeds of NaBrO<sub>3</sub> crystals

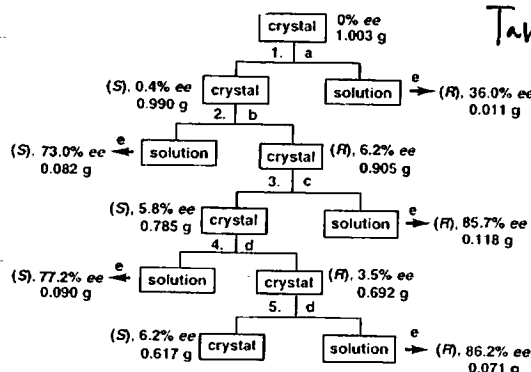
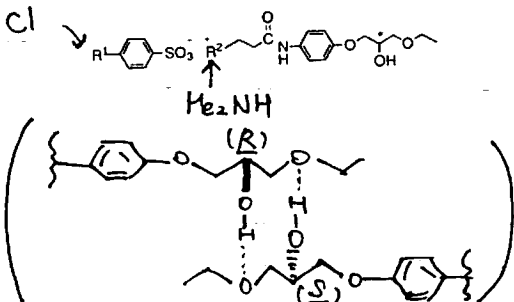
• no solid-solid collision or contact was involved.

FIGURE 3. Secondary nucleation due to fluid flow over seed crystals. Note that NaBrO<sub>3</sub> and NaClO<sub>3</sub> crystals with enantiomerically similar structure have opposite optical rotations. (a) The distribution of percent levorotatory crystals obtained by flow of NaClO<sub>3</sub> solution on l-NaBrO<sub>3</sub> crystals. (b) The distribution of percent levorotatory crystals obtained by flow of NaClO<sub>3</sub> solution on d-NaBrO<sub>3</sub> crystals.



## ③ Unusual Disordered Crystal Structure of a Racemate Exhibiting a Novel Enantiomeric Resolution: Preferential Enrichment\*\*

Rui Tamura,\* Hiroki Takahashi, Ken Hirotsu,\* Yoshitaka Nakajima, Takanori Ushio, and Fumio Toda



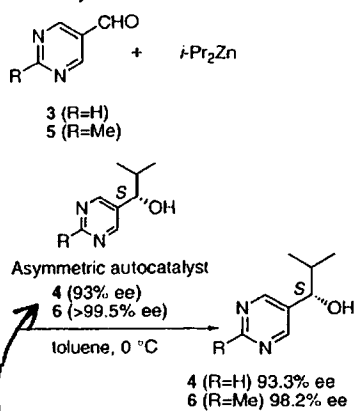
Tamura et al.

Angew. Chem. Int. Ed. 1998, 37, 2876.

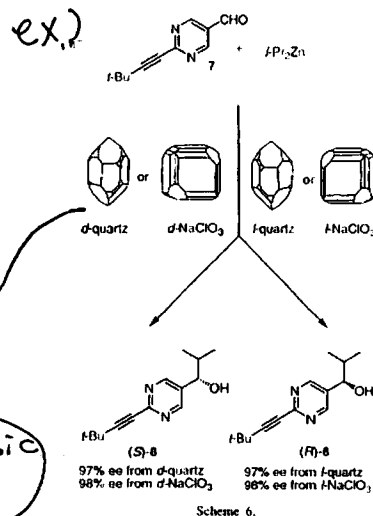
"anti-resolution" of heterochiral diastereomers of serine  
JACS, 2004, 126, 4110.

Figure 1. Preferential enrichment of NC. The crystals were dissolved in EtOH by heating. The saturated solution was cooled to 25 °C, stirred until crystallization began, and then allowed to stand for the stated period of time.

### ③ Asymmetric Autocatalysis — Soai's reaction



Nature, 1995, 378, 767.  
 JACS, 1998, 120, 12157.  
 Angew. Chem, Int. Ed. 1999, 38, 659.  
 2003, 42, 315.  
 Bull. Chem. Soc. Jpn. 2004, 77, 1063.  
 etc.



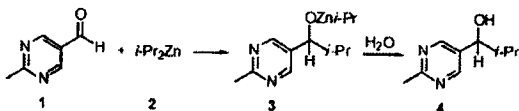
or other chiral organic compounds (even with low ee)

\* Using low ee - autocatalyst, after several cycles, pyrimidyl alkanols were obtained with high ee.

See also; JACS, 2001, 123, 10103, JACS, 2003, 125, 8978  
 Adv. Synth. Catal. 2002, 344, 156, Angew. 2004, 42, 299

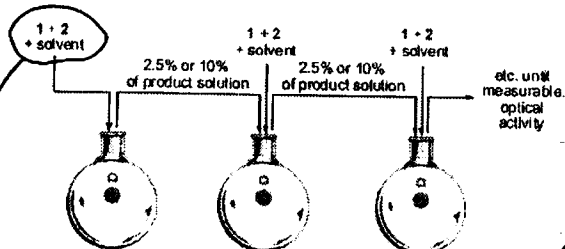
### Enantioselective Synthesis without Discrete Optically Active Additives

Daniel A. Singleton\* and Loan K. Vo



JACS, 2002, 124, 10010.

(see also: Nature, 2002, 419, 346.)  
 PNAS, 2004, 101, 5732.)



\* Spontaneous achiral symmetry breaking occurs completely in homogeneous solution.

Figure 1. Process for replicative asymmetric amplification.

(0.1 M, 0 °C)

pair → side-by-side rxn.

Table 1. Results from Trials of Replicative Asymmetric Amplification without Discrete Optically Active Additives

| trial <sup>a</sup>       | % ee <sup>b</sup>                     | trial <sup>a</sup>    | % ee <sup>b</sup> | trial <sup>a</sup>     | % ee <sup>b</sup>                     |
|--------------------------|---------------------------------------|-----------------------|-------------------|------------------------|---------------------------------------|
| 1 <sup>c</sup>           | 16 S <sup>k</sup>                     | 178 <sup>h,i,u</sup>  | 65 S <sup>m</sup> | 33 <sup>e,t,u</sup>    | 21 S <sup>l</sup>                     |
| 2 <sup>c</sup>           | 11 S <sup>k</sup> , 78 S <sup>l</sup> | 188 <sup>h,i,u</sup>  | 70 S <sup>m</sup> | 34 <sup>e,t,u</sup>    | 81 R <sup>l</sup>                     |
| 3 <sup>c,d</sup>         | 18 S <sup>k</sup>                     | 198 <sup>h,i,u</sup>  | 85 S <sup>k</sup> | 35 <sup>e,t,u</sup>    | 29 S <sup>l</sup>                     |
| 4 <sup>c,d</sup>         | 16 S <sup>k</sup>                     | 208 <sup>h,i,u</sup>  | 86 S <sup>l</sup> | 36 <sup>e,t,u</sup>    | 29 S <sup>l</sup>                     |
| 5 <sup>c,e,j</sup>       | 32 S <sup>l</sup>                     | 218 <sup>h,i,u</sup>  | 48 S <sup>l</sup> | 378 <sup>h,i,t,u</sup> | 18 S <sup>l</sup> , 54 S <sup>m</sup> |
| 6 <sup>c,f</sup>         | 22 S <sup>l</sup>                     | 228 <sup>h,i</sup>    | 52 S <sup>l</sup> | 388 <sup>h,i,u</sup>   | 11 S <sup>l</sup> , 42 S <sup>m</sup> |
| 7 <sup>c,f,k</sup>       | 30 R <sup>m</sup>                     | 238 <sup>h,i,u</sup>  | 48 S <sup>l</sup> | 398 <sup>h,i,u</sup>   | 5 S <sup>k</sup> , 48 S <sup>l</sup>  |
| 8 <sup>c,e,h,i,t,u</sup> | 80 S <sup>m</sup>                     | 248 <sup>h,i,u</sup>  | 37 S <sup>l</sup> | 408 <sup>h,i,u</sup>   | 3 S <sup>k</sup> , 43 S <sup>l</sup>  |
| 9 <sup>c,e,j,t,u</sup>   | 75 S <sup>m</sup>                     | 258 <sup>h,i</sup>    | 32 S <sup>l</sup> | 41 <sup>e,t,u</sup>    | 18 S <sup>m</sup> , 48 S <sup>o</sup> |
| 10 <sup>c,t,u</sup>      | 26 R <sup>l</sup>                     | 26 <sup>l</sup>       | 21 R <sup>l</sup> | 42 <sup>e,t,u</sup>    | 8 S <sup>m</sup> , 32 S <sup>m</sup>  |
| 11 <sup>l,u</sup>        | 54 S <sup>l</sup>                     | 27 <sup>e,s</sup>     | 67 S <sup>m</sup> | 43 <sup>e,t,u</sup>    | 4 S <sup>l</sup> , 18 S <sup>q</sup>  |
| 12 <sup>c,t,u</sup>      | 22 R <sup>l</sup>                     | 28 <sup>c,j,t,u</sup> | 25 R <sup>l</sup> | 44 <sup>e,t,u</sup>    | 22 S <sup>l</sup> , 45 S <sup>l</sup> |
| 13 <sup>c,u</sup>        | 23 R <sup>l</sup>                     | 29 <sup>c,j,t,u</sup> | 32 S <sup>l</sup> | 45 <sup>e,t,u</sup>    | 5 S <sup>m</sup> , 21 S <sup>o</sup>  |
| 14 <sup>c,t</sup>        | 48 R <sup>m</sup>                     | 30 <sup>c,j,t,u</sup> | 26 R <sup>l</sup> | 46 <sup>e,t,u</sup>    | 4 S <sup>l</sup> , 24 S <sup>l</sup>  |
| 15 <sup>c</sup>          | 21 S <sup>m</sup> , 70 S <sup>l</sup> | 31 <sup>c,j,t,u</sup> | 18 S <sup>l</sup> | 47 <sup>c,t,u</sup>    | 8 S <sup>l</sup> , 26 S <sup>l</sup>  |
| 16 <sup>c,t</sup>        | 13 S <sup>l</sup>                     | 32 <sup>c,s</sup>     | 34 R <sup>l</sup> | 48 <sup>e,t,u</sup>    | 13 S <sup>l</sup> , 21 S <sup>l</sup> |

ex) When 10 mol% of 4 (0.00003% ee) was added to the initial reaction, 4 was obtained in 71% ee by the end of the fourth generation.

For the first nanomole ( $n = 6 \times 10^{14}$ ) of "racemic" product from uncatalyzed process, there will by random chance be an average of  $2 \times 10^7$  molecules ( $n^{1/2}$ ) excess of one enantiomer (0.00004% ee).

the products were formed in imbalanced proportions. (S - favorable)

in 12 out of 15 pairs of side-by-side trials, the same enantiomer was formed with ~ the same ee.

⇒ optically active impurities (but unclear, probably in solvent.)

<sup>a</sup> The trials employed the procedure of Figure 1, transferring 10% of the product solution at each generation unless otherwise noted. <sup>b</sup> Determined by NMR using Eu(hfc)<sub>3</sub>. <sup>c</sup> Toluene solvent, reagent grade or purified as noted. <sup>d</sup> Solvent distilled from P<sub>2</sub>O<sub>5</sub>. <sup>e</sup> Solvent distilled from Na/benzophenone. <sup>f</sup> Solvent treated with H<sub>2</sub>SO<sub>4</sub> followed by distillation. <sup>g</sup> Solvent purified by repeated crystallization. <sup>h</sup> New batch of solvent, relative to previous otherwise identical trials. <sup>i</sup> Benzene solvent, reagent grade or purified as noted. <sup>j</sup> Reaction in Teflon flask. <sup>k</sup> After second generation. <sup>l</sup> After third generation. <sup>m</sup> After fourth generation. <sup>n</sup> After fifth generation. <sup>o</sup> After sixth generation. <sup>p</sup> After seventh generation. <sup>q</sup> After eighth generation. <sup>r</sup> Transferring 2.5% of the product solution at each generation. <sup>s</sup> Ethyl ether solvent, reagent grade or purified as noted. <sup>t</sup> Reaction used a Teflon septum. <sup>u</sup> The pairs of trials 8/9, 12/13, 17/18, 19/20, 23/24, 28/29, 30/31, 33/34, 35/36, 37/38, 39/40, 41/42, 43/44, 45/46, and 47/48 were carried out side-by-side using identical reagents. <sup>v</sup> Absence of light.

the addition of 5 ppm of (-) or (+)-menthol  $\rightarrow$  25% ee R and 41% ee S after third generation  
 10 ppm of (D) or (L)-phenylalanine  $\rightarrow$  42% ee S and 39% ee R after third generation

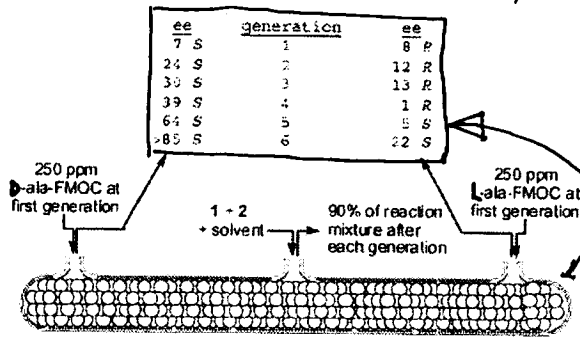
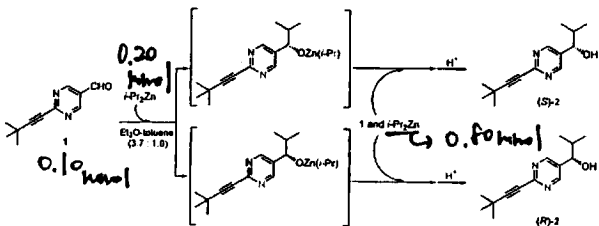


Figure 2. Competition between enantiomers in asymmetric autocatalysis.

the model for localized areas filled with glass beads to elongate the path length between ends (experimental details are not mentioned.)  
 the ee at left end by chance grows faster, and diffusional mixing is ultimately unavoidable.

cf. Tetrahedron: Asymmetry 2003, 14, 188.  
 Asymmetric synthesis of pyrimidyl alkanol without adding chiral substances by the addition of diisopropylzinc to pyrimidine-5-carbaldehyde in conjunction with asymmetric autocatalysis

Kenso Soai,\* Haru Sato,\* Takanori Shibata,\* Seichiro Komiya,\* Masanobu Hayashi,\* Yohei Matsueda,\* Hikaru Inamura,\* Tadakatsu Hayase,\* Hiroshi Morioka,\* Hayami Tabira,\* Jun Yamamoto\* and Yasunori Kowata\*



by 6th generation, S-product predominated throughout the tube.

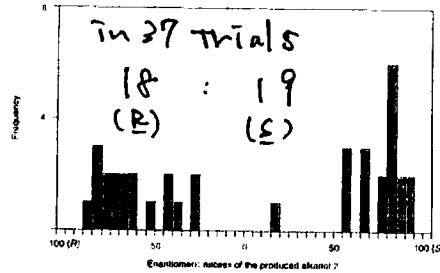


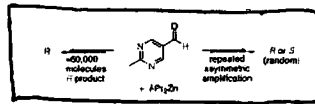
Figure 1. Histogram of the absolute configuration and the enantiomeric excess of pyrimidyl alkanol 2.

Using etheral solvents is crucial for stochastic behavior.

cf. OL 2003, 5, 4337.

A Few Molecules Can Control the Enantiomeric Outcome. Evidence Supporting Absolute Asymmetric Synthesis Using the Soai Asymmetric Autocatalysis

Daniel A. Singleton\* and Loan K. Vo



Singleton also obtained similar results (stochastic behavior) as Soai's case.

anyway, these reports showed on the origin of biological homochirality a mechanism for asymmetric amplification

+ for maintenance of optical activity despite decomposition and racemization.

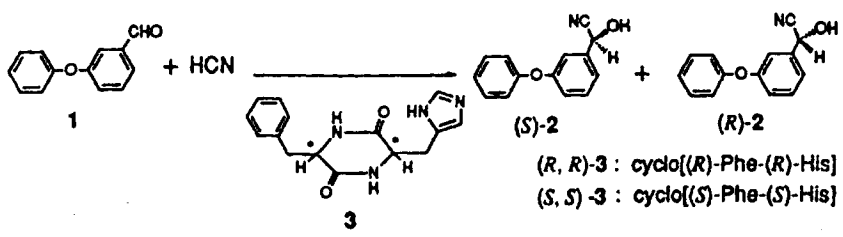
+ for dispersal of optical activity from localized areas

by which one enantiomer can take over in areas where the opposite enantiomer is in excess.

Other Salient Examples.

Enantioselective Autoinduction in the Asymmetric Hydrocyanation of 3-Phenoxybenzaldehyde Catalyzed by Cyclo[(R)-phenylalanyl-(R)-histidyl] *JOC*, 1991, 56, 6740.

Hidenori Danda,\* Hiroyuki Nishikawa, and Ken Otaka



(R,R)-3 - (S)-2 should be the active chiral catalyst.  $\Rightarrow$

in toluene, racemic 2 + (R,R)-3

dilution  $\downarrow$  gel formation.  
 (R,R)-3 + 93.3 : 6.7 of (S)- and (R)-2  
 as a catalyst ; (S)-2 in 97% ee.

Table II. Effect of the Optical Purity of the (R,R)-3 Catalyst on the Enantioselective Autoinduction<sup>a</sup>

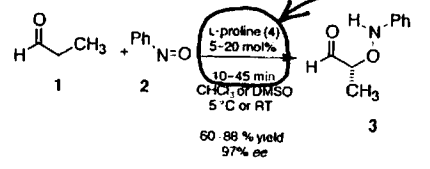
| entry | optical purity of (R,R)-3 <sup>b</sup> (% ee) | conversion of 1 <sup>c</sup> (%) | optical purity of 2 (% ee) (configuration) <sup>d,e</sup> | method <sup>f</sup> |
|-------|---|----------------------------------|---|---------------------|
| 1     | 100   | 94                               | 92.0 (S)  | A                   |
| 2     | 84.6  | 89                               | 80.0 (S)  | A                   |
| 3     | 79.4  | 89                               | 76.2 (S)  | A                   |
| 4     | 66.8  | 81                               | 64.6 (S)  | A                   |
| 5     | 40.0  | 62                               | 37.2 (S)  | A                   |
| 6     | 12.2  | 27                               | 11.0 (S)  | A                   |
| 7     | 100   | 95                               | 96.2 (S)  | B                   |
| 8     | 84.6  | 96                               | 94.8 (S)  | B                   |
| 9     | 79.4  | 96                               | 96.8 (S)  | B                   |
| 10    | 66.8  | 89                               | 96.0 (S)  | B                   |
| 11    | 40.0  | 90                               | 90.2 (S)  | B                   |
| 12    | 12.2  | 79                               | 86.6 (S)  | B                   |
| 13    | 12.2  | 68                               | 39.6 (R)  | C                   |
| 14    | 2.0   | 4                                | 3.4 (S)   | A                   |
| 15    | 2.0   | 43                               | 81.6 (S)  | B                   |
| 16    | 2.0   | 39                               | 74.0 (R)  | C                   |
| 17    | no catalyst                                   | no reaction                      |   | B                   |
| 18    | no catalyst                                   | no reaction                      |   | C                   |

<sup>a</sup> Method A: (R,R)-3:1:HCN = 1.1:50:99 mmol; toluene (40 mL), 5 °C. Method B: As method A, except that (S)-2 of 92.0 % ee (4.4 mmol) was present initially. Method C: As method A, except that (R)-2 of 84.9 % ee (4.4 mmol) was present initially. <sup>b</sup> Determined by HPLC (Ultron ES-OVM). <sup>c</sup> Determined by HPLC (LiChrosorb SI-60). No byproducts were observed by HPLC. <sup>d</sup> Determined by HPLC (Sumipax OA-4100). <sup>e</sup> For the absolute configurations of (R)-2 and (S)-2 see: Tanaka, K.; Inoue, S. *J. Org. Chem.* 1990, 55, 181. <sup>f</sup> [α]<sub>D</sub><sup>25</sup> -17.5° (c 0.80, benzene).

Asymmetric Amplification

Amplification of Enantiomeric Excess in a Proline-Mediated Reaction\*\*

Suju P. Mathew, Hiroshi Iwanura, and Donna G. Blackmond\*



*Angew. Chem. Int. Ed.* 2004, 42, 3317.

Compared with other proline-catalyzed reactions, much higher reactivity

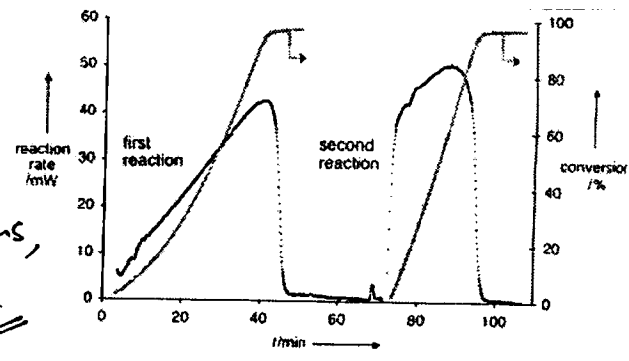


Figure 1. Reaction rate (filled black circles, corresponding to the left axis) and percent conversion (shaded grey line, corresponding to the right axis) versus time for a one-pot, two-consecutive-reaction sequence of the reaction shown in Equation (1) (CHCl<sub>3</sub>, solvent, 278 K). Initial concentrations of aldehyde 1 and L-proline 4 were 2.07 and 0.07 M, respectively. First reaction: 0.26 M PhNO; second reaction: 0.24 M PhNO.

\* the reaction rate rose steadily throughout the course of the reaction.

- X Substrate inhibition  $\rightarrow$  the rate of second reaction (as high as that at the end of the first)
- X autocatalysis  $\rightarrow$  no heat flow of the addition of product to fresh reagents
- autoinductive reaction  $\rightarrow$  plausible

# Amplification

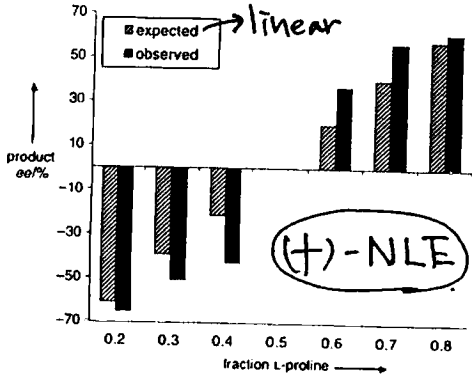


Figure 2. Final enantiomeric excess of the product as a function of the fraction of L-proline in the reaction shown in Equation (1) carried out using mixtures of D- and L-proline. Initial concentrations of aldehyde 1, nitrosobenzene 2, and total (L+D)-proline 4 were 2.07, 0.7, and 0.07 M, respectively (CHCl<sub>3</sub> solvent, 278 K). Conversions were greater than 90%.

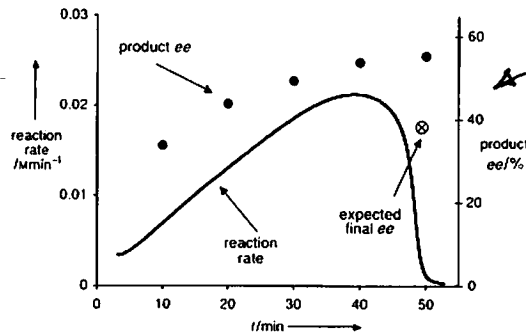
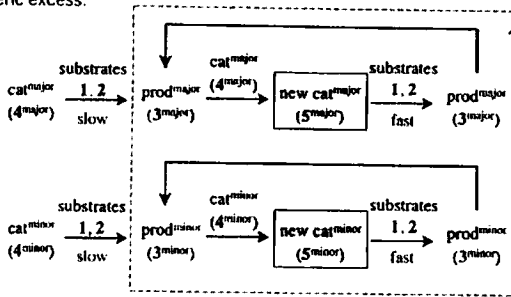


Figure 3. Reaction rate and enantiomeric excess of the product of the reaction of Scheme 1 carried out with a mixture of L- and D-proline with 40% ee (L). Reaction conditions: 278 K, total proline concentration (L+D): 0.071 M, nitrosobenzene: 0.70 M, propionaldehyde: 1.95 M.

Scheme 1. General mechanism for the product-induced kinetic amplification of enantiomeric excess.

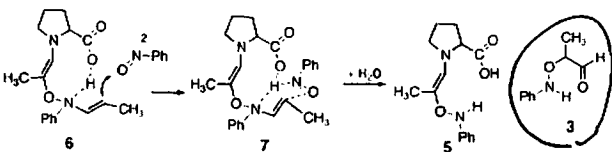
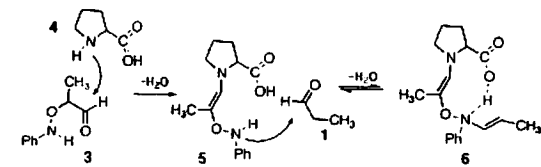


Kinetic process

the ee of 3 will rise until the formation of new catalyst 5 has reached equilibrium, after which the ee value will slowly erode back to the linear relationship.

(lower concentrations of proline → higher asymmetric amplification)

5: multifunctional (active nitrogen nucleophile (α effect) carboxylic acid moiety)



3 might also attack aldehyde (→ oligomer) but not autocatalytic → the lack of a Brønsted acid function.

Scheme 2. Proposed mechanism for product induction in the reaction shown in [Eq. (1)].

• In contrast to Soai's reaction, this represents a purely organic autoinductive reaction.

• In an open system (nature), not a closed system (in reaction vials in the lab), the chemical propagation mechanism in Scheme 1 would permit enantiomeric excess to continue to rise.



# ④ Chirality Transfer

## molecules to molecules Prebiotic Amino Acids as Asymmetric Catalysts

Sandra Pizzarello<sup>1</sup> and Arthur L. Weber<sup>2</sup>

Science, 2004, 303, 1151.  
in triethylammonium acetate buffer  
(pH 5.4 at 50°C)  
in water

Table S1. Percent D-enantiomeric excess (ee) of tetrose sugars synthesized from glyceraldehyde in the presence of amino acid catalysts.

| Catalyst (ee%)           | D-Threose ee% (n) <sup>a</sup> | D-Erythrose ee% (n) |
|--------------------------|--------------------------------|---------------------|
| DL-Ala <sup>b</sup>      | 0.5 ± 0.7 (13)                 | 0.3 ± 1.3 (8)       |
| L-Ala (100)              | 6.9 ± 0.4 (14)                 | -5.4 ± 0.6 (10)     |
| D-Ala <sup>c</sup> (100) | -7.1 ± 0.7 (11)                | 4.3 ± 0.9 (12)      |
| S-Iva <sup>d</sup> (100) | 10.6 ± 0.3 (14)                | -4.8 ± 0.6 (11)     |
| R-Iva (100)              | -10.7 ± 1.2 (11)               | 4.8 ± 0.9 (8)       |
| R-Iva (75)               | -7.9 ± 0.2 (12)                | 4.0 ± 0.8 (6)       |
| R-Iva (50)               | -6.1 ± 0.2 (9)                 | 3.9 ± 1.3 (5)       |
| R-Iva (25)               | -3.5 ± 0.3 (7)                 | 0.2 ± 1.3 (5)       |
| R-Iva (10)               | -1.4 ± 0.5 (11)                | 0.8 ± 0.7 (8)       |
| R-Iva (5)                | -0.7 ± 0.3 (11)                | 0.1 ± 0.5 (7)       |
| L-Pro <sup>e</sup> (100) | None synthesized               | None synthesized    |

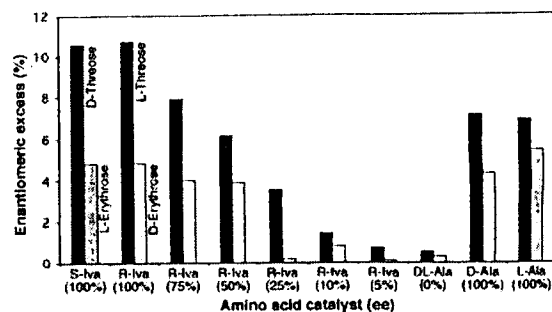
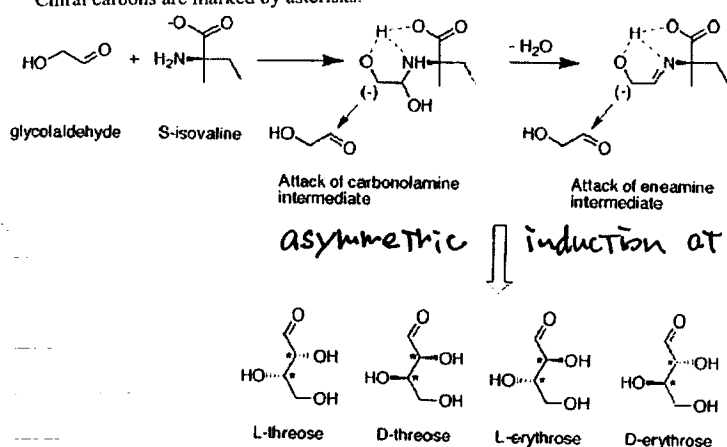


Fig. 1. Effect of amino acid catalyst ee on the asymmetric synthesis of threose and erythrose from glyceraldehyde. S-Ivaline is equivalent to L-2-amino 2-methyl butyric acid.

Figure S2. A possible pathway for the asymmetric aldose condensation of glyceraldehyde in the presence of enantiomerically pure S-Isovaline catalyst. Chiral carbons are marked by asterisks.



proline did not catalyze the reaction.  
isovaline - unnatural amino acid  
found in meteorites with ee up to 15%.  
extraterrestrial asymmetry provided the initial induction toward homochirality

cf. Angew. Chem. Int. Ed. 2004, 43, 2152.

Table 1: Organocatalytic aldol dimerization of α-oxoaldehydes.

| Entry | Product | Solvent     | Yield [%] | anti:syn | ee [%] <sup>[a]</sup> |
|-------|---------|-------------|-----------|----------|-----------------------|
| 1     |         | DMF         | 0         | -        | -                     |
| 2     |         | DMF         | 73        | 4:1      | 98                    |
| 3     |         | DMF         | 64        | 4:1      | 97                    |
| 4     |         | DMF         | 42        | 4:1      | 96                    |
| 5     |         | DMF/dioxane | 61        | 9:1      | 96 <sup>[c]</sup>     |
| 6     |         | DMSO        | 92        | 4:1      | 95                    |
| 7     |         | dioxane     | 62        | 3:1      | 88 <sup>[d]</sup>     |

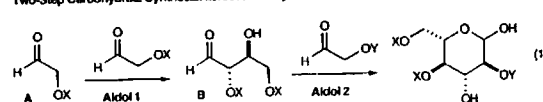
[a] Absolute and relative stereochemistry assigned by chemical correlation. [b] Determined by chiral HPLC. [c] Using 20 mol% catalyst. Bn = benzyl, PMB = para-methoxybenzyl, MOM = methoxymethyl, TBDPS = tert-butyl-diphenylsilyl, TIPS = triisopropylsilyl, TBS = tert-butyl-dimethylsilyl.

### Aldehyde Coupling Reactions

#### Enantioselective Organocatalytic Direct Aldol Reactions of α-Oxyaldehydes: Step One in a Two-Step Synthesis of Carbohydrates\*\*

Alan B. Northrup, Ian K. Mangion, Frank Hetteche, and David W. C. MacMillan\*

#### Two-Step Carbohydrate Synthesis: Iterative Aldehyde Aldol



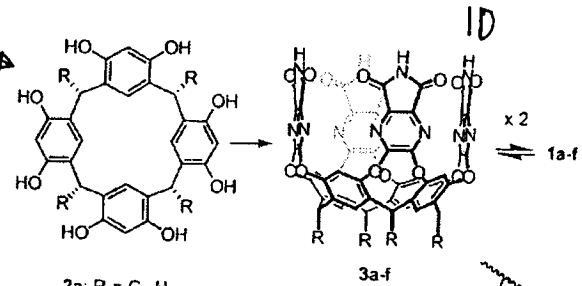
Aldol 1 requires α-oxoaldehyde A (reagent) is reactive in aldol union  
Aldol 1 requires α-oxoaldehyde B (product) is nonreactive in aldol union

Table 2: Cross-aldol reactions with protected glycoaldehydes.

| Entry | Aldehyde α-alkyl OX | Product | Yield [%] | anti:syn | ee [%] <sup>[a]</sup> |
|-------|---------------------|---------|-----------|----------|-----------------------|
| 1     |                     |         | 75        | 4:1      | 99                    |
| 2     |                     |         | 84        | 5:1      | 99 <sup>[b]</sup>     |
| 3     |                     |         | 54        | 4:1      | 99                    |
| 4     |                     |         | 64        | 4:1      | 94                    |
| 5     |                     |         | 43        | 8:1      | 99                    |
| 6     |                     |         | 33        | 7:1      | 96                    |

[a] Absolute and relative stereochemistry assigned by chemical correlation. [b] Determined by chiral HPLC. [c] Determined by Mosher ester analysis.

a cylindrical capsule  
original report  
Nature, 1998, 394, 764.



- 2a: R = C<sub>11</sub>H<sub>23</sub>
- 2b: R = A, n = 1
- 2c: R = A, n = 2
- 2d: R = A, n = 3
- 2e: R = B
- 2f: R = C

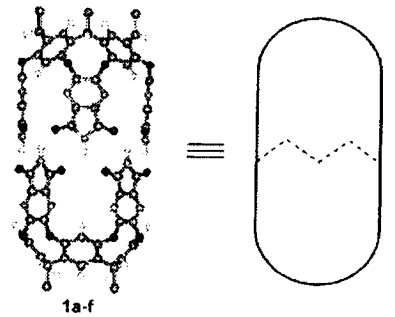
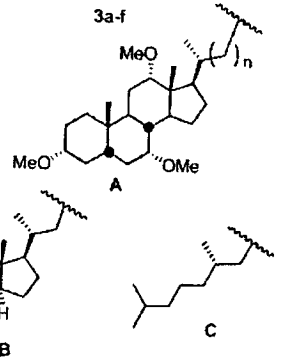
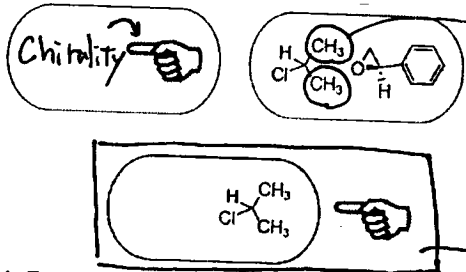


Figure 2. Synthesis of the capsule 1a-f. A ball-and-stick representation of the dimeric capsule and its cartoon representation are also shown.

Supramolecules to simple molecules.

★ Rebek, Jr. et al. JACS, 2004, 126, 6216.  
Steric and Magnetic Asymmetry Distinguished by Encapsulation

Toru Amaya and Julius Rebek, Jr.



diastereotopic methyl groups were observed in its NMR spectrum. (JACS, 2003, 125, 6239.)

THIS TIME  
REMOTE EFFECT?

Figure 1. Top: (left) a chiral object (hand) in an achiral container leaves a chiral space; (right) the methyl groups of isopropyl chloride are diastereotopic in the coencapsulation in the capsule complex with styrene oxide. Bottom: can a chiral center outside the capsule affect the behavior of the methyl groups of isopropyl chloride?

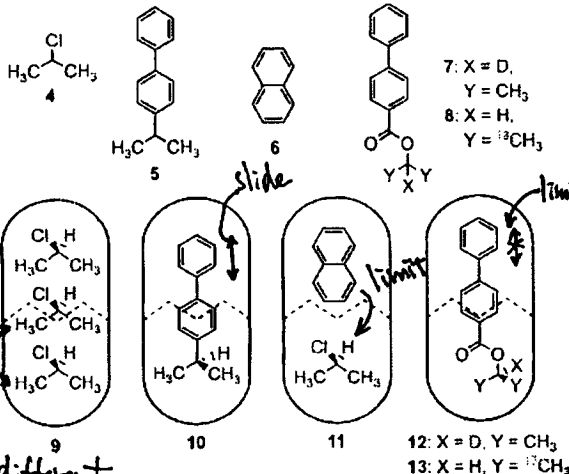


Table 1. Δδ between Diastereotopic Methyl Groups of Encapsulated Species<sup>a</sup>

| complex         | 9   | 10  | 11  | 12   | 13   |                   |
|-----------------|-----|-----|-----|------|------|-------------------|
| PC <sup>b</sup> | 53% | 45% | 47% | 50%  | 50%  |                   |
| host            | 1b  | 0   | 5.9 | 16.1 | 13.2 | 13.8 <sup>c</sup> |
|                 | 1c  | 0   | 0   | 0    | 4.4  | 0 <sup>c</sup>    |
|                 | 1d  | 0   | 0   | 0    | 0    | 0                 |
|                 | 1e  | <2  | 7.3 | 19.1 | 14.7 | 16.1 <sup>c</sup> |
|                 | 1f  | 0   | 0   | 6.6  | 5.9  | 8.0 <sup>c</sup>  |

<sup>a</sup> Capsule: 1 mM, guest: excess, mesitylene-*d*<sub>12</sub>: 0.6 mL, 300 K, 600 MHz. <sup>b</sup> PC was calculated on the basis of the volumes which were minimized with the program Hyperchem 7.0, Hypercube Inc., 2002, at semiempirical PM3 level and calculated with WebLab Viewer 4.0 by Molecular Simulation, Inc. <sup>c</sup> <sup>13</sup>C NMR (Apt) experiment (150 MHz).

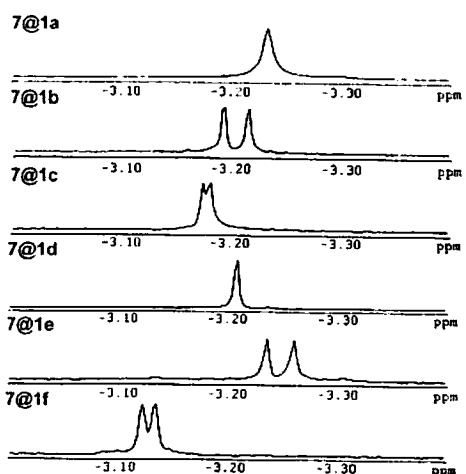


Figure 4. Upfield region of the <sup>1</sup>H NMR spectra (600 MHz, 300 K) of encapsulation complexes of 1a-f (1 mM) in mesitylene-*d*<sub>12</sub> (0.6 mL) and the guest 7 (1.5 μL).

- None of the guests in 1d showed any evidence of the anisotropy of its exterior asymmetric centers. Nor did any capsules containing only 4.
- ↓
- distance between asymmetric centers.
- the mobility of the guests.
- no direct contact between the guests and asymmetric centers

★ Raymond, Bergman et al

Supramolecular Chemistry

Selective C-H Bond Activation by a Supramolecular Host-Guest Assembly\*\*

Angew.Chem. Int. Ed. 2004, 43, 963.

Dennis H. Leung, Dorothea Fiedler, Robert G. Bergman,\* and Kenneth N. Raymond\*

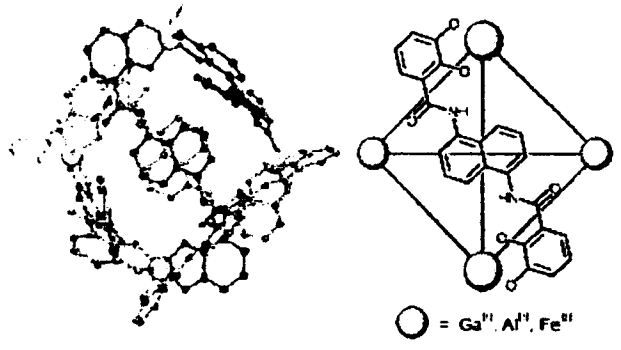


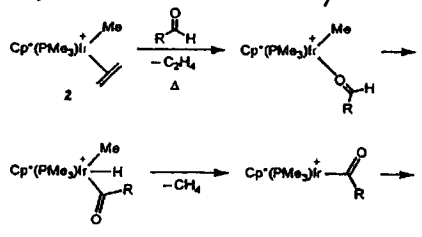
Figure 1. Left: view down the twofold axis of the crystal structure of the tetrahedral supramolecular  $[M_4L_6]^{12-}$  host which can encapsulate monocationic guest molecules. Ligands are colored for differentiation. Right: schematic representation showing the structure of one of the six identical ligands that span the edges of the tetrahedral host.

Supramolecular encapsulations and reactivity studies of organometallic complexes

- $[M_4L_6]^{12-}$  stoichiometry ( $M = Ga^{3+}, Al^{3+}, Fe^{3+}$ ;  $L =$  bis(bidentate) catecholamide)
- homochiral  $\Delta, \Delta, \Delta, \Delta$ - or  $\Lambda, \Lambda, \Lambda, \Lambda$ -clusters (with  $\Delta$  or  $\Lambda$  configuration) (at each metal center)
- racemic
- highly negative (12-) — soluble in polar solvents, such as water
- hydrophobic cavity inside — encapsulation of hydrophobic monocations.

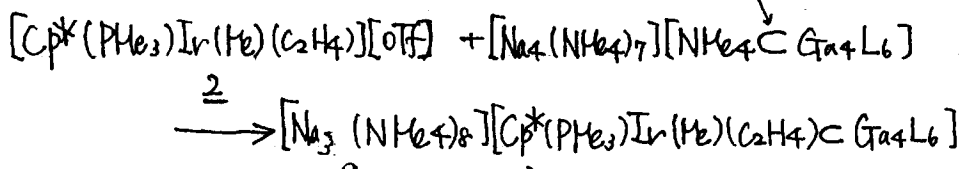
Angew.Chem.Int. Ed 1998, 37, 1840.  
ibid., 2000, 39, 1239.  
JACS, 1998, 120, 8003.  
Inorg.Chem. 2004, 43, 846.  
etc.

• C-H activation catalyzed by Ir-complex  
[Cp\*(PMe<sub>3</sub>)Ir(Me)OTf]

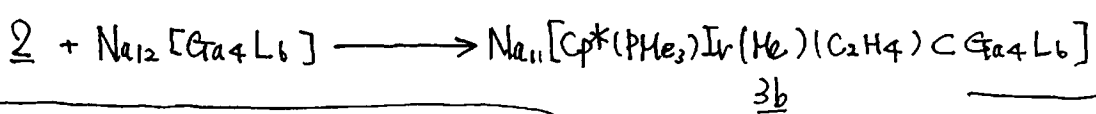
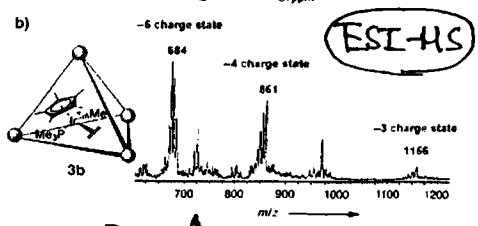
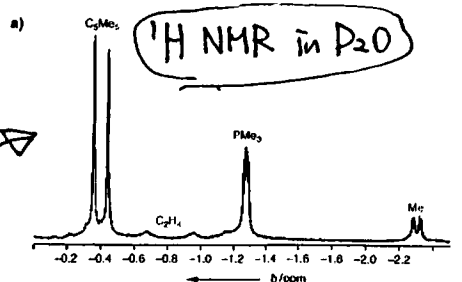


Scheme 1. Mechanism of C-H bond activation with aldehydes.

• Is it possible to encapsulate the Ir-complex?  
• possible to realize C-H activation in the cavity?  
• selectivity?

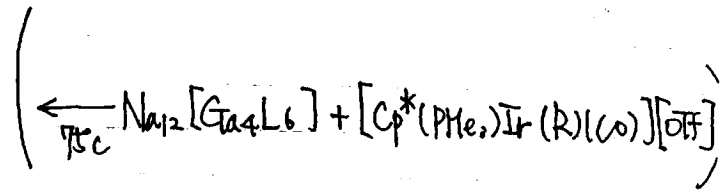
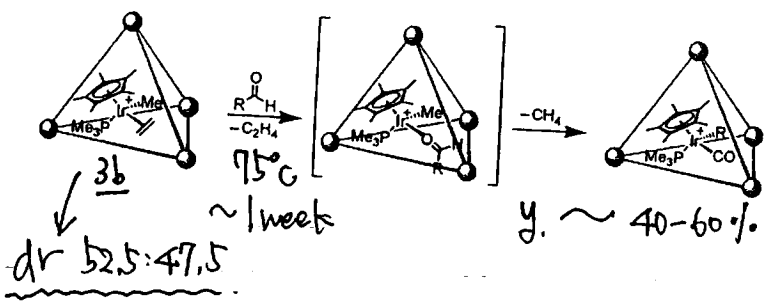
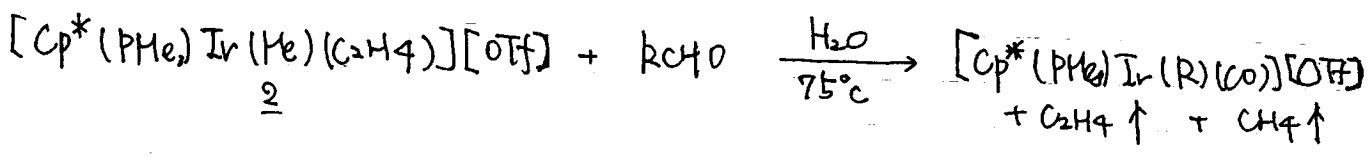


two-diastereomeric host-guest assemblies  
e.g.) Cp\* protons are shifted upfield from a single peak at  $\delta = 1.96$  ppm for the unbound species to two signals at  $\delta = -0.35$ , and  $-0.44$  ppm.



cf) Chart 2. Possible Configurations of M<sub>3</sub>[Ln(binol)<sub>3</sub>]-Type (LnMB) Complexes

from (S)-BINOL



for 4, 5, 6,  
some diastereoselectivity was observed.

| Substrate | Guest product <sup>[a]</sup> | d.r.  | Substrate | Guest product <sup>[a]</sup> | d.r.  |
|-----------|------------------------------|-------|-----------|------------------------------|-------|
| 4         |                              | 60:40 | 9         |                              | 55:45 |
| 5         |                              | 65:35 | 10        |                              | 58:42 |
| 6         |                              | 70:30 | 11        | n. r.                        | n/a   |
| 7         | n. r.                        | n/a   | 12        |                              | n/a   |
| 8         | n. r.                        | n/a   |           |                              |       |

[a] n. r. = no reaction.

